

United States Department of Commerce

**Plan for Orderly Shutdown
Due to Lapse of Congressional Appropriations**

December 7, 2017

Table of Contents

Section	Description	Page
1	Background	5
2	Authority	5
3	OMB Circular NO. A-11, Section 124.2 Requirements Met	6
4	Services Available During a Lapse in Funding	6
5.1	Departmental Offices' Base Plan	8
5.1.1	Shutdown Preparation	8
5.1.1.1	Points of Contact	8
5.1.1.2	Employee Notification Procedures in Advance of a Shutdown	8
5.1.1.3	Contractor Notification Procedures in Advance of a Shutdown	9
5.1.2.	Reactivation of Functions	9
5.2	Individual Departmental Offices Shutdown Plans	
5.2.1	Office of the Secretary (OS)	
5.2.1.1	OS Orderly Shutdown Needs	10
5.2.1.2	OS Excepted Positions	13
5.2.1.3	OS Excepted IT Requirements & Contracts	19
5.2.2	Bureau of Economic Analysis (BEA)	
5.2.2.1	BEA Orderly Shutdown Needs	21
5.2.2.2	BEA Excepted Positions	22
5.2.2.3	BEA Excepted IT Requirements & Contracts	23
5.2.3	Bureau of Industry and Security (BIS)	
5.2.3.1	BIS Orderly Shutdown Needs	24
5.2.3.2	BIS Excepted Positions	25
5.2.3.3	BIS Excepted IT Requirements & Contracts	30
5.2.4	US Census Bureau	
5.2.4.1	Census Orderly Shutdown Needs	31
5.2.4.2	Census Excepted Positions	36
5.2.4.3	Census Excepted IT Requirements & Contracts	39
5.2.5	Economic Development Administration (EDA)	
5.2.5.1	EDA Orderly Shutdown Needs	40
5.2.5.2	EDA Excepted Positions	41
5.2.5.3	EDA Excepted IT Requirements & Contracts	42

Table of Contents

Section	Description	Page
5.2.6	Economics and Statistics Administration (ESA)	
5.2.6.1	ESA Orderly Shutdown Needs	43
5.2.6.2	ESA Excepted Positions	44
5.2.6.3	ESA Excepted IT Requirements & Contracts	45
5.2.7	International Trade Administration (ITA)	
5.2.7.1	ITA Orderly Shutdown Needs	46
5.2.7.2	ITA Excepted Positions	48
5.2.7.3	ITA Excepted IT Requirements & Contracts	51
5.2.8	Minority Business Development Agency (MBDA)	
5.2.8.1	MBDA Orderly Shutdown Needs	52
5.2.8.2	MBDA Excepted Positions	53
5.2.8.3	MBDA Excepted IT Requirements & Contracts	54
5.2.9	National Institute of Standards and Technology (NIST)	
5.2.9.1	NIST Orderly Shutdown Needs	55
5.2.9.2	NIST Excepted Positions	58
5.2.9.3	NIST Excepted IT Requirements & Contracts	90
5.2.10	National Oceanic and Atmospheric Administration (NOAA)	
5.2.10.1	NOAA Orderly Shutdown Needs	91
5.2.10.2	NOAA Excepted Positions	93
5.2.10.3	NOAA Excepted IT Requirements & Contracts	112
5.2.11	National Telecommunications and Information Administration (NTIA)	
5.2.11.1	NTIA Orderly Shutdown Needs	113
5.2.11.2	NTIA Excepted Positions	114
5.2.11.3	NTIA Excepted IT Requirements & Contracts	116
5.2.12	National Technical Information Service (NTIS)	
5.2.12.1	NTIS Orderly Shutdown Needs	117
5.2.12.2	NTIS Excepted Positions	118
5.2.12.3	NTIS Excepted IT Requirements & Contracts	119

Table of Contents

Section	Description	Page
5.2.13	United States Patent and Trademark Office (USPTO)	
5.2.13.1	USPTO Orderly Shutdown Needs	120
5.2.13.2	USPTO Excepted Positions	121
5.2.13.3	USPTO Excepted IT Requirements & Contracts	132
5.2.14	Office of the Inspector General (OIG)	
5.2.14.1	OIG Orderly Shutdown Needs	134
5.2.14.2	OIG Excepted Positions	135
5.2.14.3	OIG Excepted IT Requirements & Contracts	136
5.2.15	Addendum	
5.2.15.1	Addendum for Plan	137

1 Background

Office of Management and Budget (OMB) Circular A-11, section 124, requires the Department to maintain a plan for agency operations in the absence of appropriations. This plan has been developed to meet the requirements of that section. Consistent with section 124, the plan may be modified whenever there is a change in the source of funding for an agency program or any significant modification, expansion, or reduction in agency program activities; upon receipt of additional guidance from OMB, the Office of Personnel Management, or the Department of Justice; or as circumstances otherwise warrant. At a minimum, the plan will be reviewed and updated every two years.

2 Authority

The Anti-Deficiency Act (The Act) restricts the conduct of business by agencies during a lapse of appropriations. The Act generally prohibits agency heads and their employees from:

- Making or authorizing expenditures or obligations in excess of appropriations;
- Making or authorizing expenditures or obligations of funds required to be sequestered under Section 252 of the Balanced Budget and Emergency Deficit Control Act of 1985; and
- Accepting voluntary services for the United States or employing others to perform personal services in excess of that authorized by law, except in cases of emergency involving the safety of human life or the protection of property.

Certain functions may be continued even in the absence of an annual appropriations bill or continuing resolution. The principal guidance on the types of functions that may be continued during a lapse in appropriations is found in the Attorney General's opinion dated January 16, 1981, as updated by the Office of Legal Counsel in an August 16, 1995 Memorandum. Those opinions authorize continuation of agency functions which satisfy any of the following criteria (referred to "excepted" functions):

- Those that have a continuing source of funding;
- Those that are expressly authorized by Congress to continue even without funding;
- Those that are necessary to prevent an imminent and significant threat to the safety of human life or the protection of property;
- Those that are necessary for the discharge of the President's constitutional duties; and
- Activities necessary for a short period in order to ensure an orderly shutdown of operations.

Otherwise non-excepted personnel should report to work for a few hours on the first business day following a lapse in appropriations to perform short-term, administrative functions to prepare for an orderly transition (such as setting an out-of-office message, etc.).

3 OMB Circular No. A-11, Section 124.2 Requirements Met

This plan meets the requirements set out in OMB Circular Number A-11, Section 124.2. OMB Circular A-11 requires the following:

Agency heads must develop and maintain plans for an orderly shutdown in the event of the absence of appropriations. These plans must be submitted to OMB when they are either first prepared or revised. We have included these plans in detail for each of our bureaus. Additionally, we include the summary of excepted DOC employees by category as follows:

- Number of employees expected to be on-board before implementation of the plan: 47,896
- Total number of employees to be excepted under the plan: **6,324**

This number of employees is categorized as follows:

1. Their compensation is financed by a resource other than annual appropriations 494
2. They are necessary to perform activities expressly authorized by law 0
3. They are necessary to perform activities necessarily implied by law 343
4. They are necessary to the discharge of the President's constitutional duties and powers 62
5. They are necessary to protect life and property. 5,425

Additionally, as per the requirements, we have submitted detailed bureau plans for any orderly shutdown activity that would take more than one half day. In total, DOC has 603 employees who would remain on duty to effect an orderly shutdown.

Bureau	On-Board -	Exception Category					Total
	7/9/2017	1	2	3	4	5	
Office of the Secretary	786	6	0	4	1	76	87
Bureau of Economic Analysis	483	0	0	0	0	3	3
Bureau of Industry and Security	368	0	0	3	38	174	215
Bureau of the Census	16,250	0	0	1	0	26	27
Economic Development Administration	185	0	0	1	0	0	1
Economics and Statistics Administration	37	0	0	1	0	0	1
International Trade Administration*	1,401	0	0	4	0	13	17
Minority Business Development Agency	50	0	0	0	0	0	0
National Institute of Standards and Technology	3,473	81	0	1	23	230	335
National Oceanic and Atmospheric Administration	11,401	187	0	325	0	4,781	5,293
National Telecommunications and Information Administration	528	220	0	1	0	7	228
National Technology Information Service**	89	0	0	0	0	0	0
United States Patent and Trademark Office***	12,684	0	0	1	0	114	115
Office of the Inspector General	161	0	0	1	0	1	2
Totals	47,896	494	0	343	62	5,425	6,324

4 Services Available During a Lapse in Funding

In compliance with the restrictions of the Anti-Deficiency Act, the Department of Commerce will maintain the following services and activities during a lapse:

- Weather, water, and climate observing, prediction, forecast, warning, and support
- Law enforcement activities for the protection of marine fisheries

- Fisheries management activities including quota monitoring, observer activities, and regulatory actions to prevent overfishing
- Essential natural resource damage assessment activities associated with the Deepwater Horizon incident
- Water level data for ships entering U.S. ports, critical nautical chart updates and accurate position information.
- Patent and trademark application processing***
- Operation of the national timing and synchronization infrastructure as well as the National Vulnerability Database
- Maintenance, continuity and protection of certain research property and critical data records
- All services of the National Technical Information Service**
- Export enforcement – the ongoing conduct of criminal investigations, and prosecutions, and coordination with other law enforcement and intelligence agencies in furtherance of our national security
- Budget operations required to support excepted activities under a shutdown, such as tracking of obligations and funds control
- Budget operations required to support excepted activities under a shutdown, such as tracking of obligations and funds control
- Assignment of radio frequencies to federal agencies for critical national security and public safety purposes
- All the functions of the First Responder Network Authority

The following services and activities **will not** be available during a lapse:

- Most research activities at NIST and NOAA (excluding real-time regular models on research computers used for Hurricane and FAA flight planning)
- Assistance and support to recipients of grant funding
- Technical oversight of non-mission essential contracts
- Services and activities provided by:
 - Bureau of Economic Analysis
 - Economic Development Administration
 - Minority Business Development Agency
 - Bureau of the Census
- Most services and activities provided by the International Trade Administration

*In addition to staff listed as excepted on the Department's plan, the Department also employs a number of locally employed staff (LES) and senior commercial officers (SCOs) in foreign countries. In the event of a lapse in appropriations, individual determinations regarding LES and SCOs will be made in coordination with the respective country's Chief-of-Mission.

**The NTIS anticipates that it will have sufficient funds from other than current year appropriations to continue full operations for a brief period after a general lapse in appropriations commences. Therefore, all NTIS employees will be excepted for such a period following a lapse in appropriations. After this period, NTIS will execute the plan as described below.

***The USPTO anticipates that it will have sufficient funds from other than current year appropriations to continue full operations for a brief period after a general lapse in appropriations commences. Therefore, all employees of the USPTO will be excepted for such period following a lapse in appropriations. After this period, the USPTO will execute the plan as described below.

5.1 Departmental Offices' Base Plan

5.1.1. Shutdown Preparation

5.1.1.1 Points of Contact

Priority	Last Name	First Name	Office Phone	Alternate Phone	eMail	Facsimile
Primary	Marston	Diane	202-482-1294	301-802-1994	dmarston@doc.gov	202-482-3592
Alternate	Casias	Lisa	202-482-4951	540-846-1447	lcasias@doc.gov	202-482-3592

5.1.1.2 Employee Notification Procedures in Advance of a Shutdown

Required Offices

- Office of the Chief Financial Officer and Assistant Secretary for Administration (OCFO/ASA)
- Office of General Counsel (OGC)

Required Actions

- Issuance of furlough notices and
- Shutdown of operations

Preparation

- DOC bureaus and offices will send employee lists to OGC and the Office of Human Resources Management (OHRM) to indicate the excepted employees and the reason for their excepted status.
- OGC and OHRM will compile the lists of employees assigned as Excepted or Non-Excepted and will confirm that Excepted status is appropriate for employees so designated.
- OHRM will draft furlough letter language and OGC will approve language.
- OHRM will distribute furlough letters to servicing HR offices (SHROs) for distribution along with processing instructions.

Notice Issuance

- On the first business day following the lapse in appropriations, all employees will report to work.
- SHROs will establish a process to ensure Non-Excepted employees are properly notified (e.g., sign and date their notice of furlough letter to confirm receipt of notice or by other accepted method) and keep a record.
- Management will meet with employees within the employee's first hour of reporting to work to review furlough process and issues notices. The supervisor will keep a record of employees notified.

- All managers will submit complete list of notified employees to SHRO for confirmation of completion.
- Employees will shut down their offices and must leave the workplace within four hours of their arrival on the effective date.

5.1.1.3 Contractor Notification Procedures in Advance of a Shutdown

The applicable Contracting Officer will issue Stop Work Orders on all contracts requiring work stoppages. For the excepted services performed under contract, assigned Contract Officer Technical Representatives (COTRs) will identify the portion of the contract covered by the exception and in collaboration with the Contracting Officer will provide technical direction to the contractor for any required continued performance.

5.1.2 Reactivation of Functions

- Upon notification that employees may return to duty, the Department of Commerce hotline and main website will be updated and office phone lists will be activated.
- Employees will report back to work at their regularly scheduled time on the next scheduled work day.
- A return to duty notice will be issued shortly thereafter.

Orderly Shutdown Needs

Bureau-Office:

Office of the Secretary - 5.2.1.1

	Position	Duty Station	# of Employees	Days Needed	Justification
Immediate Office of the Secretary (IOS)					
OS OSN 1	Deputy Chief of Staff, and Senior White House Advisor, Office of the Secretary	Washington DC	2	1	Brief Chief of Staff and Deputy Secretary on outstanding items. Coordinate with individual bureaus on any ongoing needs from the Office of the Secretary. Make sure the last bills have been submitted for payment for obligations incurred prior to appropriations lapse.
OS OSN 2	Director of Digital Engagement, Office of Public Affairs	Washington DC	1	1	Get web message cleared. Work with OCIO on taking down websites.
OS OSN 3	Director, Office of Executive Secretariat	Washington DC	1	1.5	Finish last briefing book with executable meetings. Compile and provide to Chief of Staff the list of bureau contacts. Make sure the last bills have been submitted for payment for obligations incurred prior to appropriations lapse.
OS OSN 4	Deputy Director, Office of Executive Secretariat	Washington DC	1	1.5	Brief Secretary and/or Chief of Staff on how mail will be handled. Compile and provide to Chief of Staff a list of all items not cleared by start of shutdown.
OS OSN 5	Director, Office of Policy and Strategic Planning	Washington DC	1	1	Consult with individual bureaus to determine if there are any policy initiatives or regulatory actions that must occur during the shutdown period and provide guidance to the Secretary and bureaus on OPSP clearance. Perform administrative actions to inform customers about the shutdown. Provide Secretary and OLIA with one-page issue papers on priority policy issues in order to prepare for the Secretary's upcoming appropriations hearings (from bureaus).
OS OSN 6	Director, Office of Scheduling and Advance	Washington DC	1	1	Cancel all upcoming meetings and events on the Secretary's calendar and reach out to stakeholders. Pull down all travel.
OS OSN 7	Director, Office of Business Liaison	Washington DC	1	1	Cancel all meetings with businesses.
Office of the Chief Financial Officer and Assistant Secretary for Administration (CFO/ASA)					
OS OSN 8	Director, Office of Financial Management and Deputy Chief Financial Officer, OFM	Washington DC	1	3	To ensure orderly shutdown of financial management operations and systems and travel management assistance.
OS OSN 9	Director, Financial Management Systems, OFM	Gaithersburg MD	1	3	To ensure orderly shutdown of financial management operations and business systems.
OS OSN 10	Deputy Director, Financial Management Systems, OFM	Gaithersburg MD	1	3	To ensure orderly shutdown of financial management operations and business systems.
OS OSN 11	Supervisory IT Manager, OFM	Gaithersburg MD	1	3	To ensure orderly shutdown of financial management systems.
OS OSN 12	Human Resource Specialists, OHRM	Washington DC	5	2	To ensure orderly shutdown of human resource management operations and systems.

Position		Duty Station	# of Employees	Days Needed	Justification
OS OSN 13	Human Resource Specialists, OHRM	Washington DC	2	5	To ensure orderly shutdown of human resource management operations and systems.
OS OSN 14	Management Analyst (Contracting Officer), OSY	Washington DC	1	1	To ensure orderly shutdown of OSY's contractual obligations.
OS OSN 15	Departmental Mail Manager, OFEQ	Washington DC	1	2	Ensures USPS coordination, oversight, and completeness of orderly shutdown of all bureau mail centers, ensuring proper provisions are in place to hold and secure mail items in accordance with CFR requirements.
OS OSN 16	OS Mail Manager, OFEQ	Washington DC	1	2	Executes shutdown procedures provided by Department Manager. Notifies shipping vendors and contractors to stop mail/package deliveries; secures and locks mail facility including mail meters, customer service areas and courier entrances.
Office of the Chief Information Officer (OCIO)					
	None		0		
Office of the General Counsel (OGC)					
OS OSN 17	Deputy Chief, Employment & Labor Law Division	Washington DC	1	5	Closing of litigation cases, filing motions to stay.
OS OSN 18	Chief, General Litigation Division	Washington DC	1	3	
OS OSN 19	Chief, Information Law Division	Washington DC	1	3	
OS OSN 20	Assistant General Counsel for Admin and Transactions	Washington DC	1	3	Closing of various files and legal matters
OS OSN 21	Assistant General Counsel for Employment, Litigation and Information	Washington DC	1	3	
OS OSN 22	Asst. General Counsel for Legis. & Reg.	Washington DC	1	2	
OS OSN 23	Chief Counsel for Legislation	Washington DC	1	1	
OS OSN 24	Chief Counsel for Regulations	Washington DC	1	1	
OS OSN 25	Chief Counsel for Oversight	Washington DC	1	1	
OS OSN 26	Chief, Federal Assistance Law Division	Washington DC	1	1	
OS OSN 27	Chief, Real Property and Environmental Law	Washington DC	1	1	
OS OSN 28	Chief Counsel for International Commerce	Washington DC	1	1	
OS OSN 29	Chief Counsel for Trade Enforcement and Compliance	Washington DC	1	2	

	Position	Duty Station	# of Employees	Days Needed	Justification
OS OSN 30	Chief Counsel for Economic Affairs	Washington DC	1	2	Closing of various files and legal matters
OS OSN 31	Chief Counsel for NIST	Gaithersburg MD	1	2	
OS OSN 32	OGC/OGC-BIS Attorney Advisor	Washington DC	2	3	OGC/OGC-BIS Attorney Advisor
OS OSN 33	Supervisory Management Analyst	Washington DC	1	3	Closing of various administrative services to OGC
Enterprise Services Organization (ESO)					
OS OSN 34	Human Resources Information Technology Lead	Washington DC	1	1	Provide the liaison function between Department of Treasury HRConnect operations and Department of Commerce bureaus on orderly shutdown needs for HRConnect.
		TOTAL	41		

Excepted Positions

Bureau-Office:

Office of the Secretary - 5.2.1.2

<p>Key for justification of excepted positions:</p> <ol style="list-style-type: none"> 1. Their compensation is financed by a resource other than annual appropriations 2. They are necessary to perform activities expressly authorized by law 3. They are necessary to perform activities necessarily implied by law 4. They are necessary to the discharge of the President's constitutional duties and powers 5. They are necessary to protect life and property
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Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
Immediate Office of the Secretary (IOS)							
OS EP 1	Secretary*	Washington DC	1	3	OS		PAS performing the duties and functions of the office and overall management decisions and operations for the protection of life and property. *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
OS EP 2	Chief of Staff	Washington DC	1	5	OS		Assist Presidential Appointee in administration, coordination, and executive support of excepted functions.
OS EP 3	Executive Assistant	Washington DC	1	5	OS		Assist Presidential Appointee in administration, coordination, and executive support of excepted functions.
OS EP 4	Deputy Secretary*	Washington DC	1	3	OS	DepSec	PAS performing the duties and functions of the office and overall management decisions and operations for the protection of life and property. *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
OS EP 5	Chief of Staff to the Deputy Secretary	Washington DC	1	5	OS	DepSec	Assist Presidential Appointee in administration, coordination, and executive support of excepted functions.
OS EP 6	Senior Advisor to the Deputy Secretary	Washington DC	1	5	OS	DepSec	Assist Presidential Appointee in administration, coordination, and executive support of excepted functions.
OS EP 7	Director, Office of Public Affairs	Washington DC	1	5	OS	OPA	Assist Presidential Appointee in administration, coordination, and executive support of excepted functions.
OS EP 8	Assistant Secretary for Legislative and Intergovernmental Affairs*	Washington DC	1	3	OS	OLIA	PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
OS EP 9	Executive Protection Unit	Washington DC	6	5	OS	COS/EPU	Provide Presidential appointee protection.
OS EP 10	Senior Advisor	Washington DC	2	5	OS	OS	Assist in carrying out excepted functions
Office of the Chief Financial Officer and Assistant Secretary for Administration (OCFO/ASA)							
OS EP 11	Chief Financial Officer and Assistant Secretary for Administration (CFO/ASA)	Washington DC	1	5	OS	OCFO	CFO/ASA performing the duties and functions of the office and overall management decisions and operations for the protection of life and property.
OS EP 12	Deputy Assistant Secretary for Administration	Washington DC	1	5	OS	OCFO	Support the CFO/ASA in oversight of emergency functions of the Department. Senior official responsible for providing oversight of building operations, responding to requests concerning facilities, mail, and other administrative issues across the DOC and HCHB.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative	
OS EP 13	Chief of Staff to the CFO/ASA	Washington DC	1	5	OS	OCFO	In support of CFO/ASA performing the duties and functions of the office and overall management decisions and operations for the protection of life and property.	
OS EP 14	Senior Procurement Executive	Washington DC	1	5	OS	OCFO	OAM	Employee will be retained to protect life or property as may be required through exercise of responsibilities as the Senior Procurement Executive (SPE) for the Department of Commerce (Director, OAM). The SPE alone has been delegated authority to approve Emergency Acquisition Flexibilities without further delegation.
OS EP 15	Director of Human Capital and Chief Human Resource Officer	Washington DC	1	5	OS	OCFO	OHRM	Primary POC for the DOC to administer the furlough and to assist DOC leadership in bringing employees back to work as emergency or excepted functions are identified during the furlough. The role is to maintain the list of furloughed employees and coordinate with OSY and other support functions in returning employees to work as needed to sustain property and safety during the furlough period.
OS EP 16	Director for Security	Washington DC	1	5	OS	OCFO	OSY	Front Office: Security Leadership/Policy
OS EP 17	Deputy Director for Security	Washington DC	1	5	OS	OCFO	OSY	Front Office: Security Leadership/Policy.
OS EP 18	Security Specialist	Washington DC	2	5	OS	OCFO	OSY	Security & Emergency Management Division: CORs, Guards/Access Control
OS EP 19	Security Specialist	Silver Spring MD	1	5	OS	OCFO	OSY	Security & Emergency Management Division: CORs, Guards/Access Control
OS EP 20	Security Specialist	Suitland MD	2	5	OS	OCFO	OSY	Security & Emergency Management Division: CORs, Guards/Access Control
OS EP 21	Supervisory Security Specialist	Jeffersonville IN	1	5	OS	OCFO	OSY	Supervisory client security service division (HQ and field) - CORs, Guards/Access Control.
OS EP 22	Supervisory Security Specialist	Seattle WA	1	5	OS	OCFO	OSY	Supervisory Client Security Service Division: CORs, Guards/Access Control.
OS EP 23	Criminal Investigator	Washington DC	1	5	OS	OCFO	OSY	Investigations & Threat Management Division: Protective Intel/JTTF Coord/SCIF/Investigations
OS EP 24	Supervisory Criminal Investigator	Washington DC	1	5	OS	OCFO	OSY	Supervisory Investigations & Threat Management Division: Protective Intel/JTTF Coord/SCIF/ Investigations
OS EP 25	Supervisory Security Specialist	Washington DC	1	5	OS	OCFO	OSY	Supervisory Security & Emergency Management Division: Emergency Operations Center Staffing (COG, COOP, OEP)
OS EP 26	Emergency Management Specialist	Washington DC	1	5	OS	OCFO	OSY	Security & Emergency Management Division: Emergency Operations Center Staffing (COG, COOP, OEP)

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
OS EP 27	Police Officer	Boulder CO	3	5	OS	OCFO	OSY	Client Security Services Division: NIST - Law Enforcement Functions
OS EP 28	Supervisory Police Officer	Boulder CO	3	5	OS	OCFO	OSY	Supervisory Client Security Services Division: NIST - Law Enforcement Functions
OS EP 29	Police Officer	Gaithersburg MD	2	5	OS	OCFO	OSY	Client Security Services Division: NIST - Law Enforcement Functions
OS EP 30	Supervisory Police Officer	Gaithersburg MD	4	5	OS	OCFO	OSY	Supervisory Client Security Services Division: NIST - Law Enforcement Functions
OS EP 31	Security Specialist	Reston VA	2	1	OS	OCFO	OSY	Client Security Services Division: FirstNet - other than annual appropriation security support
OS EP 32	Supervisory Security Specialist	Reston VA	1	1	OS	OCFO	OSY	Supervisory Client Security Services Division: FirstNet - other than annual appropriation security support
OS EP 33	Supervisory Security Specialist	Gaithersburg MD	1	5	OS	OCFO	OSY	Supervisory Client Security Services Division: CORs, Guards, Access Control
OS EP 34	Criminal Investigator	Reston VA	2	1	OS	OCFO	OSY	Client Security Services Division: FirstNet - other than annual appropriation criminal investigative support
OS EP 35	Director, Office of Facilities & Environmental Quality	Washington DC	1	5	OS	OCFO	OFEQ	Senior official responsible for providing oversight of building operations, responding to requests concerning facilities, mail, and other administrative issues across DOC and HCHB.
OS EP 36	Associate Director, Office of Building Renovation	Washington DC	1	5	OS	OCFO	OAS	Program manager responsible for the on-going renovation of the HCHB and providing oversight to the construction and modifications of property in accordance with established standards for the protection of the property and safety of the building's occupants. Will also need to be responsible for interactions with GSA who will be present.
OS EP 37	HVAC Personnel	Washington DC	10	5	OS	OCFO	OAS	Employee will act as the HCHB duty engineer for all operation and maintenance issues; will rotate in shift work, approximately 18-27 hours per week to ensure life safety mechanical, electrical, and plumbing systems are maintained operational to sustain 24/7 building operations for excepted personnel.
OS EP 38	Director, Travel Management Division	Washington DC	1	5	OS	OCFO	OFM	To enable emergency travel of excepted employees.
OS EP 39	Director, Office of Administrative Programs	Washington DC	1	5	OS	OCFO	OFM	To enable emergency travel of excepted employees.
OS EP 40	Purchase Card Program Manager	Washington DC	1	5	OS	OCFO	OAM	Required to support excepted activities.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative	
Office of the Chief Information Officer (OCIO)								
OS EP 41	Chief Information Officer	Washington DC	1	5	OS	OS/OCIO	Senior Leadership for the Department's IT Infrastructure and IT Operations for emergency functions.	
OS EP 42	Deputy Chief Information Officer	Washington DC	1	5	OS	OS/OCIO	Deputy CIO providing oversight for staff required to carry-out operations support for emergency functions and to assist the CIO and CISO in protecting and maintaining the IT infrastructure and related technology functions for the Office of the Secretary, MBDA, EDA, and ESA.	
OS EP 43	Chief Information Security Officer (CISO)	Washington DC	1	5	OS	OS/OCIO	Senior Leadership for the Department's IT Security and Cyber related functions including mandated DHS and OMB computer security measures.	
OS EP 44	IT Specialist	Washington DC	5	5	OS	OS/OCIO	Provide support for all Broadcast messages that need to be sent out. Provide Tier 3 Helpdesk support in troubleshooting all servers and workstation related issues. National Security-SCIF, Computer Incident Response Team as mandated by DHS and OMB for response to possible computer security incidents.	
Office of the General Counsel (OGC)								
OS EP 45	General Counsel (PAS)*	Washington DC	1	3	OS	OGC	OGC FO	Provision of legal advice to the Secretary/Bureaus on emergency matters continuing in the Department *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
OS EP 46	Senior Counsel to the General Counsel	Washington DC	1	5	OS	OGC	OGC FO	Provision of legal advice to the Secretary/Bureaus on emergency matters continuing in the Department
OS EP 47	Chief, General Law Division	Washington DC	1	5	OS	OGC	AGC/ ADTRAX	Provision of legal advice to the Secretary/Bureaus on appropriations issues arising in Emergency matters continuing in the Department
OS EP 48	Attorney Advisor	Washington DC	1	5	OS	OGC	AGC/ ADTRAX	
OS EP 49	Chief, Employment & Labor Law Division	Washington DC	1	5	OS	OGC	AGC/LEO	Provision of legal advice to the Secretary/Bureaus on Employment issues arising in Emergency matters continuing in the Department
OS EP 50	Chief, Contract Law Division	Washington DC	1	5	OS	OGC	AGC/ ADTRAX	Provision of legal advice to the Secretary/Bureaus on Emergency contract matters continuing in the Department

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative	
OS EP 51	Chief, Ethics Law and Program Law	Washington DC	1	1	OS	OGC	AGC/ADTRAX	Provision of ethics advice to Department components that are continuing operations because of the availability of other than current year annual appropriations (i.e., USPTO and FirstNet) and where such components are funding this activity.
OS EP 52	Intelligence Operations Specialist	Washington DC	1	5	OS	OGC	Ex. Support	Dissemination of intelligence to the Secretary/Bureaus; and Operation of Crisis Management System secure communications
OS EP 53	Chief Counsel BIS	Washington DC	1	5	OS	OGC	Chief Counsel for BIS	Provision of legal advice to BIS on matters of Emergency law enforcement involving the protection of life and property and the otherwise authorized by law exception for export licensing during international emergencies.
OS EP 54	Chief, Enforcement and Litigation Division	Washington DC	1	5	OS	OGC	Chief Counsel for BIS	Legal advice on law enforcement matters
OS EP 55	Chief, BIS Programs Division	Washington DC	1	4	OS	OGC	Chief Counsel for BIS	Activities authorized by law, especially emergency export license applications
Enterprise Services Organization (ESO)								
OS EP 56	Director of Acquisition Services	Washington DC	1	5	OS	ES	Office of Acquisition Services	Assist Departmental leadership with acquisition issues related to excepted activities as needed.
		TOTAL	87					

Excepted IT Requirements & Contracts

Bureau-Office:

Office of the Secretary - 5.2.1.3

List of Excepted IT Requirements & Contracts
Email
File Shares
Mobile Devices (iPhone, iPads)
Desktops/Laptops/Microsoft Office/Adobe Acrobat
Printers
Internet
Network Connectivity
Office Phone
Fax Machines
TSDN equipment and connectivity
TFIN equipment and connectivity
Secure Phone equipment and connectivity
Secure Fax equipment and connectivity
Network Connectivity
Guards (HCHB), (BOULDER), (GAITHERSBURG)
Barrier Maintenance - on-call
Locksmith - on-call
TSCM - on-call
Delivery Service
Cable Service
Information Services (Law Enforcement)
Emergency Notification System (with ITA)
SA1301-12-CN-0066 - HCHB Mechanical Control System. Required to ensure life and safety of excepted employees during a shutdown. Emergency repairs to the building main control system may be required. Normal services are not required during a shut down of up to 4 weeks. Duty engineer would provide oversight.
SA1301-13-NC-0065 - HCHB chiller water test and treatment. Required to ensure life and safety of excepted employees during a shutdown. Water testing and treatment should be performed monthly with next test due October 4th. Routine maintenance services are not required during a shutdown of up to 4 weeks. Duty Engineer would provide oversight.
SA1301-11-CN-0017 - HCHB elevator maintenance and repair. Required to ensure life and safety of excepted employees during a shutdown. Emergency services should continue. Duty Engineer would provide oversight.

List of Excepted IT Requirements & Contracts
SA1301-12-CN-0054 - HCHB HVAC equipment maintenance. Required to maintain critical building heating, ventilation, and air conditioning systems during a shutdown. Also, emergency repairs to the chillers and cooling towers may be required. Duty engineer would provide oversight.
SA1301-11-NC-0023 - HCHB pest control services. Required to ensure hygiene of facility and health of excepted employees during a shutdown. Service would be provided as needed. Duty Engineer would provide oversight.
SA1301-12-CN-0003 - HCHB janitorial and snow removal services. Required to ensure hygiene of facility and safety of excepted employees during a shutdown. Reduced janitorial services would be provided. Also, snow removal would be provided if needed. Duty Engineer would provide oversight.
SA1301-12-CN-0037 - Trash removal. Required to ensure health and hygiene of facility and health of excepted employees during a shutdown and will be available on a limited basis. Duty Engineer would provide oversight.
SA1301-15-RQ-0011 - Repair and Refurbishment Construction Work. Duty engineer would provide oversight for any work pre-scheduled and awarded.
SA1301-14-BU-0004 - Repair and Refurbishment Carpet Contract. Duty engineer would provide oversight for any work pre-scheduled and awarded.
GS-11-P-16-ZG-C-7017 - Interior design for space refurbishments and reconfigurations. Funds and contract awarded and fully funded through GSA.
*SA1301-12-CN-0030 (OFMS "CSC"), MSI Contract - 10 (for excepted functions as required)
*SB1341-16-NC-0286 (OFMS "CSC"), Accenture - 2 contractors (for excepted functions as required)
E2 - for excepted travel as required
CSRA
Deloitte
Accenture Federal Services

Orderly Shutdown Needs

Bureau-Office:

Bureau of Economic Analysis - 5.2.2.1

	Position	Duty Station	# of Employees	Days Needed	Justification
BEA OSN 1	Deputy Director, ES-0110	Suitland MD	1	1	Overall management of shutdown implementation
BEA OSN 2	Chief Administrative Officer, ES-0343	Suitland MD	1	1	Primary coordinator of contingency plan and communication of details to internal staff and external users and customers, press, etc.
BEA OSN 3	Division Chief, Communications, ZA-0343	Suitland MD	1	1	Communication to internal staff and external users, customers, press
BEA OSN 4	Division Chief, Administrative Services, ZA-0343	Suitland MD	1	1	Oversee and coordinate shutdown from administrative perspective
BEA OSN 5	Chief, Workforce Services, ZA-0343	Suitland MD	1	1	Oversee personnel closeout/physical shutdown
BEA OSN 6	Chief, Human Capital, ZA-0343	Suitland MD	1	1	Supervise time & attendance closeout and all other personnel issues
BEA OSN 7	Management Analyst, Human Capital Section, ZS-0343	Suitland MD	1	1	Time & attendance closeout
BEA OSN 8	Management Analyst, Workforce Services Human Capital Section, ZS-0344	Suitland MD	1	1	Time & attendance closeout
		TOTAL	8		

Excepted Positions

Bureau-Office:

Bureau of Economic Analysis - 5.2.2.2

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative	
BEA EP 1	Director, ES-0110	Suitland MD	1	5	BEA	OD	Office of the Director	The Director will be available for overall management decisions and operations. The Director and Deputy Director will rotate to be available for overall management decisions and operations (1 FTE - 2 positions).
BEA EP 2	Chief Information Officer, ES-2210	Suitland MD	1	5	BEA	OCIO	OCIO	Oversee and coordinate IT systems shutdown, then monitor with assigned IT staff.
BEA EP 3	IT Specialist, ZP-2210	Suitland MD	1	5	BEA	OCIO	Network Operations	Oversee and coordinate IT systems shutdown, then monitor with assigned IT staff. Three IT staff will rotate daily and monitor network security and ensure the protection of the critical intellectual property within BEA's IT systems (1 FTE - 3 positions).
		TOTAL	3					

Excepted IT Requirements & Contracts

Bureau-Office:

Bureau of Economic Analysis - 5.2.2.3

List of Excepted IT Requirements & Contracts
Email
File Shares
Mobile Devices (Smart Phones, Tablets)
Internet
Network
Desktops/Laptops/Microsoft Office
Phones and Fax
Printers
Adobe Acrobat

Orderly Shutdown Needs

Bureau-Office:

Bureau of Industry and Security - 5.2.3.1

	Position	Duty Station	# of Employees	Days Needed	Justification
	BIS will not require any non-excepted personnel to work more than half a day in order to conduct orderly shutdown of the bureau.				
		TOTAL	0		

Excepted Positions

Bureau-Office: **Bureau of Industry and Security - 5.2.3.2**

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
BIS EP 1	Under Secretary*	Washington DC	1	3	BIS	US	OUS	PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
BIS EP 2	Deputy Under Secretary	Washington DC	1	5	BIS	DUS	DUS	Provide strategic and tactical support for excepted functions and activities
BIS EP 3	Senior Advisor	Washington DC	2	5	BIS	DUS	DUS	Assist Under Secretary in carrying out excepted functions.
BIS EP 4	Assistant Secretary for Export Enforcement*	Washington DC	1	3	BIS	EE	OAS	PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
BIS EP 5	Deputy Assistant Secretary for Export Enforcement	Washington DC	1	5	BIS	EE	OAS	Supervise/coordinate enforcement activities
BIS EP 6	Director	Washington DC	1	5	BIS	EE	OEE	Approve/coordinate activities relevant to ongoing criminal investigations
BIS EP 7	Supvy Criminal Investigator	Washington DC	8	5	BIS	EE	OEE	Manage/conduct ongoing criminal investigations
BIS EP 8	Supvy Criminal Investigator	New York NY	2	5	BIS	EE	OEE	Manage/conduct ongoing criminal investigations
BIS EP 9	Supvy Criminal Investigator	Boston MA	2	5	BIS	EE	OEE	Manage/conduct ongoing criminal investigations

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
BIS EP 10	Supvy Criminal Investigator	Miami FL	1	5	BIS	EE	OEE	Manage/conduct ongoing criminal investigations
BIS EP 11	Supvy Criminal Investigator	Atlanta GA	1	5	BIS	EE	OEE	Manage/conduct ongoing criminal investigations
BIS EP 12	Supvy Criminal Investigator	Chicago IL	1	5	BIS	EE	OEE	Manage/conduct ongoing criminal investigations
BIS EP 13	Supvy Criminal Investigator	Herndon VA	2	5	BIS	EE	OEE	Manage/conduct ongoing criminal investigations
BIS EP 14	Supvy Criminal Investigator	Los Angeles CA	2	5	BIS	EE	OEE	Manage/conduct ongoing criminal investigations
BIS EP 15	Supvy Criminal Investigator	San Jose CA	2	5	BIS	EE	OEE	Manage/conduct ongoing criminal investigations
BIS EP 16	Supvy Criminal Investigator	Portland OR	1	5	BIS	EE	OEE	Manage/conduct ongoing criminal investigations
BIS EP 17	Supvy Criminal Investigator	Dallas TX	2	5	BIS	EE	OEE	Manage/conduct ongoing criminal investigations
BIS EP 18	Supvy Criminal Investigator	Houston TX	1	5	BIS	EE	OEE	Manage/conduct ongoing criminal investigations
BIS EP 19	Criminal Investigator	Washington DC	12	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 20	Criminal Investigator	New York NY	9	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 21	Criminal Investigator	Boston MA	8	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 22	Criminal Investigator	Miami FL	7	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 23	Criminal Investigator	Atlanta GA	3	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 24	Criminal Investigator	Chicago IL	7	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 25	Criminal Investigator	Minneapolis MN	2	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 26	Criminal Investigator	Saint Louis MO	1	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 27	Criminal Investigator	Herndon VA	8	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 28	Criminal Investigator	Cincinnati OH	1	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 29	Criminal Investigator	Charlotte NC	1	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 30	Criminal Investigator	Los Angeles CA	7	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 31	Criminal Investigator	San Diego CA	1	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 32	Criminal Investigator	Phoenix AZ	1	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 33	Criminal Investigator	San Jose CA	6	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 34	Criminal Investigator	Portland OR	3	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 35	Criminal Investigator	Salt Lake City UT	1	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 36	Criminal Investigator	Dallas TX	5	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 37	Criminal Investigator	San Antonio TX	1	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 38	Criminal Investigator	Denver CO	1	5	BIS	EE	OEE	Conduct ongoing criminal investigations
BIS EP 39	Criminal Investigator	Houston TX	3	5	BIS			Conduct ongoing criminal investigations
BIS EP 40	Export Enforcement Specialists	Washington DC	7	5	BIS	EE	OEE	Provide critical support to ongoing criminal investigations
BIS EP 41	Director, Office of Enforcement Analysis	Washington DC	1	5	BIS	EE	OEA	Supervise or conduct the critical support provided for ongoing criminal investigations
BIS EP 42	Supvy Export Compliance	Washington DC	1	5	BIS	EE	OEA	Supervise or conduct the critical support provided for ongoing criminal investigations
BIS EP 43	Supvy Export Compliance	Washington DC	1	5	BIS	EE	OEA	Supervise or conduct the critical support provided for ongoing criminal investigations
BIS EP 44	Supvy Export Compliance	Washington DC	1	5	BIS	EE	OEA	Supervise or conduct the critical support provided for ongoing criminal investigations
BIS EP 45	Supvy Criminal Investigator	Washington DC	1	5	BIS	EE	OEA	Supervise or conduct the critical support provided for ongoing criminal investigations
BIS EP 46	Criminal Investigator	Miami FL	1	5	BIS	EE	OEA	Conduct ongoing criminal investigations

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
BIS EP 47	Export Compliance Specialist	Washington DC	11	5	BIS	EE	OEA	Provide critical support to ongoing criminal investigations
BIS EP 48	Export Compliance Specialist	Washington DC	25	5	BIS	EE	OES	Provide critical support to ongoing criminal investigations
BIS EP 49	Export Compliance Specialist	Houston TX	1	5	BIS	EE	OEA	Provide critical support to ongoing criminal investigations
BIS EP 50	Assistant Secretary for Export Administration*	Washington DC	1	3	BIS	EA	OAS	PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
BIS EP 51	Deputy Assistant Secretary for Export Administration	Washington DC	1	4	BIS	EA	OAS	Supervise execution of export control and defense-related programs
BIS EP 52	Director, Office of National Security and Technology Transfer Controls	Washington DC	1	4	BIS	EA	NSTTC	License emergency export applications
BIS EP 53	Director, Office of Nonproliferation and Treaty Compliance	Washington DC	1	4	BIS	EA	NPTC	License emergency export applications (nuclear related)
BIS EP 54	Director, Office of Strategic Industries and Economic Security	Washington DC	1	4	BIS	EA	SIES	License emergency export application (600-series related)
BIS EP 55	Director, Office of Exporter Services	Washington DC	1	4	BIS	EA	OExS	Draft emergency regulations
BIS EP 56	Supvy Electronics Engineer	Washington DC	1	4	BIS	EA	NSTTC EMD	License emergency export applications (nuclear related); negotiate international control list changes
BIS EP 57	Supvy Export Policy Analyst	Washington DC	3	4	BIS	EA	NPTC/OExS	License emergency export applications (missile, chemical, biological-related); draft emergency regulations
BIS EP 58	Supervisory Trade and Industry Analyst	Washington DC	1	4	BIS	EA	OTE	Analyze export controlled transactions for compliance/foreign policy concerns
BIS EP 59	Senior Export Policy Analyst	Washington DC	1	4	BIS	EA	SIES	Administer Defense Priorities and Allocation System
BIS EP 60	General Engineer	Washington DC	7	4	BIS	EA	NSTTC	License emergency export applications (electronics, integrated circuits, chemicals, lasers, missiles, machine tools, nuclear, explosive detection, underwater submersibles, aircraft, thermal imaging); negotiate international control list changes

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
BIS EP 61	Supervisory General Engineer	Washington DC	1	4	BIS	EA	NSTTC	License emergency export applications (electronics, integrated circuits, chemicals, lasers, missiles, machine tools, nuclear, explosive detection, underwater submersibles, aircraft, thermal imaging); negotiate international control list changes
BIS EP 62	Materials Engineer	Washington DC	3	4	BIS	EA	NSTTC	License emergency export applications (600 series)
BIS EP 63	General Engineer	Washington DC	1	4	BIS	EA	SIES	License emergency export applications (600 series)
BIS EP 64	Aerospace Engineer	Washington DC	1	4	BIS	EA	SIES	License emergency export application (600-series related)
BIS EP 65	Electrical Engineer	Washington DC	2	4	BIS	EA	SIES	License emergency export application (600-series related)
BIS EP 66	Electronics Engineer	Washington DC	1	4	BIS	EA	SIES	License emergency export application (600-series related)
BIS EP 67	Electronics Engineer	Washington DC	6	4	BIS	EA	SIES	License emergency export applications (electronics, semiconductor, thermal imaging, manufacturing, computers, encryption).
BIS EP 68	Supvy Export Policy Analyst	Washington DC	1	4	BIS	EA	NPTC	Negotiate international control list changes.
BIS EP 69	Supvy Export Policy Analyst	Washington DC	1	4	BIS	EA	NPTC	License emergency export applications (sanctions related)
BIS EP 70	Supvy Export Policy Analyst	Washington DC	1	4	BIS	EA	NSTTC	Host international treaty inspections
BIS EP 71	Chief Financial Officer and Director of Administration	Washington DC	1	4	BIS	DUS	CFO/DOA	Provide administrative and resource support for excepted functions and activities.
BIS EP 72	Financial Manager	Washington DC	1	4	BIS	DUS	CFO/DOA	Provide administrative and resource support for excepted functions and activities.
BIS EP 73	Chief Information Officer and Chief Information Security Officer	Washington DC	1	5	BIS	DUS	OCIO	Coordinate and prioritize IT and cyber security resources in support of export enforcement and emergency licensing activities.
BIS EP 74	Deputy Chief Information Officer and Director, Mission Solutions	Washington DC	1	5	BIS	DUS	OCIO	Mission critical application support for both internal (excepted personnel working during shutdown) and external BIS customers including inter-agency partners.
BIS EP 75	Director, Mission Operations	Washington DC	1	5	BIS	DUS	OCIO	Operational network and cyber security support for export enforcement and emergency licensing activities.
BIS EP 76	Information Technology Specialist	Washington DC	1	5	BIS	DUS	OCIO	Operational, mobile device, and network support for export enforcement and emergency licensing activities.
BIS EP 77	Supvy Information Technology Specialist	Washington DC	1	5	BIS	DUS	OCIO	Operational, mobile device, and network support for export enforcement and emergency licensing activities. Service desk operations for export licensing and BIS excepted personnel. DAR for telecommunications.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative	
BIS EP 78	Director, Technology and Services	Washington DC	1	5	BIS	DUS	OCIO	Provide contractor oversight (COTR) and emergency procurement support.
		TOTAL	215					

Excepted IT Requirements & Contracts

Bureau-Office:

Bureau of Industry and Security - 5.2.3.3

List of Excepted IT Requirements & Contracts
Email
File Shares
Mobile Devices (iPhone iPad)
Internet
Desktops/Laptops/Microsoft Office
Phones and Faxes
Printers and Scanners
Mission Critical Applications and Databases
Cyber Security Monitoring and Incident Reporting
Network

Orderly Shutdown Needs

Bureau-Office:

Census Bureau - 5.2.4.1

	Position	Duty Station	# of Employees	Days Needed	Justification
Census OSN 1	Supervisory HR Specialist	Suitland MD	2	3	Payroll and Benefits Processing
Census OSN 2	HR Specialist	Suitland MD	1	3	Payroll Processing
Census OSN 3	HR Specialist	Suitland MD	1	3	Prepare notifications for those who fail to show up in the office.
Census OSN 4	HR Assistant	Suitland MD	2	2	Prepare notifications for those who fail to show up in the office.
Census OSN 5	Secretary, HRD	Suitland MD	1	2	Prepare notifications for those who fail to show up in the office.
Census OSN 6	IT Specialist (INET)	Suitland MD	1	3	IT support to payroll processing, in particular webTA and NFC. Import of T&A data for FRs from WEBFRED if required.
Census OSN 7	IT Specialist (APPSW)	Suitland MD	2	3	IT support to payroll processing, in particular webTA and NFC. Import of T&A data for FRs from WEBFRED if required.
Census OSN 8	IT Specialist	Suitland MD	1	3	HR IT support for all 3 days. IT support to payroll processing, in particular webTA and NFC. Import of T&A data from WEBFRED if required.
Census OSN 9	IT Specialist	Suitland MD	1	3	IT support for DAPPS payroll processing
Census OSN 10	Asst Div Chief, ACQ	Suitland MD	2	5	Perform all necessary emergency contract actions.
Census OSN 11	Mail Branch Chief	Suitland MD	1	3	Handles FedEx shipping of notification packages.
Census OSN 12	Warehouse Section Chief	Suitland MD	1	3	Ensures commercial deliveries are rescheduled or terminated.
Census OSN 13	Property Branch Chief	Suitland MD	1	3	Ensures accountability property is not in ship/receive status and is documented.
Census OSN 14	Leasing Section Chief	Suitland MD	1	3	Continues payment of leases and ongoing lease actions at local and state governments.
Census OSN 15	Travel Branch Chief	Suitland MD	1	3	Coordinates/settles travel for employees in travel status.
Census OSN 16	Asst Division Chief, Facilities	Suitland MD	1	3	Coordinate with GSA on facility Maintenance and Emergencies.
Census OSN 17	COOP Chief	Suitland MD	1	3	Ensures efficient shutdown/establishment of building/business operations.
Census OSN 18	Transportation Section Chief	Suitland MD	1	3	Provide courier services as part of orderly shutdown.

	Position	Duty Station	# of Employees	Days Needed	Justification
Census OSN 19	Budget Officer	Jeffersonville IN	1	3	Payroll processing -- the NPC Budget Office has a total staff of nine (including the Budget Officer and Supervisory Management Analyst) that manages the entire import process of JARS (Jeffersonville Activity Reporting System) data into WebT&A and then manages the validation/certification of 1,900 employees to ensure that everyone gets paid for the time up to a shut-down. This staff will only be on-site until the payroll process is complete.
Census OSN 20	Supervisory Management Analyst	Jeffersonville IN	1	3	Payroll processing -- the NPC Budget Office has a total staff of nine (including the Budget Officer and Supervisory Management Analyst) that manages the entire import process of JARS (Jeffersonville Activity Reporting System) data into WebT&A and then manages the validation/certification of 1,900 employees to ensure that everyone gets paid for the time up to a shut-down. This staff will only be on-site until the payroll process is complete.
Census OSN 21	Civilian Pay Technician	Jeffersonville IN	5	3	Payroll processing -- the NPC Budget Office has a total staff of nine (including the Budget Officer and Supervisory Management Analyst) that manages the entire import process of JARS (Jeffersonville Activity Reporting System) data into WebT&A and then manages the validation/certification of 1,900 employees to ensure that everyone gets paid for the time up to a shut-down. This staff will only be on-site until the payroll process is complete.
Census OSN 22	Management Assistant	Jeffersonville IN	2	3	Payroll processing -- the NPC Budget Office has a total staff of nine (including the Budget Officer and Supervisory Management Analyst) that manages the entire import process of JARS (Jeffersonville Activity Reporting System) data into WebT&A and then manages the validation/certification of 1,900 employees to ensure that everyone gets paid for the time up to a shut-down. This staff will only be on-site until the payroll process is complete.
Census OSN 23	HR Assistants	Jeffersonville IN	1	3	Payroll processing -- the NPC Budget Office has a total staff of nine (including the Budget Officer and Supervisory Management Analyst) that manages the entire import process of JARS (Jeffersonville Activity Reporting System) data into WebT&A and then manages the validation/certification of 1,900 employees to ensure that everyone gets paid for the time up to a shut-down. This staff will only be on-site until the payroll process is complete.
Census OSN 24	IT Specialist	Jeffersonville IN	1	3	Printing furlough notices and preparing packages to be sent to all FR and work-at-home employees

	Position	Duty Station	# of Employees	Days Needed	Justification
Census OSN 25	Lead Peripheral Equipment Operator	Jeffersonville IN	1	3	Printing furlough notices and preparing packages to be sent to all FR and work-at-home employees
Census OSN 26	Supv. Document Services Specialist	Jeffersonville IN	1	3	Printing furlough notices and preparing packages to be sent to all FR and work-at-home employees
Census OSN 27	Materials Handler	Jeffersonville IN	1	3	Printing furlough notices and preparing packages to be sent to all FR and work-at-home employees
Census OSN 28	Electronics Worker	Jeffersonville IN	1	3	Printing furlough notices and preparing packages to be sent to all FR and work-at-home employees
Census OSN 29	Lead Mail Clerk	Jeffersonville IN	1	3	Printing furlough notices and preparing packages to be sent to all FR and work-at-home employees
Census OSN 30	Lead Mail Processing Equip. Operator	Jeffersonville IN	1	3	Printing furlough notices and preparing packages to be sent to all FR and work-at-home employees
Census OSN 31	FR Rate Specialist	Jeffersonville IN	1	3	Printing furlough notices and preparing packages to be sent to all FR and work-at-home employees
Census OSN 32	FR Rate Assistant	Jeffersonville IN	1	3	Printing furlough notices and preparing packages to be sent to all FR and work-at-home employees
Census OSN 33	Support Technician	Jeffersonville IN	1	3	Printing furlough notices and preparing packages to be sent to all FR and work-at-home employees
Census OSN 34	Administrative Specialist	Atlanta GA	2	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 35	Admin Tech/Clerk	Atlanta GA	2	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 36	Regional Director	Atlanta GA	1	3	Responsible for checking RO building and property. RD is also responsible for overseeing all shutdown activities in the regional office including payroll.
Census OSN 37	Data Collection Coordinator	Atlanta GA	1	3	Responsible for checking RO building and property.
Census OSN 38	Administrative Officer	Atlanta GA	1	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 39	IT Specialist	Atlanta GA	1	3	Provides IT support to staff who are in the office closing out payroll.
Census OSN 40	Regional Director	Chicago IL	1	3	Responsible for checking RO building and property. RD is also responsible for overseeing all shutdown activities in the regional office including payroll.
Census OSN 41	Administrative Technicians	Chicago IL	3	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 42	Coordinator	Chicago IL	1	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 43	Administrative Officer	Chicago IL	1	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 44	Administrative Specialist	Chicago IL	2	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.

	Position	Duty Station	# of Employees	Days Needed	Justification
Census OSN 45	IT Specialist	Chicago IL	1	3	Provides IT support to staff who are in the office closing out payroll.
Census OSN 46	Regional Director	Denver CO	1	3	Responsible for checking RO building and property. RD is also responsible for overseeing all shutdown activities in the regional office including payroll.
Census OSN 47	Administrative Officer	Denver CO	1	3	Ensures paper T&A forms are keyed in, verified, and corrected.
Census OSN 48	Administrative Technicians	Denver CO	6	3	Ensures paper T&A forms are keyed in, verified, and corrected.
Census OSN 49	Lead Administrative Technicians	Denver CO	2	3	Ensures paper T&A forms are keyed in, verified, and corrected.
Census OSN 50	Administrative Specialist	Denver CO	2	3	Ensures paper T&A forms are keyed in, verified, and corrected.
Census OSN 51	IT Specialist	Denver CO	1	3	Provides IT support to staff who are in the office closing out payroll.
Census OSN 52	Clerk	Denver CO	1	3	Ensures paper T&A forms are keyed in, verified, and corrected.
Census OSN 53	Regional Director	Los Angeles CA	1	3	Responsible for checking RO building and property. RD is also responsible for overseeing all shutdown activities in the regional office including payroll.
Census OSN 54	IT Specialist	Los Angeles CA	1	3	Provides IT support to staff who are in the office closing out payroll.
Census OSN 55	Supv. Survey Statistician	Los Angeles CA	1	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 56	Administrative Officer	Los Angeles CA	1	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 57	Administrative Specialist	Los Angeles CA	1	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 58	Regional Director	Philadelphia PA	1	3	Responsible for checking RO building and property. RD is also responsible for overseeing all shutdown activities in the regional office including payroll.
Census OSN 59	Administrative Officer	Philadelphia PA	1	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 60	Administrative Specialist	Philadelphia PA	2	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 61	Administrative Technician/Clerk	Philadelphia PA	1	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 62	Office Automation Asst	Philadelphia PA	1	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 63	IT Specialist	Philadelphia PA	1	3	Provides IT support to staff who are in the office closing out payroll.

Position		Duty Station	# of Employees	Days Needed	Justification
Census OSN 64	Regional Director	New York NY	1	3	Responsible for checking RO building and property. RD is also responsible for overseeing all shutdown activities in the regional office including payroll.
Census OSN 65	Asst Regional Director	New York NY	1	3	Responsible for checking RO building and property.
Census OSN 66	Administrative Coordinator	New York NY	1	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 67	Administrative Specialist	New York NY	3	3	Ensures paper 308 T&A forms are keyed in, verified, and corrected.
Census OSN 68	IT Specialist	New York NY	1	3	Provides IT support to staff who are in the office closing out payroll.
		Total	92		

Excepted Positions

Bureau-Office:

Census Bureau - 5.2.4.2

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
Census EP 1	Director*	Suitland MD	1	3	ESA	Census	DIR	PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
Census EP 2	Deputy Director	Suitland MD	1	5	ESA	Census	DIR	Overall management for emergency protection of Census property.
Census EP 3	Division Chief	Suitland MD	1	5	ESA	Census	ACSD	Facility Emergency Management Official
Census EP 4	Facilities Manager	Suitland MD	1	5	ESA	Census	ACSD	Coordinate with GSA on facility Maintenance and Emergencies
Census EP 5	Division Chief	Suitland MD	1	5	ESA	Census	HRD	Oversees payroll processing, benefits processing, employee notices and other HR admin functions. Responsible for exception recall notices and other excepted HR functions during a shutdown.
Census EP 6	Boiler Plant Operator	Jeffersonville IN	4	5	ESA	Census	NPC	Maintain boiler plant for facility
Census EP 7	Pipefitter	Jeffersonville IN	1	5	ESA	Census	NPC	Make necessary repairs to boiler plant or facility plumbing.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
Census EP 8	Director	Jeffersonville IN	1	5	ESA	Census	NPC	In addition to day-today facility management activities, responsibilities include ensuring that Title 13 and Title 26 mail is picked up and secured as scheduled (the post office does have the ability to hold Census mail). The Director is also responsible for ensuring that facility security procedures are maintained and must maintain NPC's in-bound production fax machines that will remain operational to receive Economic survey responses (thousands per day) and the equipment must be managed to ensure paper and toner are continually maintained.
Census EP 9	Supv. Facility Ops Spec	Jeffersonville IN	1	5	ESA	Census	NPC	Responsible for facility maintenance and responding to facility emergencies.
Census EP 10	Section Chief	Suitland MD	1	5	ESA	Census	LTSO	Maintains IT Service Help Desk providing support for the Virtual Desktop Infrastructure so that excepted personnel can perform their jobs during shutdown.
Census EP 11	IT Specialist	Suitland MD	1	5	ESA	Census	LTSO	Maintains IT Service Help Desk providing support for the Virtual Desktop Infrastructure so that excepted personnel can perform their jobs during shutdown.
Census EP 12	IT Technical Security	Suitland MD	4	5	ESA	Census	OIS	Monitoring of Security devices for indications of malicious or abnormal behavior consistent with the compromise of a system. Performance of incident response as outlined in NIST 800-61.
Census EP 13	IT Technical Security	Suitland MD	1	5	ESA	Census	OIS	Oversight and management of SOC, Situational Awareness, and Incident Response activities.
Census EP 14	Branch Chief	Suitland MD	1	5	ESA	Census	TCO	Provides managerial oversight for all network, security, voice to support excepted personnel in the performance of their duties during shutdown.
Census EP 15	IT Specialist	Suitland MD	1	5	ESA	Census	TCO	COR for telecomm contractors. Required to maintain telecomm systems to support excepted personnel in the performance of their duties during shutdown.
Census EP 16	Branch Chief	Suitland MD	1	5	ESA	Census	TCO	Provides managerial oversight for all network, security, voice to support excepted personnel in the performance of their duties during shutdown.
Census EP 17	IT Specialist	Suitland MD	2	5	ESA	Census	ADSD	Provides managerial oversight for all network, security, voice to support excepted personnel in the performance of their duties during shutdown.
Census EP 18	IT Specialist (Sys Admin)	Suitland MD	1	5	ESA	Census	CSvD	Manages the ISSA/Ace System Administrator contractors to ensure systems are properly maintained and protected for the duration of the shutdown and are available to excepted personnel in the performance of their duties during shutdown.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative	
Census EP 19	IT Specialist (Customer Support)	Suitland MD	1	5	ESA	Census	CSvD	Manages Bowie Computer Center facility and responsible for protecting the property for the duration of the shutdown.
Census EP 20	IT Specialist	Suitland MD	1	5	ESA	Census	CSvD	Provides technical and contractual oversight and guidance to the Decennial servers and storage processing infrastructure. Ensures servers are properly maintained and protected for the duration of the shutdown and are available to excepted personnel in the performance of their duties during shutdown.
		TOTAL	27					

Excepted IT Requirements & Contracts

Bureau-Office:

Census Bureau - 5.2.4.3

List of Excepted IT Requirements & Contracts
Email
File Shares
Wireless Devices
Internet
Desktops/Laptops/Microsoft Office
Phones and Fax
Printers
Network

Orderly Shutdown Needs

Bureau-Office: [Economic Development Administration - 5.2.5.1](#)

	Position	Duty Station	# of Employees	Days Needed	Justification
EDA OSN 1	Chief Financial Officer/Chief Administrative Officer	Washington DC	1	1	To ensure orderly shutdown of all the operations in all parts of EDA across all time zones. EDA has regional offices in Atlanta, Philadelphia, Chicago, Denver, Austin, and Seattle.
		TOTAL	1		

Excepted Positions

Bureau-Office: Economic Development Administration - 5.2.5.2

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
EDA EP 1	Assistant Secretary for Economic Development*	1	3	EDA			PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official, there will be zero excepted positions in EDA.
	TOTAL	1					

Excepted IT Requirements & Contracts

Bureau-Office:

Economic Development Administration - 5.2.5.3

List of Excepted IT Requirements & Contracts
Network (remote) access and capabilities
eMail (MS 365, Skype for Business)
Web Conferencing capability
Mobile devices (smart phones, tablets)

Orderly Shutdown Needs

Bureau-Office: [Economics and Statistics Administration - 5.2.6.1](#)

Position		Duty Station	# of Employees	Days Needed	Justification
ESA OSN 1	Deputy Under Secretary for Economic Affairs	Washington DC	1	Up to 1	As Chief Operating Officer for all ESA, ensure appropriate shutdown of operating units (OCE, Census, & BEA).
ESA OSN 2	CFO & Director of Administration	Washington DC	1	Up to 1	To ensure orderly shutdown of financial management operations and systems; time & attendance closeout.
		TOTAL	2		

Excepted Positions

Bureau-Office: Economics and Statistics Administration - 5.2.6.1

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
ESA EP 1	Under Secretary of Commerce for Economic Affairs*	1	3	ESA	O/US	O/US	PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official, there will be zero excepted positions in ESA.
	TOTAL	1					

Excepted IT Requirements & Contracts

Bureau-Office:

**Economics and Statistics
Administration - 5.2.6.3**

List of Excepted IT Requirements & Contracts
Verizon Mobile Services/Devices
Adobe Acrobat Professional 11

Orderly Shutdown Needs

Bureau-Office:

International Trade Administration - 5.2.7.1

	Position	Duty Station	# of Employees	Days Needed	Justification
ITA OSN 1	Senior Advisor to the Deputy Under Secretary	Washington DC	1	3	Assist the Deputy Under Secretary with implementing an orderly shutdown (ITA)
ITA OSN 2	Chief Financial and Administrative Officer	Washington DC	1	3	Coordinate, guide, and/or lead shutdown activities across ITA to ensure compliance with policy and law.
ITA OSN 3	Director of Budget	Washington DC	1	3	Coordinate, guide, and/or lead shutdown activities across ITA to ensure compliance with policy and law.
ITA OSN 4	Deputy Assistant Secretary for Industry & Analysis	Washington DC	1	1	Shutdown I&A operations (DAS/I&A)
ITA OSN 5	Director, Office of Planning, Coordination, and Management	Washington DC	1	1	Shutdown I&A operations, logistics, and coordinate return of staff on travel (DAS/I&A/OPCM)
ITA OSN 6	Secretary to Assistant Secretary for Enforcement & Compliance	Washington DC	1	1	Assist AS/E&C shutdown -- scheduling (AS/E&C)
ITA OSN 7	Deputy Assistant Secretary for Enforcement & Compliance	Washington DC	1	1	Shutdown E&C - executive direction (DAS/E&C)
ITA OSN 8	Director of Communications & Senior Policy Advisor to Deputy Assistant Secretary for Enforcement & Compliance	Washington DC	1	1	Assist DAS/E&C -- policy, workflow & scheduling (DAS/E&C)
ITA OSN 9	Director, Office of Operations Support	Washington DC	1	1	Assist DAS/E&C -- logistics (DAS/E&C/OOS)
ITA OSN 10	Information Technology (IT) Manager	Washington DC	1	1	Shutdown or arrange for ACCESS to remain operational along with other designated IT systems (DAS/E&C/OOS)
ITA OSN 11	IT Contractor Support	Washington DC	1	1	Shutdown or arrange for ACCESS to remain operational along with other designated IT systems. Will require contractor support for entire period if ACCESS remains operational with limited or no access to the public. (DAS/E&C/OOS)
ITA OSN 12	Deputy Assistant Secretary for AD/CVD Operations	Washington DC	1	1	Shutdown AD/CVD Operations - executive direction (DAS/ADCVD Ops)
ITA OSN 13	Associate Deputy Assistant Secretary of AD/CVD Operations	Washington DC	1	1	Assist DAS/AD/CVD Ops shutdown AD/CVD Operations (DAS/ADCVD Ops)
ITA OSN 14	AD/CVD Enforcement Directors - Offices I - VIII	Washington DC	8	1	Shutdown AD/CVD cases & coordinate return of staff on travel (DAS/ADCVD Ops)
ITA OSN 15	Deputy Assistant Secretary for Policy & Negotiations (P&N)	Washington DC	1	1	Shutdown PN - executive direction (DAS/PN)
ITA OSN 16	Senior Policy Advisor to Deputy Assistant Secretary for Policy & Negotiations	Washington DC	1	1	Assist DAS/PN shutdown PN (DAS/PN)
ITA OSN 17	Executive Director for Antidumping & Subsidies Policy & Negotiation, Office of Policy	Washington DC	1	1	Shutdown AD/CVD policy & other trade programs & coordinate return of staff on travel (DAS/PN/OP)
ITA OSN 18	Executive Director for Trade Agreements Policy & Negotiations	Washington DC	1	1	Shutdown trade agreement negotiations & compliance programs and coordinate return of staff on travel (DAS/PN/TAPN)

	Position	Duty Station	# of Employees	Days Needed	Justification
ITA OSN 19	Director, Office of Trade Agreements Negotiations & Compliance	Washington DC	1	1	Shutdown trade agreement negotiations & compliance programs and coordinate return of staff on travel (DAS/PN/TANC)
ITA OSN 20	Director, Office of Accounting	Washington DC	1	1	Shutdown AD/CVD Accounting & coordinate return of staff on travel (AS/E&C)
ITA OSN 21	Director, Foreign Trade Zones (FTZ) Staff	Washington DC	1	1	Shutdown FTZ program (DAS/E&C/FTZ)
ITA OSN 22	Deputy Director General of the US and Foreign Commercial Service	Washington DC	1	4	Assist Assistant Secretary for Global Markets & Director General of the US and Foreign Commercial Service with implementing shutdown.
ITA OSN 23	Principal Deputy Assistant Secretary for Global Markets	Washington DC	1	2	Assist Assistant Secretary for Global Markets & Director General of the US and Foreign Commercial Service with implementing shutdown.
ITA OSN 24	Deputy Assistant Secretary for Western Hemisphere	Washington DC	1	2	Assist Assistant Secretary for Global Markets & Director General of the US and Foreign Commercial Service with implementing shutdown.
ITA OSN 25	Deputy Assistant Secretary for Asia	Washington DC	1	2	Assist Assistant Secretary for Global Markets & Director General of the US and Foreign Commercial Service with implementing shutdown.
ITA OSN 26	Deputy Assistant Secretary for Middle East and Africa	Washington DC	1	2	Assist Assistant Secretary for Global Markets & Director General of the US and Foreign Commercial Service with implementing shutdown.
ITA OSN 27	Deputy Assistant Secretary for Europe & Eurasia	Washington DC	1	2	Assist Assistant Secretary for Global Markets & Director General of the US and Foreign Commercial Service with implementing shutdown.
ITA OSN 28	Deputy Assistant Secretary for China	Washington DC	1	2	Assist Assistant Secretary for Global Markets & Director General of the US and Foreign Commercial Service with implementing shutdown.
ITA OSN 29	Deputy Assistant Secretary for Domestic Operations	Washington DC	1	2	Assist Assistant Secretary for Global Markets & Director General of the US and Foreign Commercial Service with implementing shutdown.
ITA OSN 30	Director, Office of Budget (GM)	Washington DC	1	2	Assist Assistant Secretary for Global Markets & Director General of the US and Foreign Commercial Service with issues related to compensation of overseas staff that may be required by Chiefs of Mission to continue reporting to work.
ITA OSN 31	Human Resources Operations Branch Chief, Office of Foreign Service Human Capital	Washington DC	1	3	Assist Director of Foreign Service Human Capital (excepted position) with implementing shutdown, including communications with Commercial Officers or LES who may be in travel status at the time of shutdown.
		TOTAL	38		

Excepted Positions

Bureau-Office:

**International Trade Administration -
5.2.7.2**

<p>Key for justification of excepted positions:</p> <ol style="list-style-type: none"> 1. Their compensation is financed by a resource other than annual appropriations 2. They are necessary to perform activities expressly authorized by law 3. They are necessary to perform activities necessarily implied by law 4. They are necessary to the discharge of the President's constitutional duties and powers 5. They are necessary to protect life and property
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Position ¹	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative	
ITA EP 1	Under Secretary for International Trade*	Washington DC	1	3	ITA	Ex-Ad	ITA	PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
ITA EP 2	Deputy Under Secretary for International Trade	Washington DC	1	5	ITA	Ex-Ad	ITA	Assist Presidential Appointee in administration, coordination, and executive support of emergency functions.
ITA EP 3	Assistant Secretary for Global Markets and Director General for the US and Foreign Commercial Service*	Washington DC	1	3	ITA	GM	GM	PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.

Position ¹	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative	
ITA EP 4	Assistant Secretary for Industry & Analysis*	Washington DC	1	3	ITA	ITA	I&A	PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
ITA EP 5	Assistant Secretary for Enforcement and Compliance*	Washington DC	1	3	ITA	ITA	E&C	PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
ITA EP 6	CIO	Washington DC	1	5	ITA	Ex-Ad	OCIO	To assure safety and security of ITA's world-wide employees through expeditious two-way communication, as well as to maintain ITA's network for those staff who are excepted.
ITA EP 7	Director of Network Operations	Washington DC	1	5	ITA	Ex-Ad	OCIO	To assure safety and security of ITA's world-wide employees through expeditious two-way communication, as well as to maintain ITA's network for those staff who are excepted.
ITA EP 8	Chief Information Security Officer	Washington DC	1	5	ITA	Ex-Ad	OCIO	To assure safety and security of ITA's world-wide FCS employees and families through expeditious two-way communication as well as to maintain regular communications with US Department of State's Bureau of Human Resources.
ITA EP 9	Director of Foreign Service Human Capital	Washington DC	1	5	ITA	GM	GM	The OFSHC Director position leads an office that is a US Department of Commerce Servicing Human Resources Office. To assure safety and security of ITA's worldwide FCS employees and their families through expeditious two-way communication as well as to facilitate coordination with State Department's Bureau of Human Resources.

Position ¹	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative	
ITA EP 10	Human Resources Specialist, Office of Foreign Service Human Capital	Washington DC	1	5	ITA	GM	GM	Handle excepted travel orders, transfers, and related Foreign Service issues.
ITA EP 11	Export Control Officer	Hong Kong	1	5	ITA	GM	GM	Conduct on-going overseas pre-licensing and post-shipment checks to ensure compliance with US national security.
ITA EP 12	Export Control Officer	Beijing	2	5	ITA	ITA	GM	Conduct on-going overseas pre-licensing and post-shipment checks to ensure compliance with U.S. national security.
ITA EP 13	Export Control Officer	Singapore	1	5	ITA	ITA	GM	Conduct ongoing overseas pre-licensing and post-shipment checks to ensure compliance with US national security.
ITA EP 14	Export Control Officer	Russia transferred to Germany	1	5	ITA	ITA	GM	Conduct on-going overseas pre-licensing and post-shipment checks to ensure compliance with US national security.
ITA EP 15	Export Control Officer	India	1	5	ITA	ITA	GM	Conduct on-going overseas pre-licensing and post-shipment checks to ensure compliance with US national security.
ITA EP 16	Export Control Officer	United Arab Emirates	1	5	ITA	ITA	GM	Conduct on-going overseas pre-licensing and post-shipment checks to ensure compliance with US national security.
		TOTAL	17					

¹ In addition to staff listed as excepted on the Department's plan, the Department also employs a number of locally employed staff (LES) and senior commercial officers (SCOs) in foreign countries. In the event of a lapse in appropriations, individual determinations regarding LES and SCOs will be made in coordination with the respective country's Chief-of-Mission.

Excepted IT Requirements & Contracts

Bureau-Office:

**International Trade Administration -
5.2.7.3**

List of Excepted IT Requirements & Contracts
Microsoft Officer 365 (mail, OneDrive, Skype)
Amazon Web Services (network storage)
Sales Force
Desktops/Laptops/Microsoft Office
Phones and Faxes (dependent on DOC OCIO at HCHB)
Printers
Network Connectivity
IT Security
Application Development

Orderly Shutdown Needs

Bureau-Office: [Minority Business Development Agency - 5.2.8.1](#)

	Position	Duty Station	# of Employees	Days Needed	Justification
		TOTAL	0		

Excepted Positions

Bureau-Office: Minority Business Development Agency - 5.2.8.2

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
	None (MBDA will not require any excepted positions during a shutdown)							
		TOTAL	0					

Excepted IT Requirements & Contracts

Bureau-Office: **Minority Business Development Agency - 5.2.8.3**

List of Excepted IT Requirements & Contracts

None (MBDA will not require any excepted IT requirements or contracts during a shutdown)

Orderly Shutdown Needs

Bureau-Office: **National Institute of Standards and Technology - 5.2.9.1**

	Position	Duty Station	# of Employees	Days Needed	Justification
NIST OSN 1	Contracting Officer, Series 1102	Gaithersburg MD	8	1	To ensure all stop work orders and suspension notices are given to contractors as needed. The list of contract analysis cannot be determined by the date of this request. The AMD management team will have to send all of the contract notices via electronic means in order for the contractors to receive the stop work order notices timely so they do not incur cost immediately after the shutdown. One or two AMD staff members may need to be available after the 8 hour day if contractors are involved in the orderly shutdown lasting longer than one day. (Reactor or Construction).
NIST OSN 2	Contracting Officer, Series 1102	Boulder CO	1	1	To ensure all stop work orders and suspension notices are given to contractors as needed. The list of contract analysis cannot be determined by the date of this request. The AMD management team will have to send all of the contract notices via electronic means in order for the contractors to receive the stop work order notices timely so they do not incur cost immediately after the shutdown. One or two AMD staff members may need to be available after the 8 hour day if contractors are involved in the orderly shutdown lasting longer than one day. (Construction).
NIST OSN 3	Grants Management Specialist (1109)	Gaithersburg MD	8	1	To ensure that notification and communication is provided to all grant/agreement awardees.
NIST OSN 4	3 Supervisory HR Specialists (201); 14 HR Specialists (201)	Gaithersburg MD	13	1	Assumes electronic issuance of furlough letters.
NIST OSN 5	Physicist, 1310	Gaithersburg MD	2	2	Complex cryogenic equipment must be brought to room temperature slowly to avoid serious damage to unique equipment and to avoid hazardous conditions associated with large volumes of liquid helium.
NIST OSN 6	Physicist, 1310 (1) Chemist, 1320 (1) Electronics Technician, 0856 (1)	Gaithersburg MD	3	14	Need to periodically check liquid helium cryogenic systems during shutdown, about 1 hr/day; completes shutdown requires 2 weeks; anything less risks permanent damage to costly instrumentation.
NIST OSN 7	Physicist, 1310	Gaithersburg MD	2	7	Need to periodically check LN2, cooled ultra high vacuum systems during shutdown, about 1 hr/day; complete shutdown requires 1 week; anything less risks damage to costly equipment.

	Position	Duty Station	# of Employees	Days Needed	Justification
NIST OSN 8	Physicist, 1310	Boulder CO	8	2	Need to periodically check LN2, and liquid helium cryogenic systems during shutdown, about 30 min/day; otherwise, a complete shutdown requires 2 days. Anything less risks permanent damage to costly instrumentation.
NIST OSN 9	Physicist, 1310	Gaithersburg MD	1	14	Need to monitor (and stabilize, if needed) the 40 ton interferometer vibration servo. This is critical. If the interferometer servo operates improperly, it can do serious damage to the interferometer and/or to the guide hall and the reactor. One staff member will need access each day (30 minutes per day) to monitor the system, or at a minimum, two weeks would be needed to shut down the interferometer into sleep mode.
NIST OSN 10	Physicist, 1310 (2)	Gaithersburg MD	2	7	Need access to cryogenic systems to properly shutdown systems. Access on Day 1 to begin shutdown process and about 2 hours on Day 2 to complete shutdown. This will avoid risk of permanent damage to costly instrumentation.
NIST OSN 11	Physicist, 1310	Gaithersburg MD	1	1	Need access on Day 1 to cryogenic systems to properly shutdown systems to avoid risk of damage to costly instrumentation involving ultra-high vacuum installations.
NIST OSN 12	Physicist, 1310	Gaithersburg MD	1	3	Access to the MBE laboratory needed to idle the sources. 1/2 day will be needed the first day. In addition on the first day, the same research must shutdown various lasers and their cooling systems. This also takes 1/2 day. Then for the MBE shutdown, another 1/2 day is needed about 3 days later, and 1 hour is needed 4 days later. This is to ensure proper controlled cool down of sources.
NIST OSN 13	Physicist, 1310	Gaithersburg MD	1	1	Time needed to shutdown multiple wet and dry dilution refrigerators. Each shutdown takes 1/2 day.
NIST OSN 14	Physicist, 1310	Gaithersburg MD	1	1	Putting the equipment in stable standby is outside our normal operating procedure and requires multiple checks to be done to protect the equipment.
NIST OSN 15	Physicist, 1310 (6) Electronic Engineer, 0855 (3) Electronics Technician 0856 (1)	Boulder CO	10	2	Boulder clean room and nanofab needs one day to put system into "standby" or "sleep" mode.
NIST OSN 16	Computer Scientist, 1550	Gaithersburg MD	7	1	Several high performance computational laboratories within ITL-IAD will need to be shutdown physically, including several large storage arrays (and COOP mirrors) and computational systems (over 1000 operational cores).
NIST OSN 17	IT Specialist, 2210	Gaithersburg MD	3	1	Several high performance computational laboratories within ITL-IAD will need to be shutdown physically, including several large storage arrays (and COOP mirrors) and computational systems (over 1000 operational cores).

	Position	Duty Station	# of Employees	Days Needed	Justification
NIST OSN 18	Computer Engineer, 0854	Boulder CO	1	1	PSCR Staff will work the PSCR CRADA Partners to gracefully shutdown the PSCR Public Safety Test Network equipment.
NIST OSN 19	IT Specialist, 2210	Boulder CO	1	1	PSCR Staff will work the PSCR CRADA Partners to gracefully shutdown the PSCR Public Safety Test Network equipment.
NIST OSN 20	Electronics Technician ZT-0856	Boulder CO	1	1	PSCR Staff will work the PSCR CRADA Partners to gracefully shutdown the PSCR Public Safety Test Network equipment.
NIST OSN 21	Electronics Engineer, 0855	Gaithersburg MD	2	2	SmartGrid/CPS staff will work to safely shut down the SmartGrid and CPS testbed facility in the Engineering Laboratory.
		TOTAL	77		

Excepted Positions

Bureau-Office:

National Institute of Standards and
Technology - 5.2.9.2

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 1	Director, ES*	Gaithersburg MD	1	3	NIST	100 (DO)	PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
NIST EP 2	Assoc Dir Mgt & Resources, ES 0340	Gaithersburg MD	1	5	NIST	130 (ADMR)	Overall NIST Shutdown Coordinator and Gaithersburg Facilities Managers
NIST EP 3	Supervisory IT Specialist, Series 2210	Gaithersburg MD	1	5	NIST	137 (ESO)	Maintain the physical security and access control systems; add individuals to the physical security system to allow entrance into the building.
NIST EP 4	Electronics Technician, Series 0856	Boulder CO	1	5	NISAT	137 (ESO)	Maintain the physical security and access control systems; add individuals to the physical security system to allow entrance into the building.
NIST EP 5	Boulder Labs Site Manager, ES-304-DCES	Boulder CO	1	5	NIST	131 (OBSM)	Overall NIST Shutdown Coordinator and Boulder Facilities Managers
NIST EP 6	Environmental Engineer, Series 819	Gaithersburg MD	1	5	NIST	150 (OSHE)	1 HOUR PER WEEK. Weekly inspections of hazardous waste storage facility as required by the US EPA and the Maryland Department of the Environment for the purpose of protecting life and property; hazardous waste by its nature is hazardous to both human health and the environment; in addition, it can undergo chemical reactions, generate heat and pressure, etc. Fridays, 9:00 - 10:00
NIST EP 7	Environmental Engineer, Series 819	Boulder CO	1	5	NIST	150 (OSHE)	1 HOUR PER WEEK. Weekly inspections of hazardous waste storage facility as required by the US EPA and the Colorado Department of Public Health and Environment for the purpose of protecting life and property.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative	
NIST EP 8	OAAM Director/NIST BPO/Competition Advocate, ES 1102	Gaithersburg MD	1	5	NIST	14 (OAAM)		During the shutdown, NIST has several major contracts continuing during this period. The Director of OAAM serves as the Competition Advocate and Contracting Officer during this period. The BPO also serves as one level above the Contracting Officer's signature when required by statutory and regulatory requirements.
NIST EP 9	Contracting Officer Series 1102	Gaithersburg MD	2	5	NIST	140 (OAAM - AMD)		During the shutdown where we have major contracts continuing on an emergency basis, a warranted contracting officer will be required to work. Bureau Procurement Official (BPO) will also serve as the Competition Advocate as necessary. Based on lessons learned, an additional 1102 is required to provide review and signature authority for required awards.
NIST EP 10	HR Specialist (201) for NTIS/BPEP	Gaithersburg MD	2	1	NIST	170 (OHRM)		NTIS-BPEP - continued operations. Each employee will work 20 hours per week. Staff count changed from 1 to 2. Funded by Baldrige Foundation monies via a Service Level Agreement between OISM and BPEP.
NIST EP 11	HR Director of Division Chief (201)	Gaithersburg MD	1	5	NIST	170 (OHRM)		Provide advice in circumstances and ensure compliance with the law, regulation, and policy for unique personnel situations. (10 hours per week)
NIST EP 12	HR Specialist for ER/LR (201)	Gaithersburg MD	1	5	NIST	170 (OHRM)		Ensure labor obligations are met for bargaining unit employees who are working during a shutdown (both NIST and NTIS have bargaining unit employees that are required to work during a shutdown). (10 hours per week)
NIST EP 13	Lead Payroll Technician (544)	Gaithersburg MD	1	5	NIST	170 (OHRM)		Ensure that payroll processes are functional and data is accurate so that salary payments to excepted employees are correct.
NIST EP 14	Supv IT Specialist, 2210	Gaithersburg MD	1	5	NIST	180 (OISM Mgmt)		Supervisory functions for continuing IT operations. This work is necessary to carry out other excepted activities.
NIST EP 15	Supv Compt Scntst-1550	Gaithersburg MD	1	5	NIST	181 (OISM)		Monitoring NIST computer networks for security threats. This work is necessary to carry out other excepted activities.
NIST EP 16	Supr Compt Scntst-1550	Gaithersburg MD	1	5	NIST	181 (OISM)		Monitoring NIST networks for availability. NIST network administration. Safety systems require IT network availability to enable response. This work is necessary to carry out other excepted activities.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 17	IT Specialist-2210	Boulder CO	1	5	NIST	181 (OISM)		Monitoring NIST networks for intrusions. This is required to protect NIST networks, systems, and information and is necessary since NIST is leaving networks and systems during a potential government shutdown. This work is necessary to carry out other excepted activities.
NIST EP 18	Supv IT Tech-2210	Gaithersburg MD	1	5	NIST	182 (OISM)		iTAC, password resets and account management for staff on campus if there is a prolonged closure. This work is necessary to carry out other excepted activities.
NIST EP 19	Supv IT Tech-2210	Gaithersburg MD	1	1	NIST	183 (OISM)		Applications Administration for BPEP systems. Funded by Baldrige Foundation monies via Service Level Agreement between OISM and BPEP. This work is necessary to carry out other excepted activities.
NIST EP 20	IT Spec-2210	Gaithersburg MD	1	5	NIST	184 (OISM)		Virtual Infrastructure, Storage Area Network, Central File Services Administrator (Gburg). This work is necessary to carry out other excepted activities.
NIST EP 21	Comp Scntst-1550	Gaithersburg MD	1	5	NIST	184 (OISM)		Messaging Systems/Office 365 (collaboration sites, OneDrive, email, calendar) Administrators - Gburg. This work is necessary to carry out other excepted activities.
NIST EP 22	IT Spec-2210	Gaithersburg MD	1	5	NIST	184 (OISM)		Web server and web proxy system administration.* This work is necessary to carry out other excepted activities.
NIST EP 23	IT Spec-2210	Gaithersburg MD	1	5	NIST	188 (OISM)		MS SQL DB Administrator/NVD Website Administrator. This work is necessary to carry out other excepted activities.
NIST EP 24	Supv IT Spec-2210	Boulder CO	1	5	NIST	184 (OISM)		Virtual Infrastructure, Storage Area Network, Central File Services Administrator (Gburg). This work is necessary to carry out other excepted activities.
NIST EP 25	IT Spec-2210	Gaithersburg MD	1	1	NIST	184 (OISM)		Systems Administration for BPEP and (OSHE) systems. This work is necessary to carry out other excepted activities.
NIST EP 26	Telecom Mgr-0391	Gaithersburg MD	1	5	NIST	182 (OISM)		Telecommunications system administration. This work is necessary to carry out other excepted activities.
NIST EP 27	Telecom Spec-0391	Boulder CO	1	5	NIST	182 (OISM)		Telecommunications system administration. This work is necessary to carry out other excepted activities.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 28	Supv IT Spec-2210	Boulder CO	1	1	NIST	183 (OISM)	Support of the NVLAP program. Funded by NVLAP with fee income via a Service Level Agreement. Note: This position is part-time (Tue, Thu, 9 to 11am). This work is necessary to carry out other excepted activities.
NIST EP 29	Supv IT Spec-2210	Gaithersburg MD	1	5	NIST	182 (OISM)	Managed Desktop infrastructure administration (Windows). This work is necessary to carry out other excepted activities.
NIST EP 30	IT Spec-2210	Gaithersburg MD	1	5	NIST	182 (OISM)	Managed Desktop infrastructure administration (Macintosh). This work is necessary to carry out other excepted activities.
NIST EP 31	IT Spec-2210	Gaithersburg MD	1	5	NIST	182 (OISM)	Mobile Device management. This work is necessary to carry out other excepted activities.
NIST EP 32	IT Spec-2210	Gaithersburg MD	1	5	NIST	188 (OISM)	Application Administration for ECMO. This work is necessary to carry out other excepted activities.
NIST EP 33	Supv IT Spec-2210	Gaithersburg MD	1	5	NIST	184 (OISM)	System Administration for ECMO servers. This work is necessary to carry out other excepted activities.
NIST EP 34	IT Spec-2210	Gaithersburg MD	1	5	NIST	183 (OISM)	Database Administration for ECMO. This work is necessary to carry out other excepted activities.
NIST EP 35	IT Spec-2210	Gaithersburg MD	1	5	NIST	181 (OISM)	Monitoring CNST and ECMO computer networks for security threats and maintaining CNST and ECMO network security systems. This work is necessary to carry out other excepted activities.
NIST EP 36	IT Spec-2210	Gaithersburg MD	1	5	NIST	189 (OISM)	Active Directory. This work is necessary to carry out other excepted activities.
NIST EP 37	Information Technology Specialist-- ZP-2210-IV -- Gaithersburg MD (1)	Gaithersburg MD	1	1	NIST	183 (OISM)	National Vulnerability Database (NVD) -- dependent on NIST e-mail and web servers being up and running -- The protection of both private and public infrastructure is dependent on the standards-based vulnerability management data that the NVD provides.
NIST EP 38	Firefighter - Chief Series: 0081	Gaithersburg MD	1	5	NIST	137 (OFPM)	NIST Fire Department - Provide fire, emergency and medical response at the Gaithersburg site. Two (2) shifts.
NIST EP 39	Firefighter - Captain Series: 0081	Gaithersburg MD	2	5	NIST	137 (ESO)	NIST Fire Department - Provide fire, emergency and medical response at the Gaithersburg site. Two (2) shifts.
NIST EP 40	Firefighter Series: 0081	Gaithersburg MD	12	5	NIST	137 (ESO)	NIST Fire Department - Provide fire, emergency and medical response at the Gaithersburg site. Two (2) shifts.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 41	Central Plant Shift Supervisor Series: 4742	Gaithersburg MD	5	5	NIST	190 (OFPM)		Operate the Central Plant in Gaithersburg; provide necessary utilities to maintain adequate temperatures in the buildings to protect valuable government assets, incl. buildings, laboratory equipment and research materials. Five (5) shifts.
NIST EP 42	Utility Systems Repair Operators Series: 4742	Gaithersburg MD	10	5	NIST	190 (OFPM)		Operate the Central Plant in Gaithersburg; provide necessary utilities to maintain adequate temperatures in the buildings to protect valuable government assets, incl. buildings, laboratory equipment and research materials. Five (5) shifts.
NIST EP 43	SCWGP Supervisor	Gaithersburg MD	1	5	NIST	190 (OFPM)		Operate the Central Plant in Gaithersburg; provide necessary utilities to maintain adequate temperatures in the buildings to protect valuable government assets, including buildings, laboratory equipment and research materials. Five (5) shifts.
NIST EP 44	Maintenance Mechanic Series: 4742	Gaithersburg MD	1	5	NIST	190 (OFPM)		Operate the Central Plant in Gaithersburg; provide necessary utilities to maintain adequate temperatures in the buildings to protect valuable government assets, incl. buildings, laboratory equipment and research materials. Five (5) shifts.
NIST EP 45	HVAC Mechanic Series: 5306	Gaithersburg MD	2	5	NIST	190 (OFPM)		Maintain the Gaithersburg building systems (mechanical, electrical including distribution, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Maintain electronic security, life safety and building management systems. Continually monitor systems and equipment; make necessary repairs; and respond to facility emergencies.
NIST EP 46	HVAC Shop Supervisor Series: 0802	Gaithersburg MD	1	5	NIST	190 (OFPM)		Maintain the Gaithersburg building systems (mechanical, electrical including distribution, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Maintain electronic security, life safety and building management systems. Continually monitor systems and equipment; make necessary repairs; and respond to facility emergencies.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 47	Engineering Technician (DDC) Series: 0802	Gaithersburg MD	1	5	NIST	190 (OFPM)		Maintain the Gaithersburg building systems (mechanical, electrical including distribution, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Maintain electronic security, life safety and building management systems. Continually monitor systems and equipment; make necessary repairs; and respond to facility emergencies.
NIST EP 48	Maintenance Mechanic (Electrical/High Voltage) Series: 4749	Gaithersburg MD	1	5	NIST	190 (OFPM)		Maintain the Gaithersburg building systems (mechanical, electrical including distribution, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Maintain electronic security, life safety and building management systems. Continually monitor systems and equipment; make necessary repairs; and respond to facility emergencies.
NIST EP 49	High Voltage Mechanic Series: 2810	Gaithersburg MD	1	5	NIST	190 (OFPM)		Maintain the Gaithersburg building systems (mechanical, electrical including distribution, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Maintain electronic security, life safety and building management systems. Continually monitor systems and equipment; make necessary repairs; and respond to facility emergencies.
NIST EP 50	Teletronics Mechanic Series: 2604	Gaithersburg MD	1	5	NIST	190 (OFPM)		Maintain the Gaithersburg building systems (mechanical, electrical including distribution, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Maintain electronic security, life safety and building management systems. Continually monitor systems and equipment; make necessary repairs; and respond to facility emergencies.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 51	Pipefitter Series: 4204	Gaithersburg MD	2	5	NIST	190 (OFPM)	Maintain the Gaithersburg building systems (mechanical, electrical including distribution, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Maintain electronic security, life safety and building management systems. Continually monitor systems and equipment; make necessary repairs; and respond to facility emergencies.
NIST EP 52	Maintenance Mechanic Worker Series: 4206	Gaithersburg MD	1	5	NIST	190 (OFPM)	Maintain the Gaithersburg building systems (mechanical, electrical including distribution, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Maintain electronic security, life safety and building management systems. Continually monitor systems and equipment; make necessary repairs; and respond to facility emergencies.
NIST EP 53	Electrician Series: 2805	Gaithersburg MD	1	5	NIST	190 (OFPM)	Maintain the Gaithersburg building systems (mechanical, electrical including distribution, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Maintain electronic security, life safety and building management systems. Continually monitor systems and equipment; make necessary repairs; and respond to facility emergencies.
NIST EP 54	Mechanical Engineer (COTR) Series: 0830	Gaithersburg MD	1	5	NIST	190 (OFPM)	Minimal government staff necessary to oversee the ongoing operations and services contracts at Gaithersburg. Temporary suspension of these projects during a shutdown would raise safety and facilities operations issues. Level 1 COTR. 16 hours per week.
NIST EP 55	Custodial Worker Supervisor Series: 3566	Gaithersburg MD	1	5	NIST	190 (OFPM)	Maintain sanitary conditions in restrooms and working spaces in areas being utilized by excepted staff on the Gaithersburg site (1/2 day twice a week).
NIST EP 56	Custodial Worker Series: 3566	Gaithersburg MD	2	5	NIST	190 (OFPM)	Maintain sanitary conditions in restrooms and working spaces in areas being utilized by excepted staff on the Gaithersburg site (1/2 day twice a week).

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 57	Electrician Series: 2805	Boulder CO	1	5	NIST	190 (OFPM)	Maintain Boulder building systems to ensure adequate temperatures in the buildings to protect valuable government assets, incl. buildings, laboratory equipment and research materials. Maintain the Boulder building systems (mechanical, electrical including distribution, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Maintain electronic security, life safety and building management systems. Continually monitor systems and equipment; make necessary repairs; and respond to facility emergencies.
NIST EP 58	Supervisory Facility Operations, Specialist Series: 1640	Boulder CO	1	5	NIST	190 (OFPM)	Oversee operation of the Central Plant in Boulder; provide necessary utilities to maintain adequate temperatures in the buildings to protect valuable government assets, incl. buildings, laboratory equipment and research materials. Maintain the Boulder building systems (mechanical, electrical including distribution, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Maintain electronic security, life safety and building management systems. Continually monitor systems and equipment; make necessary repairs; and respond to facility emergencies.
NIST EP 59	Electronic Mechanic Series: 0856	Boulder CO	1	5	NIST	190 (OFPM)	Maintain Boulder building systems to ensure adequate temperatures in the buildings to protect valuable government assets, incl. buildings, laboratory equipment and research materials. Maintain the Boulder building systems (mechanical, electrical including distribution, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Maintain electronic security, life safety and building management systems. Continually monitor systems and equipment; make necessary repairs; and respond to facility emergencies.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 60	Engineering Technician Series: 0802	Boulder CO	1	5	NIST	190 (OFPM)	Needed 5 days per week, 8 hours per day to monitor and maintain critical life safety and building management systems for temperature control, air supply volumes and air cleanliness in laboratory and clean room areas as systems are predominantly electronically controlled and must be adjusted electronically to ensure proper set points and controls are maintained.
NIST EP 61	Utility Systems Repair Operator Series: 4742	Boulder CO	1	5	NIST	190 (OFPM)	Needed 5 days per week, 8 hours per day to operate boilers and other necessary equipment and utility systems to protect and prevent damage to government assets, incl. buildings, laboratory equipment and research materials. Maintain the Boulder building systems (mechanical, electrical, and plumbing) to prevent damage, failure or deterioration of NIST buildings, support systems and equipment. Perform daily servicing for specialty systems. Continually monitor systems and equipment, make necessary repairs; and respond to facility emergencies.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 62	Project Manager Series: 0801	1	5	NIST	190 (OFPM)		<p>The construction projects at the NIST Boulder and Gaithersburg sites identified to continue during a shutdown are associated with operational, infrastructure and Central Utility Plant work. Discontinuing these projects would have an adverse impact on the strictly controlled environmentally conditioned spaces of the laboratories. If this controlled environmental conditioning is lost, chemicals and elements could become volatile and hazardous and pose a threat not only to the facilities and the personnel manning the facility in a shutdown situation but could also pose a threat to the environment and impact the general public. Even in a Government shutdown situation, some scientific tools and equipment must continue to operate (e.g. atomic clock). These scientific tools and equipment need to be maintained under very strictly controlled environmental conditions or they cease to function thus creating safety concerns in that the tools & equipment could implode or explode in a threat to the facility but also to life safety. Additionally, some of the scientific equipment and tools housed in the strictly controlled environmental conditions are irreplaceable and if the environmental conditioning is lost, the equipment and tools could become damaged and unrepairable.</p>

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 63	Mechanical Engineer (COTR) Series: 0830	1	5	NIST	190 (OFPM)		<p>The construction projects at the NIST Boulder and Gaithersburg sites identified to continue during a shutdown are associated with operational, infrastructure and Central Utility Plant work. Discontinuing these projects would have an adverse impact on the strictly controlled environmentally conditioned spaces of the laboratories. If this controlled environmental conditioning is lost, chemicals and elements could become volatile and hazardous and pose a threat not only to the facilities and the personnel manning the facility in a shutdown situation but could also pose a threat to the environment and impact the general public. Even in a Government shutdown situation, some scientific tools and equipment must continue to operate (e.g. atomic clock). These scientific tools and equipment need to be maintained under very strictly controlled environmental conditions or they cease to function thus creating safety concerns in that the tools & equipment could implode or explode in a threat to the facility but also to life safety. Additionally, some of the scientific equipment and tools housed in the strictly controlled environmental conditions are irreplaceable and if the environmental conditioning is lost, the equipment and tools could become damaged and unrepairable.</p>

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 64	Supervisory Program Manager Series, 0340	1	5	NIST	190 (OFPM)		<p>The construction projects at the NIST Boulder and Gaithersburg sites identified to continue during a shutdown are associated with operational, infrastructure and Central Utility Plant work. Discontinuing these projects would have an adverse impact on the strictly controlled environmentally conditioned spaces of the laboratories. If this controlled environmental conditioning is lost, chemicals and elements could become volatile and hazardous and pose a threat not only to the facilities and the personnel manning the facility in a shutdown situation but could also pose a threat to the environment and impact the general public. Even in a Government shutdown situation, some scientific tools and equipment must continue to operate (e.g. atomic clock). These scientific tools and equipment need to be maintained under very strictly controlled environmental conditions or they cease to function thus creating safety concerns in that the tools & equipment could implode or explode in a threat to the facility but also to life safety. Additionally, some of the scientific equipment and tools housed in the strictly controlled environmental conditions are irreplaceable and if the environmental conditioning is lost, the equipment and tools could become damaged and unrepairable.</p>

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 65	Construction Representative Series: 0809	Boulder CO	1	5	NIST	190 (OFPM)	<p>The construction projects at the NIST Boulder and Gaithersburg sites identified to continue during a shutdown are associated with operational, infrastructure and Central Utility Plant work. Discontinuing these projects would have an adverse impact on the strictly controlled environmentally conditioned spaces of the laboratories. If this controlled environmental conditioning is lost, chemicals and elements could become volatile and hazardous and pose a threat not only to the facilities and the personnel manning the facility in a shutdown situation but could also pose a threat to the environment and impact the general public. Even in a Government shutdown situation, some scientific tools and equipment must continue to operate (e.g. atomic clock). These scientific tools and equipment need to be maintained under very strictly controlled environmental conditions or they cease to function thus creating safety concerns in that the tools & equipment could implode or explode in a threat to the facility but also to life safety. Additionally, some of the scientific equipment and tools housed in the strictly controlled environmental conditions are irreplaceable and if the environmental conditioning is lost, the equipment and tools could become damaged and unrepairable.</p>

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 66	Mechanical Engineer (COTR) Series: 0830	2	5	NIST	190 (OFPM)		<p>The construction projects at the NIST Boulder and Gaithersburg sites identified to continue during a shutdown are associated with operational, infrastructure and Central Utility Plant work. Discontinuing these projects would have an adverse impact on the strictly controlled environmentally conditioned spaces of the laboratories. If this controlled environmental conditioning is lost, chemicals and elements could become volatile and hazardous and pose a threat not only to the facilities and the personnel manning the facility in a shutdown situation but could also pose a threat to the environment and impact the general public. Even in a Government shutdown situation, some scientific tools and equipment must continue to operate (e.g. atomic clock). These scientific tools and equipment need to be maintained under very strictly controlled environmental conditions or they cease to function thus creating safety concerns in that the tools & equipment could implode or explode in a threat to the facility but also to life safety. Additionally, some of the scientific equipment and tools housed in the strictly controlled environmental conditions are irreplaceable and if the environmental conditioning is lost, the equipment and tools could become damaged and unrepairable.</p>

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative	
NIST EP 67	General Engineer, Series 0801	Gaithersburg MD	2	5	NIST	190 (OFPM)		The construction projects at the NIST Boulder and Gaithersburg sites identified to continue during a shutdown are associated with operational, infrastructure and Central Utility Plant work. Discontinuing these projects would have an adverse impact on the strictly controlled environmentally conditioned spaces of the laboratories. If this controlled environmental conditioning is lost, chemicals and elements could become volatile and hazardous and pose a threat not only to the facilities and the personnel manning the facility in a shutdown situation but could also pose a threat to the environment and impact the general public. Even in a Government shutdown situation, some scientific tools and equipment must continue to operate (e.g. atomic clock). These scientific tools and equipment need to be maintained under very strictly controlled environmental conditions or they cease to function thus creating safety concerns in that the tools & equipment could implode or explode in a threat to the facility but also to life safety. Additionally, some of the scientific equipment and tools housed in the strictly controlled environmental conditions are irreplaceable and if the environmental conditioning is lost, the equipment and tools could become damaged and unrepairable.
NIST EP 68	Supv Supply Management Specialist Series: 2003	Gaithersburg MD	1	5	NIST	190 (OFPM)		16 Hours Per Week (if necessary) To order and accept delivery of gases that are used to maintain critical research equipment that remain in operation otherwise equipment could be irreparably damaged (protecting critical government property).
NIST EP 69	Inventory Management Specialist Series: 2010	Gaithersburg MD	1	5	NIST	190 (OFPM)		16 Hours Per Week (if necessary) To order and accept delivery of gases that are used to maintain critical research equipment that remain in operation otherwise equipment could be irreparably damaged (protecting critical government property).
NIST EP 70	Material Handler, Series 6907	Gaithersburg MD	2	5	NIST	190 (OFPM)		4 Hours Per Week (if necessary) To order and accept delivery of gases (CDL license) that are used to maintain critical research equipment that remain in operation; otherwise, equipment could be irreparably damaged. Two drivers are required for safety reasons (protecting critical government property).

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 71	Supv Safety & Occupational Health Specialist Series: 0018	Boulder CO	1	5	NIST	190 (OFPM)		Provide OFPM safety support, inspections, and coordination for limited contract work and site support on NIST Boulder site.
NIST EP 72	Supervisory, Senior Management Advisory Series: 0301	Gaithersburg MD, Boulder CO	1	5	NIST	190 (OFPM)		Responsible for the support services of the NIST Gaithersburg and Boulder sites; aid in adjusting staffing/call-in additional staff as necessary to deal with unexpected events/emergencies; handle support services. Provide additional support to CFMO on overall site management.
NIST EP 73	Chief Facilities Management Officer Series: 0801	Gaithersburg MD	1	5	NIST	190 (OFPM)		Oversee facility and security operations at the NIST sites; monitor site activities and emergencies; make decisions on need for closures or changes to construction, facility and security operations; call in additional staff as necessary to deal with unexpected events.
NIST EP 74	Nuclear Engineering Technician ZT0802 (18), Mechanical Engineer ZP0830 (2), Phys Sci ES1301 (1)	Gaithersburg MD	21	5	NIST	610 (NCNR)		Reactor Operators are required to be present in the building to monitor the plant during post orderly shutdown cooling of the reactor. After reactor shutdown and during post-shutdown, Reactor Operations has to be present and available for maintenance and repairs to any equipment that has to be functional during the post reactor shutdown cooling period. Reactor Operations also sees to it that the Reactor remains operational and ready for startup during a prolonged (orderly) shutdown even after the post shutdown cooling period has been completed. Employees will work in shifts.
NIST EP 75	Electronics Technician ZT 0856 III, ZT 0856 IV	Gaithersburg MD	2	5	NIST	610 (NCNR)		Intermittent: Be on call to make necessary repairs; and respond to facility emergencies. Maintain electronic equipment for safety and security of the reactor, life safety and building management systems.
NIST EP 76	Health Physicist	Gaithersburg MD	3	5	NIST	610 (NCNR)		Intermittent: Duty Health Physicist and Supervisor to maintain required monitoring equipment and be available for emergency response to radiological incidents.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 77	Supervisory Physical Scientist, 1301	Gaithersburg MD	2	5	NIST	620 (CNST)	Within CNST are several laboratories including the NanoFab with critical tools and infrastructure that need to be monitored by someone who would know how to recognize and respond to an emergency or critical tool malfunction that presents a serious safety hazard (including toxic gases) or risk of major damage to the facility infrastructure, daily, during core working hours (8:30-5) totaling no more than 40 hours a week. Additional tools have been added in more locations requiring one additional staff member.
NIST EP 78	NIST Fellow, ST 1310, Physicist, ZP1310	Gaithersburg MD	2	5	NIST	620 (CNST)	The CNST NanoLab operates a number of laboratories with critical infrastructure that require continuous cooling with liquid helium and/or liquid nitrogen cryogenes. The cryogen levels need to be monitored by personnel capable of recognizing and responding to an emergency or critical instrument malfunction (such as a superconducting magnet failure) that presents a serious safety hazard and/or risk of major damage to the infrastructure. The cryogenes must be replenished in these instruments approximately twice per week (at different times each week for each instrument). Cryogen depletion will cause a rapid warm up of the instrument, posing a safety hazard, equipment damage, and significant additional costs to re-cool the entire instrument. Given the scope and frequency of the required tasks, two qualified personnel will require access to the NIST campus between 9:00 am and 5 pm daily.
NIST EP 79	Research Engineer, 0801	Gaithersburg MD	1	1	NIST	620 (CNST)	The CNST is a user facility in which the NanoFab provides access to nanotechnology tools in the CNST cleanroom. Some external users, typically from industry, have paid (in advance) for the full cost of using these nanofabrication tools, including the services of NanoFab staff members. As a result, using a minimal staff of two NanoFab process engineers, access can be provided for our full cost recovery external users without using any appropriated funds.

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NIST EP 80	Materials Research Engineer, 0806	Gaithersburg MD	1	1	NIST	620 (CNST)	The CNST is a user facility in which the NanoFab provides access to nanotechnology tools in the CNST cleanroom. Some external users, typically from industry, have paid (in advance) for the full cost of using these nanofabrication tools, including the services of NanoFab staff members. As a result, using a minimal staff of two NanoFab process engineers, access can be provided for our full cost recovery external users without using any appropriated funds.
NIST EP 81	Biological Science Technician, ZT 0404	Gaithersburg MD	1	5	NIST	630 (MML)	Cell lines in Gaithersburg and Boulder must be maintained in order to carry out mission related work. Staff in three MML Divisions would need to check on cell lines every 2 to 4 days
NIST EP 82	Research Chemist ZP 1320	Boulder CO	1	5	NIST	630 (MML)	Cell lines in Gaithersburg and Boulder must be maintained in order to carry out mission related work. Staff in three MML Divisions would need to check on cell lines every 2 to 4 days
NIST EP 83	Research Chemist ZP1320 (2) Research Physics ZP1310 (2)	Gaithersburg MD	4	5	NIST	630 (MML)	NMRs in Buildings 224 and 227 in Gaithersburg. Cryogenic fluids need to maintained in the equipment weekly. If cryogens are not maintained, the equipment could have major repair costs of \$300K - \$3M
NIST EP 84	Research Chemist ZP1320	Charleston SC	1	5	NIST	630 (MML)	NMRs in Buildings 224 and 227 in Gaithersburg. Cryogenic fluids need to maintained in the equipment weekly. If cryogens are not maintained, the equipment could have major repair costs of \$300K - \$3M
NIST EP 85	Physical Scientist Technician ZT1311 (Gburg); Supervisory Physical Scientist ZT1311 (Gburg); Research Chemist ZP 1320 (Gburg); Research Chemist ZP 1320 (Charleston)	Gaithersburg MD; Charleston SC	6	5	NIST	630 (MML)	Failure of refrigeration equipment or depletion of cryogenic fluids risks the loss of irreplaceable samples and hundreds of thousands of dollars worth of SRMs. SRMs represent national artifact- based standards for chemical, biological and material science. Samples in the Specimen Bank are used to allow critical retrospective analyses for assessing environment.
NIST EP 86	Materials Research Engineer ZP-0806-IV	Gaithersburg MD	2	5	NIST	630 (MML)	Intermittent: To replenish the liquid nitrogen supply in three X-ray detectors on a TEM (room 2-1061), SEM (room 2-1065), and FE-SEM (room 2-1075). This will require staff to enter the north loading dock and those three rooms, two times per week. Maintain Cryogen System and microscopes. Failure to do so could result in fatal loss of microscope and data. Maintain TRC Servers, if servers go down, could take weeks to fix them and get them up and running again.

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NIST EP 87	Research Chemist-1320 (11), Phys Scientist-1301 (4), NIST Fellow-1321 (2), Physicist-1310 (2), Mat Engineer-0806 (1), IT Spec-2210 (1)	Gaithersburg MD	21	4	NIST	630 (MML)		Critical to AFTAC program that provides time-critical response to National Security needs and classified programs. Staff are often required to provide time-sensitive analyses of national security related samples. Please note not all 21 staff members might be required, the need will be dependent on the type of analyses. They will be on call. Activating them will be the decision of the executive in charge of on going management of NIST. Might need as many as 8 (of the 21) at a given time.
NIST EP 88	Mat Research Eng-0806 (1), Research Chem-1320 (1)	Gaithersburg MD	2	4	NIST	630 (MML)		Critical to Army program that provides time-critical response to National Security needs and classified programs. Staff are often required to provide time-sensitive analyses of national security related samples. Will be on call and activated by the executive in charge of on going management of NIST.
NIST EP 89	Chemist, ZP 1320	Gaithersburg MD	1	5	NIST	630 (MML)		1 staff member to check on c. elegans culture 1 hour per day. Without maintenance, the expensive culture will be lost.
NIST EP 90	Biologist, ZP0401	Gaithersburg MD	1	5	NIST	630 (MML)		Cell lines at Institute of Bioscience and Biotechnology Research in Gaithersburg must be maintained in order to carry out excepted work. One staff member would need to check on cell lines every 2 to 4 days.
NIST EP 91	Research Chemist, ZP1320	Gaithersburg MD	1	5	NIST	630 (MML)		The cryogenic fluid levels of NMRs at Institute of Bioscience and Biotechnology Research in Gaithersburg need to be checked and maintained once a week.
NIST EP 92	Physicist, 1310, Gburg (2) Chemist, 1320, Gburg (2)	Gaithersburg MD	4	5	NIST	680 (PML)		4 staff members for about one hour per day. Health & Safety: Operation must continue to avoid risk of either biological or chemical contamination of laboratories and equipment in the event of a system failure or if cell incubations are not regularly refreshed and maintained; operations would require about 1 hr/day for maintenance in case of shutdown.

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NIST EP 93	Physicist, 1310, Boulder (5) Physical Scientist, 1301, Boulder (1) IT Specialists, 2210, Boulder (2) Electronics Engineer, 0855, Boulder (1) Electronics Engineer, 0855, Ft. Collins (1) Electronics Engineer, 0855, Kauai (1) Electronics Tech., 0856, Ft. Collins (3) Electronics Tech., 0856, Kauai (1)	Boulder CO Ft. Collins CO Kauai HI	16	5	NIST	680 (PML)		16 staff members for one day, every 3rd day. NIST time and frequency operations in Boulder, Ft. Collins, and Kauai are required to continue for reasons of national security (universal time coordination), national economy (e.g. Security Exchange Commission requirements), and national timing and synchronization infrastructure (e.g. millions of radio-controlled clocks).
NIST EP 94	Physicist, 1310, Boulder (5) Electronic Engineer, 0855, Boulder (3) Electronics Technician, 0856, Bldr (1)	Boulder CO	9	5	NIST	680 (PML)		6 staff members for 2 hours per day. NIST cleanroom, Nano facility and MBE facility in Boulder can be shutdown in one day, but if air handling and cooling systems were to fail during shutdown, emergency access would be required to prevent major damage to multi-million dollar facility. NIST Boulder has a new (2012) multi-million-dollar cleanroom much larger in size to the previous one, which is still in partial operation, and a new (2012) multi-million-dollar Precision Imaging Facility (PIF). Manager of cleanroom, has critical knowledge and expertise of all infrastructure and safety systems to prevent damage to facility. PIF atom probe microscope users have an urgent need to monitor that microscope to prevent major damage. Overall, more staff members are needed to check all systems in the larger facilities.
NIST EP 95	Physicist, 1310, Gburg (3) Physical Scientist, 1301, Gburg (1) Chemist, 1320, Gburg (1)	Gaithersburg MD	5	5	NIST	680 (PML)		5 staff members for 1-2 hours twice a week. Important primary standards (electrical, length, and flow) cannot be replaced if equipment is damaged by loss of power, temperature, and humidity controls. Systems must function 24/7. Emergency access would be required in case of system failure.
NIST EP 96	Physicist, 1310, Gburg	Gaithersburg MD	1	5	NIST	680 (PML)		1 staff member for 4 hours every 3 days. Need to periodically check LN2 -cooled germanium gamma spec systems during shutdown, about 4hrs/week (at least once a week); complete shutdown requires 1 week notice; anything less risks damage to costly equipment.

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NIST EP 97	Physicist, 1310, Gburg	Gaithersburg MD	1	5	NIST	680 (PML)		1 staff member for 4 hours every 3 days. Need to periodically check liquid helium-cooled neutron physics instrumentation systems during shutdown, about 4 hrs/at least twice a week; complete shutdown requires 2 weeks notice; anything less risks damage to costly equipment.
NIST EP 98	Chemist, 1320, Gburg Physicist, 1310, Gburg	Gaithersburg MD	2	5	NIST	680 (PML)		2 staff members (2 of the 3 at any one time) for emergency access in case of security system failure for multiple labs to assure source security and control.
NIST EP 99	Chemist, 1320, Gburg Physicist, 1310, Gburg	Gaithersburg MD	2	5	NIST	682 (PML)		2 staff members (one at any given time) for 2 hours per visit for daily check of construction activities (OFPM project) impact on existing equipment and structures.
NIST EP 100	Physicist, 1310, Gburg	Gaithersburg MD	1	5	NIST	680 (PML)		1 staff member for one hour per day. Emergency access required in case of systematic support failures or disruptions. Important primary standards (electrical, length, and flow) cannot be replaced if equipment is damaged by loss of power, temperature, and humidity controls. Systems must function 24/7. Emergency access would be required in case of system failure.
NIST EP 101	Physicist, 1310, Gburg	Gaithersburg MD	8	5	NIST	680 (PML)		8 staff members for about one hour per day. Need to periodically check ultra-high vacuum systems, including LN2-cooled apparatus, during shutdown, about 1hr/day; complete shutdown requires 1 week; anything less risks damage to costly equipment. The ultra-high vacuum systems would need to be maintained through the shutdown, with staff making daily checks of the cooling systems and pumps.
NIST EP 102	Physicist, 1310, Gburg (2)	Gaithersburg MD	2	5	NIST	680 (PML)		1 staff member for about one hour per day. Need to periodically check cryogenic vacuum systems during shutdown, about 1 hr/day; complete shutdown requires 1 week; anything less risks damage to costly equipment. The vacuum systems would need to be maintained through the shutdown, with staff making daily checks of the cooling systems and pumps. Proper shutdown takes about a week (but does not require advance notice); it does require attention and maintenance over the period the system shuts down.

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NIST EP 103	Physicist, 1310, Gburg	Gaithersburg MD	1	5	NIST	680 (PML)	One staff member for 2 hours every three days. Needed to refill liquid helium in the wet fridge to protect measurement samples already in the wet fridge. This is needed to protect and preserve the quality and reliability of data to be obtained from these samples.
NIST EP 104	Physicist, 1310, Gburg	Gaithersburg MD	1	5	NIST	680 (PML)	3 times per week, 2 hours per visit. The cost of taking our equipment in the event of a full shutdown is approximately 6 months operating equivalent and maintaining a standby condition is prudent. The time is needed to ensure effective and continuous operation of various pumps that maintain vacuum.
NIST EP 105	Physicist, 1310, Gburg	Gaithersburg MD	1	5	NIST	680 (PML)	1 staff member for about 1 hour per day. The vacuum system for the NIST Synchrotron Radiation Facility (SURF III), the Nation's primary standard for extreme ultraviolet radiation, needs to continue operating during an outage. The status of the system can be remotely monitored assuming the network is functioning. In the event of an electrical, water, HVAC, or network outage, or of a vacuum system failure, a staff member would need to come into the facility to assess impact, protect property, and initiate a safe and orderly shutdown.
NIST EP 106	Physicist, 1310, Boulder (1) Chemist, 1320, Boulder (1) Mechanical Engineer, 0830, Bldr (1) Electronics Technician, 0856, Bldr (1)	Boulder CO	4	5	NIST	680 (PML)	4 staff members for about 1 hour per week. Need to periodically check MRI liquid helium cryogenic systems during shutdown, about 60 min/week, for safety assurance; a complete shutdown should be avoided due to risk of equipment damage. The MRI system sends daily emails to the listed staff with status information. [Note: New item added. Equipment was acquired after FY2011.]
NIST EP 107	Electronics Technician, 0856, Boulder	Boulder CO	1	5	NIST	680 (PML)	1 staff member for about 4 hours per week. The mother dewar of the helium liquefier system, as well as the fleet dewars, must be kept cold to prevent damage and provide emergency liquid helium.
NIST EP 108	Physicist, 1310 (1) Chemist, 1320 (1)	Boulder CO	2	5	NIST	680 (PML)	2 staff members for about 1 hour per day. Two staff members in the Molecular and Bio-Photonics Group need to check on experiments which utilize live cells. The live cells must be preserved using liquid nitrogen, the dewar needs to be monitored daily and filled every three days--otherwise, valuable cell lines will be lost.

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NIST EP 109	Computer Scientist -- ZP-1550-IV -- Gaithersburg (1)	Gaithersburg MD	1	5	NIST	773 (ITL)	National Vulnerability Database (NVD) -- dependent on NIST e-mail & web servers being up & running
NIST EP 110	Information Technology Specialist -- ZP-2210-III -- Gaithersburg (1)	Gaithersburg MD	1	5	NIST	773 (ITL)	National Vulnerability Database (NVD) -- dependent on NIST e-mail & web servers being up & running
NIST EP 111	Information Technology Specialist ZP-2210-V -- Rockville; NIST Fellow ST-1520-00-00	Rockville MD; Gaithersburg MD	3	5	NIST	770 (ITL)	NCCoE is an off campus facility owned by the state but maintained and managed by NIST. Staffing required to assure ongoing oversight and security of property.
NIST EP 112	Information Technology Specialist-- ZP-2210-IV -- Gaithersburg (1) Information Technology Specialist -- ZP-2210-III -- Gaithersburg (1)	Gaithersburg MD	2	5	NIST	773 (ITL)	National Vulnerability Database (NVD) -- dependent on NIST e-mail & web servers being up & running -- The protection of both private and public infrastructure is dependent on the standards-based vulnerability management data that the NVD provides.
NIST EP 113	Executive Service-0340 (1), Gen Bus & Industry-1101 (4), Writing & Editing-1082 (3), Soc Sci-0101 (1), Phys Sci-1301 (1), Tech Info-1412 (1), Clerk-0303 (1), IT Spec-2210 (2), Genl Arts & Info-1001 (1), Education & Training-1701 (1), Vis Info-1084 (1), Admin-0301 (1), Secretarial-0318 (1)	Gaithersburg MD	19	1	NIST	450 (BPEP)	All expenses of the Malcolm Baldrige National Quality Award and Baldrige Performance Excellence Program, including the salaries of all staff, are covered by fees generated from products and services and through annual gifts from the Baldrige Foundation. No annual appropriations are used to support the Program.
NIST EP 114	Physicist, 1301	Gaithersburg MD	1	5	NIST	730 (EL)	Periodic checks of equipment to ensure safe operation - equipment hooked up continuously to vacuum, and electrical power, even when not actively running. Failure of any these utilities would cause equipment and/or property damage.
NIST EP 115	Engineer, 0830	Gaithersburg MD	1	5	NIST	730 (EL)	Monitors electricity management within the Net-Zero Energy Residential Test Facility*, which must be checked periodically to ensure safety and continued equipment performance. Equipment failure would result in damage to the facility. Requires specific technical expertise - cannot be properly performed by any other staff member
NIST EP 116	Engineer, 0850	Gaithersburg MD	1	5	NIST	730 (EL)	Maintains simulated occupants (robots) located throughout the Net-Zero Energy Residential Test Facility, which must be checked periodically to ensure safety. Robot failure would result in damage to equipment and the facility. Requires specific technical expertise - cannot be performed by any other staff member

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NIST EP 117	Engineer, 0830	Gaithersburg MD	1	5	NIST	730 (EL)	Maintains HVAC system in the Net-Zero Residential Test Facility, which must be checked periodically to ensure the system has not leaked refrigerant. Leaks would cause system failure. Requires specific technical expertise - cannot be performed by any other staff member.
NIST EP 118	Engineer, 0830	Gaithersburg MD	1	5	NIST	730 (EL)	Maintains ground source heat exchangers in the Net-Zero Energy Residential Test Facility, which must be checked periodically to ensure the system has not leaked heat transfer fluid. Equipment failure would result in damage to the facility. Requires specific technical expertise - cannot be performed by any other staff member.
NIST EP 119	Computer Scientist, 1550	Gaithersburg MD	2	5	NIST	730 (EL)	Monitor Facilities for A/C, steam, electrical outages that may cause permanent damage to servers and network equipment if left unsupervised. Facilities and equipment need to be monitored periodically (2 staff members).
NIST EP 120	IT Specialist, 2210	Gaithersburg MD	1	5	NIST	730 (EL)	Provide support for research hardware in order to avoid property damage due to power problems, hardware failure.
NIST EP 121	Engineer, 0819	Gaithersburg MD	1	5	NIST	730 (EL)	Maintains equipment that requires continual monitoring.
NIST EP 122	Engineering Technician, ZT-0802	Gaithersburg MD	1	5	NIST	730 (EL)	To repair or secure any systems in order to prevent further damage or unsafe conditions.
NIST EP 123	Engineer, 0830	Gaithersburg MD	1	5	NIST	730 (EL)	Monitors output of campus PV systems and ensures continuous collection of data, which must be checked to ensure continued equipment performance. A significant amount of non-repeatable collected data would be lost if the system were not maintained.
NIST EP 124	Engineer, 0830	Gaithersburg MD	1	5	NIST	730 (EL)	Maintains systems in the Intelligent Buildings Agents Laboratory, which must be checked periodically to ensure that fluid contained within the systems has not leaked and also to ensure that the chemical additives in the water contained within the apparatus is maintained at appropriate levels to prevent growth of bacteria. Either of these scenarios would result in damage to the facility. Tasks require specific expertise - cannot be properly performed by any other staff member.

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NIST EP 125	Engineer, 0810	Gaithersburg MD	1	5	NIST	730 (EL)	Monitors the environmental conditioning and output of alkali silica reaction (ASR) concrete blocks, which must be maintained at specific temperature and humidity conditions. Failure to maintain both the environmental conditions and the instrumentation will result in a vast amount of non-repeatable collected data being lost. The safety requirements of the experiments require monitoring to be a two-person operation (2 staff members).
NIST EP 126	Engineer, 0830	Gaithersburg MD	1	5	NIST	730 (EL)	Monitors industrial equipment and networked data acquisition systems at the National Fire Research Lab which must be checked periodically to ensure safety and continued equipment performance. Requires specific technical expertise of data acquisition systems that cannot be properly performed by any other staff member. Network data collection computers, servers and hardware are vulnerable to heat and water.

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NIST EP 127	Admin-0301 (2), Phys Sci-1301 (11), Clerical-0303 (2), Genl Bus & Industry-1101 (1)	Gaithersburg MD	14	1	NIST	601 (NVLAP)	<p>The National Voluntary Laboratory Accreditation Program (NVLAP) establishes and operates laboratory accreditation programs in accordance with Part 285 of Title 15 of the U.S. Code of Federal Regulations. All costs associated with the operation of the program are covered by fees collected from applicant and accredited laboratories. Fees are charged annually, with approximately 25% of the accredited labs billed each quarter in advance of the accreditation services to be received the following 12 months. As a result, funds are always on hand to maintain NVLAP operations. The NVLAP budget for each fiscal year includes deferred income from those advance payments. That amount averages approximately \$250k, which covers NVLAP personnel costs for at least two pay periods. NVLAP currently accredits over 700</p> <p>laboratories. In many programs, current accreditation is required by regulators or stakeholders in order for laboratories' results to be accepted. NVLAP's accreditation renewal cycle runs quarterly. Having NVLAP shut down at the transition point from one quarter to the next (including at the end of the fiscal year) would have a potential business impact on laboratories set to expire in that quarter. Laboratories that have completed the initial accreditation assessment and complete required actions during a lapse in funding would have the granting of their accreditation delayed. Any laboratories currently accredited would not be able to request changes to their scopes of accreditation.</p>
NIST EP 128	Division Chief (PSCR) 0343	Boulder CO	1	1	NIST	671 (PSCR) 1	<p>The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The Division Chief will oversee the operations and work of the PSCR employees.</p>

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NIST EP 129	Administrative Officer (O341)	Boulder CO	1	1	NIST	671 (PSCR) 1	The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet\$7 billion procurement of a nationwide NTE system. The AO will work 1-2 hours per week to approve and execute needed bank card procurement requests necessary for the maintenance of the PSCR public safety test network.
NIST EP 130	Secretary (Purchase Card Holder) 0318	Boulder CO	1	1	NIST	671 (PSCR) 1	The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The secretary will work 1-2 hours per week to purchase bank card requests for the PSCR.
NIST EP 131	Electrical Engineer 0855	Boulder CO	14	1	NIST	671 (PSCR) 1	The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The engineers will work full-time to perform testing and serve as technical experts for the FirstNet Authority in support of its nationwide deployment.

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NIST EP 132	Computer Engineer 0854	Boulder CO	2	1	NIST	671 (PSCR) 1	The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The computer engineer will work full-time to maintain the PSCR LTE network to be used to inform the FirstNet Authority.
NIST EP 133	IT Spec 2210	Boulder CO	4	1	NIST	671 (PSCR) 1	The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The IT Specialist will work full-time to maintain the PSCR LTE network to be used to inform the FirstNet Authority.
NIST EP 134	Electronics Technician ZT-0856	Boulder CO	2	1	NIST	671 (PSCR) 1	The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The Technician will work full-time to maintain the PSCR LTE network to be used to inform the FirstNet Authority.

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NIST EP 135	Engineer Student Trainee ZP-0899	Boulder, CO	2	1	NIST	671 (PSCR) 1	The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The Technician will work full-time to maintain the PSCR LTE network to be used to inform the FirstNet Authority.
NIST EP 136	Prize Challenge Architect ZA-0301	Boulder, CO	1	1	NIST	671 (PSCR) 1	The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The Technician will work full-time to maintain the PSCR LTE network to be used to inform the FirstNet Authority.
NIST EP 137	Prize Competition and Challenge Specialist ZA-0301	Boulder CO	1	1	NIST	671 (PSCR) 1	The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The Technician will work full-time to maintain the PSCR LTE network to be used to inform the FirstNet Authority.

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NIST EP 138	Technical Outreach Coordinator, ZP-0801	Boulder CO	1	1	NIST	671 (PSCR) 1		The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The Technician will work full-time to maintain the PSCR LTE network to be used to inform the FirstNet Authority.
NIST EP 139	Computer Scientist ZP-1550	Gaithersburg, MD	3	1	NIST	673 (CTL)		The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The Technician will work full-time to maintain the PSCR LTE network to be used to inform the FirstNet Authority.
NIST EP 140	Supervisory Computer Engineer ZP-0854	Gaithersburg, MD	2	1	NIST	673 (CTL)		The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The Technician will work full-time to maintain the PSCR LTE network to be used to inform the FirstNet Authority.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NIST EP 141	Electronics Engineer ZP-0855	Gaithersburg, MD	1	1	NIST	673 (CTL)	The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The Technician will work full-time to maintain the PSCR LTE network to be used to inform the FirstNet Authority.
NIST EP 142	Computer Engineer ZP-0854	Gaithersburg, MD	4	1	NIST	673 (CTL)	The NIST PSCR has been funded, along with FirstNet, from mandatory spectrum auction funds to perform research and testing in support of the nationwide deployment of a public safety LTE Network. The PSCR staff directly related to the FirstNet work will continue to operate and support FirstNet, which will also continue to operate, in preparation for the FirstNet \$7 billion procurement of a nationwide LTE system. The Technician will work full-time to maintain the PSCR LTE network to be used to inform the FirstNet Authority.
NIST EP 143	Supervisory Health Physicist - 1306	Gaithersburg MD	1	5	NIST	15 (OSHE)	Provide advice in circumstances and ensure compliance with the law, regulation, and policy associated with the US Nuclear Regulatory Commission Radioactive Materials license; including the physical security of quantities of concern. Additionally required to support the OFPM personnel and Contractor working on the Building Modernization during the shutdown. Maintain required monitoring of equipment and radioactive materials storage areas and be available for radiological emergency response to incidents and personnel contamination detector alarms.
		TOTAL	335				

¹ **Request for Exemption of Mandatory Public Safety Trust Fund R&D from Furlough in the Event of a Federal Government Shutdown**

Section 6303 of the Middle Class Tax Relief and Job Creation Act of 2012 provides for \$300M of non-appropriated auction funding from the Public Safety Trust Fund to NIST to perform R&D critical to Public Safety communications. Specifically, Title VI, Section 6303 calls upon NIST to:

- Assist with the development of standards, technologies, and applications to advance wireless public safety communications.
- Document public safety's wireless communications technical requirements.
- Accelerate the development of the capability for communications between currently deployed public safety narrowband systems and the nationwide public safety broadband network.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
							<ul style="list-style-type: none"> Establish a research plan and direct research that addresses the wireless communications needs of public safety entities beyond what can be provided by the current generation of broadband technology. Accelerate the development of mission critical voice, including device-to-device “talkaround” capability over broadband networks, public safety prioritization, authentication capabilities, and standard application programming interfaces for the nationwide public safety broadband network, if necessary and practical. Accelerate the development of communications technology and equipment that can facilitate the eventual migration of public safety narrowband communications to the nationwide public safety broadband network. Convene working groups of relevant government and commercial parties to achieve these requirements.

This work is performed by the Public Safety Communications Research division, part of the Communications Technology Laboratory, in Boulder Colorado.

Excepted IT Requirements & Contracts

Bureau-Office: **National Institute of Standards
and Technology - 5.2.9.3**

List of Excepted IT Requirements & Contracts
Email
File Shares
Mobile Devices
Desktops/Laptops/Microsoft Office
Phones and Fax
Printers
Internet
Network
Virtual Infrastructure
ECMO (service provided to DoC)
Active Directory Services
Remote Access for Excepted Staff
NVD
NVLAP

Orderly Shutdown Needs

Bureau-Office:

**National Oceanic and Atmospheric
Administration - 5.2.10.1**

	Position	Duty Station	# of Employees	Days Needed	Justification
NOAA OSN 1	Office of the Chief Financial Officer 2210 (1)		1	2	Ensure that a full back-up of CBS/DW was successful the last night of operations; then power down server.
NOAA OSN 2	Office of the Chief Financial Officer 2101 (1)		1	2	Facilitate employee return from travel.
NOAA OSN 3	Office of the Chief Financial Officer 2210 (2), 501 (1), 505 (1)		4	4	Due to the critical timeframe for completing a scheduled system upgrade, four additional staff members from the Financial Systems Division would need to be designated as Emergency/Essential in order to perform the 12c database upgrade.
NOAA OSN 4	Office of Workforce Management Office 0201 (1)		1	3-4	Conduct Employee Relations/Labor Activities following orderly shutdown.
NOAA OSN 5	Office of the CIO and High Performance Computing and Communications 343 (2)		2	1-3	Shutdown: personnel actions and general administrative functions.
NOAA OSN 6	Office of the CIO an High Performance Computing and Communications 2210 (2); 1550 (1)		3	1-3	Shutdown high performance computing centers (GFLD; Boulder).
NOAA OSN 7	NOAA Headquarters 0301 (1)		1	1-3	Legislative Affairs: To conduct orderly shutdown of operations.
NOAA OSN 8	NOAA Headquarters 0340 (1)		1	1-3	External and internal communications: To conduct orderly shutdown of operations.
NOAA OSN 9	NOAA Headquarters 0301 (1), 0313 (1)		2	1-3	Orderly termination of administrative/personnel activities.
NOAA OSN 10	Office of General Counsel 905 (8)		8	4-6	Active Litigation Pending - Provide legal service and guidance for all matters that may occur during orderly shutdown and otherwise take appropriate action to seek litigation stays to complete or close matters as necessary.
NOAA OSN 11	National Marine Fisheries Service		1	1-2	Contract actions required to effect shutdown.
NOAA OSN 12	National Marine Fisheries Service		1	1-2	NMFS employees on NOAA Vessels (estimate: TBD based on location of vessel and time to return to port).
NOAA OSN 13	National Marine Fisheries Service 1640 (1)		1	1-2	Place labs, samples, data, experiments/research, aquaria/animals, cultures, field studies and equipment in appropriate condition for shutdown and preparation for intermittent maintenance/inspection to safeguard and protect.
NOAA OSN 14	National Marine Fisheries Service 0480 (1); 0482 (3)		4	1-2	Orderly shutdown to safeguard facilities and equipment.
NOAA OSN 15	National Marine Fisheries Service 0150 (1); 2210 (7)		8	3-5	IT support to prepare systems and equipment for shutdown.

	Position	Duty Station	# of Employees	Days Needed	Justification
NOAA OSN 16	Office of Marine and Aviation Operations 856 (12); 9901 (43); 9916 (3); 9923 (6); 9924 (28); 9927 (28); 9933 (11); 9934 (11); 9944 (3); 9954 (14); 9957 (2); 9960 (2); 9965 (2); 9968 (1); 9971 (5); 9973 (13), 9901 (13); 9916 (2); 9924 (13); 9927 (7); 9931 (1); 9932 (10); 9933 (9); 9934 (12); 9954 (5); 9960 (2); 9968 (1); 9973		260	2-14	16 research ships with up to 260 employees may be at sea when a potential appropriations lapse occurs. In the event of a lapse, all 16 ships will be instructed to return to the nearest port, which may take between 2 and 14 days.
NOAA OSN 17	Office of Oceanic and Atmospheric Research 0318 (2); 0341 (2); 0343 (4); 1301 (1); 1340 (2)		11	2-4	To assist with orderly shutdown of NOAA functions, actions include personnel actions, manage procurement actions, modifying or terminating contracts impacted by the shutdown, cancel NOAA-sponsored meetings/events for several of our field locations.
NOAA OSN 18	National Weather Service 0501 (1); 1301 (1)		2	1-2	Ensure the continuity of operations and excepted functions are initiated and emergency procurement is enabled if necessary.
NOAA OSN 19	National Ocean Service 0150 (1); 0301 (1); 0340 (1); 0343 (1); 0501 (2); 1301 (3); 2210 (2)		11	1-2	(NOS-wide) Up to 2 days of short-term activities necessary to support an orderly shutdown of administrative activities at each of the National Ocean Service's programs across the country including modify/cancel contracts; adjust payroll; recall persons on travel status; cancel federal led conferences, interviews and communication with external constituents regarding shutdown status.
		TOTAL	323		

Excepted Positions

Bureau-Office: National Oceanic and Atmospheric Administration - 5.2.10.2

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 1 Office of the Chief Administrative Officer 1640 (3), 0301 (1), 0340 (2), 0342 (1), 0343 (1), 1170 (1)		9	5	NOAA			Maintain minimal level of facility operations for NOAA excepted activities under a lapse in appropriations to direct life/safety repairs, respond to facility emergencies, and provide freight deliveries and pick-ups. Five (5) "Excepted" personnel category to maintain campus wide operations at our Silver Spring, Boulder, Seattle and Honolulu campuses. Four (4) Intermittent to provide on-call response to facility emergencies and provide limited mail service in support of processing unemployment verification and notification letters, and provide Contracting Officer Representative authorizations as necessary.
NOAA EP 2 Office of Oceanic and Atmospheric Research 1341 (1); 1340 (8); 0341 (2); 1301 (1); 0855 (2)		14	1	NOAA			Support for safe operations at NOAA-supported DOE sites.
NOAA EP 3 Office of Oceanic and Atmospheric Research 1340 (6); 0342 (1)		7	5	NOAA			Support NWS life/safety activities using OAR products/tools.
NOAA EP 4 Office of Oceanic and Atmospheric Research 1301 (1); 0560 (1); 0343 (1); 2210 (1)		4	5	NOAA			Maintain minimal level of leadership and support at the Line Office Headquarters throughout the shutdown period to provide oversight to NOAA excepted functions.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 5	Office of Oceanic and Atmospheric Research 1360 (1); 1301 (1); 301 (1); 0332 (4); 0341 (1); 1340 (3); 1360 (2); 1101 (1); 343 (1); 342 (1); 2210 (2); 0855 (2); 1310 (1); 1311 (1); 1320 (1); 1341 (1); 1310 (1); 301 (1); 341 (2); 343 (1); 560 (1); 1301 (2); 1340 (1); 1310 (1); 1320 (2); 341 (1); 343 (1); 346 (1); 1301 (3); 341 (1); 346 (1); 1301 (2); 1340 (3); 1301 (1)		50	5	NOAA			Maintain minimal level of administration and leadership at the laboratory level throughout the shutdown period to provide oversight and direction in support of NOAA excepted functions.
NOAA EP 6	Office of Oceanic and Atmospheric Research 2210 (1); 0855 (3); 1340 (1)		5	5	NOAA			Continued operation of PSD internal and field network connectivity and automated data ingest services: PSD is currently engaged in a number of research programs in support of excepted activities; these programs require the continuous ingest and recording of field data and must be maintained to ensure a continuous weather and climate record (especially west coast storms and radar data).
NOAA EP 7	Office of Oceanic and Atmospheric Research 1340 (1); 1301 (2)		3	5	NOAA			SAFETY AND SECURITY OF PROPERTY. Staff time at NOAA facilities requiring safety/security. This includes the six Baseline Observatories to maintain site safety/security at remotely operated field locations. Four of these remote sites are highly susceptible to vandalism and theft.
NOAA EP 8	Office of Oceanic and Atmospheric Research 2210 (1); 1310 (1); 854 (1)		3	5	NOAA			Continued operations of the David Skaggs Research Center (DSRC) Boulder Network Operations Center (BNOC). The BNOC central network is the link for all network services within the DSRC. Like the ESRL DO network the BNOC must remain in operations to support excepted activities conducted by ESRL during a shutdown.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 9	Office of Oceanic and Atmospheric Research 2210 (2); 1340 (1); 1550 (1)		4	5	NOAA			Experimental High Resolution Rapid Refresh (HRRR) model runs are used extensively by WFO forecasters and the NCEP Severe Storm Prediction Center. These staff support the operation of the experimental HRRR model. The model is the now-range operational model used in decision-making for warnings of severe thunderstorms/tornadoes, affecting safety of life/property. Without the model, currently used in operations by forecasters issuing tornado/severe thunderstorm warnings, the efficacy of the warnings would be compromised increasing the imminent threat to the safety of human life and property in such an event.
NOAA EP 10	Office of Oceanic and Atmospheric Research 2210 (3); 0854 (1)		4	5	NOAA			Support for critical data systems and operations: including 24/7 monitoring of high performance computing and central computer services, required to support continued model runs (RAP) and high performance computing systems in support of weather forecast models. Maintain continuity of backup RAP model on the Jet supercomputer in case of NCEP operational system issues. This is necessary to 1) provide initial conditions every hour for the experimental HRRR model runs and 2) to give emergency backup debugging capability, should model run failures occur in the operational RAP at NCEP. These staff support the operation of the research models used successfully in warning and forecasting operations. The models are used in decision-making for warnings of severe thunderstorms/tornadoes as well as other weather scenarios (heavy rain, snow, etc.), affecting safety of life/property. Without access to these models, currently used in ops by forecasters issuing tornado/ severe thunderstorm warns, the efficacy of the warnings would be compromised, increasing the imminent threat to the safety of human life and property in such an event.
NOAA EP 11	Office of Oceanic and Atmospheric Research 2210 (3)		3	5				Federal IT computer staff required for planning and IT security requirements, and executing an orderly system shutdown. Facility operations for orderly shutdown and maintenance; verification that no critical data sets are lost and minimal functionality is maintained.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 12	Office of Oceanic and Atmospheric Research 1360 (3)	3	5	NOAA			Ensure data is being collected, quality controlled, and distributed to operations through the GTS, and that supporting IT systems are functioning at AOML for multiple 24/7 operations and systems such as Hurricane reconnaissance, inner core data, AMVER/SEAS System, Data Buoy data, Pirata, etc. for the continuous operations of oceanic and atmospheric measurement networks; the data from which are critical for safety of life/property as they provide the input data for operational weather modeling in hurricane warnings and forecasts. Without the data, the efficacy of the model output used in determining warning criteria (e.g., strength, path, landfall) would be compromised, resulting in inaccurate warnings and higher mortality rates.
NOAA EP 13	Office of Oceanic and Atmospheric Research 2210 (4)	4	5	NOAA			Ensure continuation of long-term time series of essential ocean and atmospheric data. All of these observations are required to maintain the value of long-term data sets, and some have been maintained for more than 30 years.
NOAA EP 14	Office of Oceanic and Atmospheric Research 0408 (2); 0401 (2); 2210 (1)	5	5	NOAA			Coastwatch - Caribbean/Gulf of Mexico Regional Node is one of several sites throughout the United States set up for the processing and distribution of data to monitor sea-surface-temperature, surface currents and algal blooms, study fish and marine mammal distributions, and to aid in atmospheric forecasting. The continued operation of the Coastwatch node is necessary to protect life/property as the data sets are used in the suite of data available to hurricane forecasters both nationally and internationally to determine strength, path, and landfall characteristics -- vital to the issuance of hurricane warnings for coastal residents (National Hurricane Center) and evacuation orders (US and international). Without access to the data, information access to warnings and forecasts would be directly impacted. In addition, the node is also used to process/transmit other data, including local wildfire issues. Therefore, this activity is required to protect the safety of human life and property.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 15	Office of Oceanic and Atmospheric Research 2210 (2); 1340 (3)		5	5	NOAA			Ensure Data is being collected and IT systems are functioning at AOML for multiple 24/7 operations and systems such as AMVER/SEAS System, Data Buoy data, Pirata, etc. that support the continuous operations of oceanic measurement networks; the data from which are critical for safety of life/property as they provide the input data for operational weather modeling in hurricane warnings and forecasts. Without the data, the efficacy of the model output used in determining warning criteria (e.g., strength, path, landfall) would be compromised, resulting in inaccurate warnings and higher mortality rates.
NOAA EP 16	Office of Oceanic and Atmospheric Research 0332 (4); 2210 (3); 0801 (1)		8	5	NOAA			Ongoing support to monitor and secure data archive environment/IT infrastructure/facility at GFDL for the Supercomputers, required to protect critical data assets and data sets. Without continuous monitoring and maintenance, the environment in the computer room will deteriorate and damage/destroy government equipment (i.e., data archive magnetic tapes). This includes 5 decades of GFDL research work that could be destroyed, including research which serves as the basis for national and international assessments on climate variability and change. These data and this research is the foundation for new weather and climate modeling. Therefore, these activities are required to protect property (both the data assets and data sets).
NOAA EP 17	Office of Oceanic and Atmospheric Research 1340 (3)		3	5	NOAA			Maintain long-term measurement networks for climate and atmospheric deposition.
NOAA EP 18	Office of the CIO and High Performance Computing and Communications 2210 (3)		3	5	NOAA			OCIO NOAA0300 - Message Operations Center (MOC) to support Google mail, calendar and telephone systems critical to supporting excepted activities under a shutdown. Also providing acquisition support for critical excepted services.
NOAA EP 19	Office of the CIO and High Performance Computing and Communications 2210 (1)		1	5	NOAA			Web Operations Center to support critical weather warning and events information critical to supporting excepted activities under a shutdown.
NOAA EP 20	Office of the CIO and High Performance Computing and Communications 2210 (1)		1	5	NOAA			OCIO NOAA0200 - Network Operations Center (NOC) to support ongoing excepted/exempted operations critical to supporting excepted activities under a shutdown.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 21	Office of the CIO and High Performance Computing and Communications 2210 (2); 340 (1)		3	5	NOAA			Security Operations Center and OCIO NOAA0100 - N-CIRT NOAA Computer Incident Response Team to maintain security operations and incident response critical to supporting excepted activities under a shutdown.
NOAA EP 22	Office of the CIO and High Performance Computing and Communications 2210 (3)		3	5	NOAA			HCHB IT and Central OCIO Support for excepted activities critical to supporting excepted activities under a shutdown.
NOAA EP 23	Office of the CIO and High Performance Computing and Communications 2210 (1)		1	5	NOAA			OCIO NOAA1200 CORPSERV LAN NOAA1200 LAN and IT Support for excepted activities critical to supporting excepted activities under a shutdown.
NOAA EP 24	Office of the CIO and High Performance Computing and Communications 0340 (1)		1	5	NOAA			OCIO NOAA0500 - R&D High-Performance Computer System critical to supporting excepted activities under a shutdown. (ARCHIVE ONLY to continue archiving and prevent loss or compromise of critical data).
NOAA EP 25	Office of the CIO and High Performance Computing and Communications 2210 (5)		5	5	NOAA			Network Field Operations -- Norfolk VA; Kansas City MO; Boulder CO; Seattle WA; and Hawaii critical to supporting excepted activities under a shutdown.
NOAA EP 26	Office of the CIO and High Performance Computing and Communications 0089 (1); 1301 (1)		2	5	NOAA			Homeland Security Program Office - Ensure continuity of operations in an emergency critical to supporting excepted activities under a shutdown.
NOAA EP 27	Office of the CIO and High Performance Computing and Communications 0855 (1)		1	5	NOAA			Radio frequency management operations critical to supporting excepted activities under a shutdown.
NOAA EP 28	Office of the CIO and High Performance Computing and Communications 2210 (1)		1	5	NOAA			Operation of NOAA Information Technology Center critical to supporting excepted activities under a shutdown.
NOAA EP 29	Office of Workforce Management Office 0201 (8); 0343 (1); 0544 (2)		11	5	NOAA			Providing human resources support for NOAA employees engaged in Protecting Safety of Human Life and Property.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 30	NOAA Headquarters 0301 (3)*		3	3	NOAA			Under Secretary of Commerce for Oceans and Atmosphere (PAS); Assistant Secretary of Commerce for Environmental Observation and Prediction; and Assistant Secretary for Conservation and Management. *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
NOAA EP 31	NOAA Headquarters 0301 (1)		1	5	NOAA			Provide necessary leadership and direction for command and control of NOAA operations.
NOAA EP 32	NOAA Headquarters 1301 (1)		1	5	NOAA			NOAA's Chief Scientist (PA)
NOAA EP 33	NOAA Headquarters 0301 (1)		1	5	NOAA			Assist Presidential appointee in administration, coordination, and executive support of emergency functions and operations
NOAA EP 34	Office of General Counsel 905 (3)		3	5	NOAA			General Counsel - Legal Advisor to the National Weather Service.
NOAA EP 35	NOAA Environmental Satellite and Data Information Service (343 (7); 560 (1); 1131 (11); 1301 (5); 2201 (1); 2210 (74); 301 (7); 303 (2); 332 (6); 340 (2); 341 (2); 343 (11); 391 (3); 501 (1); 560 (3); 801 (70); 802 (3); 855 (5); 856 (28); 861 (5); 1105 (2); 1301 (82); 1311 (67); 1315 (1); 1340 (7); 1360 (2); 2211 (1); 5378 (3)		350	5	NOAA			These activities support secure and efficient command and control of NOAA and DoD operational environmental satellites and data/imagery. Satellite operations (tracking and control, ground systems, communications equipment, space-based alert systems, anomaly mitigation, data recovery, critical incident response) to support the nation's operational environmental satellite systems.
NOAA EP 36	Office of the Chief Financial Officer 0506 (2)		2	5	NOAA			Financial and budget operations required to support excepted activities under a shutdown: Oversight of obligations occurring during government shutdown; funds control for funds available - carryover; reimbursable, other; reporting/querying on balances.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 37	Office of Acquisition and Grants 1102 (6)	6	5	NOAA			Satellite Contracting Officer. The contract and grants function will be addressing emergency circumstances, such that the suspension of the contracts and grants function would imminently threaten the safety of human life or the protection of property. Under the affected contracts and grants there is a reasonable and identifiable connection to the safety of life or the protection of property, and there is a reasonable likelihood that either the safety of that life or the protection of that property would be compromised in some significant degree by failure to carry out the formation or administration of the affected contract or grant, and further, that the threat to life or property can be reasonably said to be near at hand and demanding of immediate response.
NOAA EP 38	Office of Acquisition and Grants 1102 (4)	4	5	NOAA			Information Technology Contracting Officer. The contract and grants function will be addressing emergency circumstances, such that the suspension of the contracts and grants function would imminently threaten the safety of human life or the protection of property. Under the affected contracts and grants there is a reasonable and identifiable connection to the safety of life or the protection of property, and there is a reasonable likelihood that either the safety of that life or the protection of that property would be compromised in some significant degree by failure to carry out the formation or administration of the affected contract or grant, and further, that the threat to life or property can be reasonably said to be near at hand and demanding of immediate response.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 39	Office of Acquisition and Grants 1102 (4)	4	5	NOAA			Weather Contracting Officer. The contract and grants function will be addressing emergency circumstances, such that the suspension of the contracts and grants function would imminently threaten the safety of human life or the protection of property. Under the affected contracts and grants there is a reasonable and identifiable connection to the safety of life or the protection of property, and there is a reasonable likelihood that either the safety of that life or the protection of that property would be compromised in some significant degree by failure to carry out the formation or administration of the affected contract or grant, and further, that the threat to life or property can be reasonably said to be near at hand and demanding of immediate response.
NOAA EP 40	Office of Acquisition and Grants 1102 (3)	3	5	NOAA			Weather Contracting Specialist. The contract and grants function will be addressing emergency circumstances, such that the suspension of the contracts and grants function would imminently threaten the safety of human life or the protection of property. Under the affected contracts and grants there is a reasonable and identifiable connection to the safety of life or the protection of property, and there is a reasonable likelihood that either the safety of that life or the protection of that property would be compromised in some significant degree by failure to carry out the formation or administration of the affected contract or grant, and further, that the threat to life or property can be reasonably said to be near at hand and demanding of immediate response.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 41	Office of Acquisition and Grants 1102 (2)	2	5	NOAA			High Performance Supercomputing (WCOSS) Contracting Officer. The contract and grants function will be addressing emergency circumstances, such that the suspension of the contracts and grants function would imminently threaten the safety of human life or the protection of property. Under the affected contracts and grants there is a reasonable and identifiable connection to the safety of life or the protection of property, and there is a reasonable likelihood that either the safety of that life or the protection of that property would be compromised in some significant degree by failure to carry out the formation or administration of the affected contract or grant, and further, that the threat to life or property can be reasonably said to be near at hand and demanding of immediate response.
NOAA EP 42	Office of Acquisition and Grants 1102 (3)	3	5	NOAA			Contracting Officers (to serve ship construction and other ongoing contracting). The contract and grants function will be addressing emergency circumstances, such that the suspension of the contracts and grants function would imminently threaten the safety of human life or the protection of property. Under the affected contracts and grants there is a reasonable and identifiable connection to the safety of life or the protection of property, and there is a reasonable likelihood that either the safety of that life or the protection of that property would be compromised in some significant degree by failure to carry out the formation or administration of the affected contract or grant, and further, that the threat to life or property can be reasonably said to be near at hand and demanding of immediate response.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 43	Office of Acquisition and Grants 1101 (1); 1102 (1)	2	5	NOAA			Purchase Card Contracting Officer. The contract and grants function will be addressing emergency circumstances, such that the suspension of the contracts and grants function would imminently threaten the safety of human life or the protection of property. Under the affected contracts and grants there is a reasonable and identifiable connection to the safety of life or the protection of property, and there is a reasonable likelihood that either the safety of that life or the protection of that property would be compromised in some significant degree by failure to carry out the formation or administration of the affected contract or grant, and further, that the threat to life or property can be reasonably said to be near at hand and demanding of immediate response.
NOAA EP 44	Office of Acquisition and Grants 1102 (3)	3	5	NOAA			Small Purchase Contracting Officer. The contract and grants function will be addressing emergency circumstances, such that the suspension of the contracts and grants function would imminently threaten the safety of human life or the protection of property. Under the affected contracts and grants there is a reasonable and identifiable connection to the safety of life or the protection of property, and there is a reasonable likelihood that either the safety of that life or the protection of that property would be compromised in some significant degree by failure to carry out the formation or administration of the affected contract or grant, and further, that the threat to life or property can be reasonably said to be near at hand and demanding of immediate response.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 45	Office of Acquisition and Grants 1102 (3)	3	5	NOAA			Small Purchase Contracting Officer. The contract and grants function will be addressing emergency circumstances, such that the suspension of the contracts and grants function would imminently threaten the safety of human life or the protection of property. Under the affected contracts and grants there is a reasonable and identifiable connection to the safety of life or the protection of property, and there is a reasonable likelihood that either the safety of that life or the protection of that property would be compromised in some significant degree by failure to carry out the formation or administration of the affected contract or grant, and further, that the threat to life or property can be reasonably said to be near at hand and demanding of immediate response.
NOAA EP 46	Office of Acquisition and Grants 1102 (1)	1	5	NOAA			Acquisition Systems Administration. The contract and grants function will be addressing emergency circumstances, such that the suspension of the contracts and grants function would imminently threaten the safety of human life or the protection of property. Under the affected contracts and grants there is a reasonable and identifiable connection to the safety of life or the protection of property, and there is a reasonable likelihood that either the safety of that life or the protection of that property would be compromised in some significant degree by failure to carry out the formation or administration of the affected contract or grant, and further, that the threat to life or property can be reasonably said to be near at hand and demanding of immediate response.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 47	Office of Acquisition and Grants 1109 (2)		2	5	NOAA			Cooperative Institutes Management. The contract and grants function will be addressing emergency circumstances, such that the suspension of the contracts and grants function would imminently threaten the safety of human life or the protection of property. Under the affected contracts and grants there is a reasonable and identifiable connection to the safety of life or the protection of property, and there is a reasonable likelihood that either the safety of that life or the protection of that property would be compromised in some significant degree by failure to carry out the formation or administration of the affected contract or grant, and further, that the threat to life or property can be reasonably said to be near at hand and demanding of immediate response.
NOAA EP 48	Officer of Acquisition and Grants 343 (1); 1102 (3)		4	5	NOAA			Executive Oversight. The contract and grants function will be addressing emergency circumstances, such that the suspension of the contracts and grants function would imminently threaten the safety of human life or the protection of property. Under the affected contracts and grants there is a reasonable and identifiable connection to the safety of life or the protection of property, and there is a reasonable likelihood that either the safety of that life or the protection of that property would be compromised in some significant degree by failure to carry out the formation or administration of the affected contract or grant, and further, that the threat to life or property can be reasonably said to be near at hand and demanding of immediate response.
NOAA EP 49	National Marine Fisheries Service (NMFS) 0301 (1); 0303 (2); 0318 (1); 0326 (2); 0343 (1); 0696 (80); 0963 (1); 1101 (1); 1382 (3); 1712 (4); 1862 (25)		121	1	NOAA			Seafood Inspection Program is funded under unobligated multi-year or no-year funds.
NOAA EP 50	NMFS 0301(1); 0303 (1); 0401 (6); 0404 (2); 0408 (1); 0482 (18); 0810 (2); 0856 (1); 1529 (2); 4701 (1)		35	1	NOAA			Other Reimbursables [All have been confirmed as fully funded under reimbursable agreements with continuing funding. Funds must be received from other party and be apportioned/allotted in advance of lapse.]

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 51	NMFS 0341 (2); 0343 (4); 1801 (28); 1811 (35)		69	5	NOAA			Law Enforcement Activities: Officers and others required to fulfill law enforcement activities to protect federal trust resources, including the protection of endangered species, critical habitat, and protected areas.
NOAA EP 52	NMFS 130 (1), 301 (5), 303 (2), 318 (1), 326 (1), 340 (1), 341 (1), 343 (6), 401 (20), 404 (2), 408 (2), 480 (9), 482 (37), 701 (1), 802 (3), 1083 (1), 1101 (2), 1370 (1), 1515 (1), 1530 (3), 1531 (1), 1640 (1), 2210 (8)		110	5	NOAA			Fisheries Management Activities: Including quota monitoring and observer activities, regulatory actions to prevent overfishing, and minimal required contractor management.
NOAA EP 53	NMFS 301 (7); 343 (9); 401 (13); 403 (1); 404 (7); 408 (2); 413 (1); 440 (1); 480 (5); 482 (30); 701 (1); 1001 (1); 1320 (1); 1360 (1); 1640 (3); 1701 (1); 2210 (18); 4749 (5)		107	5	NOAA			Protection of Federal Property: Including aquaria, experimental cultures, facilities, living marine resources (including endangered species), data, contingent emergency response, and minimal required contractor management.
NOAA EP 54	Office of Marine and Aviation Operations NOAA Corps Officers		322	3	NOAA			Commissioned Officers of the NOAA Corps (322) supporting activities to protect the safety of human life and property.
NOAA EP 55	Office of Marine and Aviation Operations 802 (1); 1670 (3)		4	5	NOAA			NOHRSC Snow Survey Project (Jetprop / Shrike Missions). The snow survey projects using Jetprop and Shrike aircraft measure the depth and extent of north American snowpack using gamma ray technology. These observations are critical inputs to NWS' hydrologic forecast models, specifically capturing volume of potential snow-melt runoff affecting river and flood forecasts and warnings nationwide.
NOAA EP 56	Office of Marine and Aviation Operations 0342 (1); 801 (1); 1670 (1)	Aircraft Operations Center	3	5	NOAA			AOC - Facilities Management and Security - Aircraft Operations Center, MacDill AFB, FL to provide security, IT and basic services for aircraft hangar and facilities. Staff is necessary to protect and maintain NOAA's aircraft. NOAA aircraft and facilities are government assets valued in the hundreds of millions of dollars that must be secured and protected to avoid risk of damage or theft that prevent their future use. (all staff excepted)

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 57	Officer of Marine and Aviation Operations (OMAO) 802(5), 855(5), 856(12), 861(1), 1152(1), 1301(1), 1340(7), 1670(2), 2210(3)	Aircraft Operations Center	37	5	NOAA			Hurricane Reconnaissance and Surveillance Missions. This group consists of 37 Intermittent personnel. Staff are required 2-days a week to perform routine maintenance and service to achieve minimal readiness of aircraft and flight operations on a continuous basis. During the hurricane season the National Hurricane Operations Plan requires NOAA to provide WP-3D and G-IV aircraft for reconnaissance and surveillance mission. These missions are critical for storm path and intensity predictions. Accurate storm predictions are essential for evacuation notification, the safety of personnel and protection of property. (37 Intermittent personnel)
NOAA EP 58	OMAO Intermittent: 0301 (3); 341 (1); 343 (1); 501 (1); 560 (3); 2150 (1) Excepted: 9916 (2); 9920 (8); 9927 (8); 9931 (17); 9932 (14); 9933 (2); 9934 (1); 9968 (17)		79	5	NOAA			MO-Facilities Management and Security - Marine Operations, MOC-A, MOC-P, MOC-PI to provide security and basic services necessary to protect and maintain NOAA's fleet. NOAA vessels are government assets valued in the hundreds of millions of dollars that must be secured and protected to avoid risk of damage or theft, and that would prevent their future use. (69 Excepted, 10 Intermittent)
NOAA EP 59	OMAO 9973 (8)		8	5	NOAA			MO-Intermittent Relief Pool Personnel: Required to augment operational staff as needed during furlough period. (8 Intermittent)
NOAA EP 60	OMAO 801 (4); 856 (2); 2210 (3)		9	5	NOAA			MO-Engineering and IT Support: Ship engineering, communication, and other technical staff required to monitor and maintain all ships specialized equipment and systems during shutdown period. Engines and other specialized systems require ongoing routine maintenance and checks to maintain operability. (3-801 Excepted, all others are Intermittent)
NOAA EP 61	National Ocean Service 0340 (2); 0401 (7); 1301 (5); 1360 (1); 2210 (2)		17	1	NOAA			(NOS/ORR) Maintain time critical Natural Resource Damage Assessment (NRDA) activities that are necessary to support emergency response situations. Failure to sustain these activities will impact case progress and ability to collect essential data to support case

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 62	National Ocean Service 1301 (1); 1372 (3); 2210 (1)		5	5	NOAA			(NOS/NGS) Geopositioning services necessary to maintain public safety, transportation, and protection of Nation's critical infrastructure. Services include management of a network of Continuously Operating Reference Stations (CORS), maintain the Global Navigation Satellite System (GNSS) and support overall global positioning activities essential to support public transportation and safety. The Global Navigation Satellite System (GNSS) data supports three dimensional positioning, meteorology, space weather, and geophysical applications throughout the United States, its territories, and a few foreign countries. The CORS network is a multi-purpose cooperative endeavor involving government, academic, and private organizations. The sites are independently owned and operated. Each agency shares their data with NGS, and NGS in turn analyzes and distributes the data free of charge. As of August 2015, the CORS network contains over 1,900 stations, contributed by over 200 different organizations, and the network continues to expand. CORS is an operational system that must be maintained 24X7 to provide accurate positioning information to the public.
NOAA EP 63	National Ocean Service (COOPS) 0301 (1); 0341 (1); 0343 (1); 0801 (2); 1301 (21); 1360 (5); 1640 (1); 2210 (6)		38	5	NOAA			(NOS/COOPS) Maintain real-time and essential data needed for safe navigation of ships entering US ports. Without such data, shipping will be put at risk because limited channel depths and dredging backlogs have many large vessels pushing draft safety limits.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 64	National Ocean Service (OCS) 0301 (1); 0303 (1); 0340 (1); 1301 (9); 1370 (10); 2210 (3)		25	5	NOAA			(NOS OCS) Maintain safe navigation of ships in U.S. (Exclusive Economic Zone) waterways by providing critical Nautical Chart Updates (dangers to navigation) including emergency response teams to identify maritime hazards to shipping/transportation and human life. This time-critical data is supplied to the USCG and NGA and is essential to support a safe Marine Transportation System (MTS) in U.S. waters, and prevent imminent threats of loss or damage to commercial shipping. The public and the marine transportation system depend on timely accurate charts for safety of life and property and the conduct of commerce. Without these up-to-date charts, navigational hazards cannot be identified which could result in a maritime disaster (groundings or strikes of uncharted underwater objects). As stated, this work focuses on dangers to navigation and supports Homeland Security operations.
NOAA EP 65	National Ocean Service (NCCOS) 0401 (1)		1	5	NOAA			(NOS/NCCOS) Maintenance of the NOAA Operational Harmful Algal Bloom Forecast System (HAB-FS). The NCCOS HAB-FS group processes & analyzes satellite imagery daily to locate harmful algal blooms in support of state health and resource departments. HABs present risks to human health and fisheries, and currently NCCOS is assisting Ohio, Maryland, Virginia, Florida, and Texas in finding blooms, as well as helping other states with unexpected blooms. Furthermore, we use this modeling infrastructure each day to identify areas at risk for Vibrio bacteria in the northern Gulf of Mexico in order to protect shell fisheries in that area. This is an operational forecast system, much like weather forecasting, that must continue in order to meet public demand and the safety of human health.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 66	National Ocean Service (ONMS) 0150 (1); 0301 (7); 0340 (1); 0343 (3); 1640 (1)		13	5	NOAA			(NOS/ONMS) Provision of response to emergencies for events affecting marine sanctuaries (e.g., marine mammal stranding/entanglement, ship grounding, oil spill, storm affecting property/vessels, or other response event). Serve as key points of contact for ONMS sites/resources. Protection of vessels and property at the Monitor NMS. Protection of live animal/living marine resources and property Thunder Bay NMS (including visitor center). Protection of vessels and property at Channel Islands NMS. Protection of live animal/living marine resources and property for the Santa Cruz Visitor Center. Protection of property and vessels at West Coast Regional Office and Monterey Bay NMS. Protection of live animal/living marine resources, vessels, and property at National Marine Sanctuary of American Samoa.
NOAA EP 67	National Ocean Service (NCCOS) 0018 (1); 0343 (2); 0401 (2); 0403 (1); 0408 (1); 0482 (2); 0801 (1); 0802 (1); 1320 (1); 1360 (2); 1601 (1); 1640 (2)		17	5	NOAA			(NOS-NCCOS) Protection of live animals and government property.
NOAA EP 68	National Ocean Service 2201 (20); 1370 (8); 1372 (3); 1374 (1); 1301 (4)		36	5	NOAA			(NOS-wide) Maintain minimal/essential IT and geospatial systems/network and management structure of sustain core excepted activities.
NOAA EP 69	National Weather Service 0301 (1), 0318 (1), 0340 (1), 0342 (1), 0343 (4), 0391 (1), 0501 (1), 0801 (6), 0802 (20), 0855 (5), 0856 (286), 1301 (63), 1310 (2), 1313 (7), 1315 (221), 1316 (1), 1330 (2), 1340 (2195), 1341 (232), 1360 (8), 1399 (3), 1550 (2), 1670 (3), 2005 (3), 2210 (321), 6907 (3), 2001 (1)		3394	5	NOAA			Providing the Nation with climate, water, weather forecasts and warnings to protect life and property. Includes all operational staff in the National Centers, WFOs, RFCs, WSOs, DCOs, CWSUs, Tsunami Warning Centers, and Regional Operations Centers required to perform excepted activities. These offices operate on a 24x7 or 16x7 schedules to provide forecasts, warnings data and critical expertise. The staffs are comprised of Meteorologists, Hydrologists, Geophysicists, Meteorological Technicians, Electronic Technicians, and IT Specialists. Excepted employees also include employees overseeing NWS NEXRAD operations in the Radar Operations Center, as well as employees in the National Reconditioning Center and National Logistics Support Center providing logistical and technical expertise to NWS forecast operations.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NOAA EP 70	National Weather Service 0260 (1), 0301 (1), 0303 (132), 0318 (6), 0340 (8), 0341 (1), 0342 (4), 0343 (9), 0346 (2), 0391 (2), 0399 (1), 0503 (1), 0560 (14), 0561 (1), 0801 (5), 0802 (7), 0856 (13), 1301 (12), 1313 (1), 1315 (1), 1340 (16), 1341 (5), 1550 (1), 2102 (1), 2210 (31), 4749 (2)		278	5	NOAA			Employees in intermittent or excepted status who provide critical functions in support of PMEF activities. Includes these types of activities: emergency maintenance/repair of operational systems, funds certification, and procurement requests.
		TOTAL	5,293					

Excepted IT Requirements & Contracts

Bureau-Office:

**National Oceanic and Atmospheric
Administration - 5.2.10.3**

List of Excepted IT Requirements & Contracts
Email
File Shares
Mobile Devices
Desktops/Laptops/Microsoft Office/Applications
Phones and Faxes
Printers
Internet
Network
Remote Access (for exempted personnel)
NOAA Staff Directory
Websites

Orderly Shutdown Needs

Bureau-Office: National Telecommunications and Information Administration - 5.2.11.1

	Position	Duty Station	# of Employees	Days Needed	Justification
NTIA OSN 1	CFO	Washington DC	1	2	To ensure orderly shutdown of financial management operations and systems.
NTIA OSN 2	Attorney Advisor	Washington DC	1	2	To address legal questions related to shutdown
NTIA OSN 3	CIO	Washington DC	1	2	To ensure orderly transition to shutdown mode for IT systems used by excepted employees.
NTIA OSN 4	Supervisory Management and Program Analyst	Washington DC	1	2	To address HR and management issues related to shutdown
		TOTAL	4		

Excepted Positions

Bureau-Office:

**National Telecommunications and
Information Administration - 5.2.11.2**

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NTIA EP 1	Assistant Secretary*	Washington DC	1	3	NTIA		OAS PAS *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
NTIA EP 2	Associate Administrator for Spectrum Management	Washington DC	1	5	NTIA		OSM Emergency protection of spectrum management
NTIA EP 3	Supervisor Telecomm. Specialist	Washington DC	1	5	NTIA		OSM Emergency protection of spectrum management
NTIA EP 4	Associate Administrator Public Safety Communications	Washington DC	1	1	NTIA		OPSC Funded through provisions of the Middle Class Tax Relief and Job Creation Act of 2012
NTIA EP 5	Supervisory Telecommunications Policy Specialist	Washington DC	1	1	NTIA		OPSC Funded through provisions of the Middle Class Tax Relief and Job Creation Act of 2012
NTIA EP 6	Supervisory Communications Program Specialist	Washington DC	1	1	NTIA		OPSC Funded through provisions of the Middle Class Tax Relief and Job Creation Act of 2012
NTIA EP 7	Telecom. Policy Specialist	Washington DC	5	1	NTIA		OPSC Funded through provisions of the Middle Class Tax Relief and Job Creation Act of 2012
NTIA EP 8	Grants Management Specialist	Washington DC	2	1	NTIA		OPSC Funded through provisions of the Middle Class Tax Relief and Job Creation Act of 2012
NTIA EP 9	Grants Management Specialist	Austin TX	1	1	NTIA		OPSC Funded through provisions of the Middle Class Tax Relief and Job Creation Act of 2012
NTIA EP 10	Admin Specialist	Washington DC	1	1	NTIA		OPCM Funded through provisions of the Middle Class Tax Relief and Job Creation Act of 2012
NTIA EP 11	Secretary	Washington DC	1	1	NTIA		OPSC Funded through provisions of the Middle Class Tax Relief and Job Creation Act of 2012
NTIA EP 12	FirstNet	Washington DC	207	1	NTIA		FirstNet Funded through provisions of the Middle Class Tax Relief and Job Creation Act of 2012

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
NTIA EP 13	Supervisory IT Specialist	Gettysburg PA	1	5	NTIA		OPCM	Maintain and protect network systems
NTIA EP 14	Director of Budget and Finance Division	Washington DC	1	5	NTIA		OPCM	To address financial requirements for functions that protect life and property or that are financed by a source other than appropriations.
NTIA EP 15	Associate Administrator for Policy Analysis and Development	Washington DC	1	5	NTIA		OPAD	To provide telecommunications and information policy advice to the Secretary, White House, and other federal agencies in the areas of national security, cybersecurity, and law enforcement.
NTIA EP 16	Frequency Assignment Branch Chief	Washington DC	1	5	NTIA		OSM	Emergency protection of spectrum management
NTIA EP 17	Telecommunications Specialist	Washington DC or Gettysburg PA	1	5	NTIA		OSM	Emergency protection of spectrum management
		TOTAL	228					

Excepted IT Requirements & Contracts

Bureau-Office:

**National Telecommunications and
Information Administration - 5.2.11.3**

List of Excepted IT Requirements & Contracts
Email
File Shares
Mobile Devices
Desktops/Laptops/Microsoft Office
Phones and Faxes
Printers
Internet
Network
Remote Access (for excepted personnel)

Orderly Shutdown Needs

Bureau-Office: National Technical Information Service - 5.2.12.1

Position		Duty Station	# of Employees	Days Needed	Justification
NTIS OSN 1	NTIS Director	Alexandria VA	1	1	Oversight of bureau shutdown operations.
NTIS OSN 2	NTIS Deputy Director	Alexandria VA	1	1	Assist with execution/coordination of bureau shutdown operations.
NTIS OSN 3	NTIS Program Analyst	Alexandria VA	1	1	Process furlough NOA actions to NFC--days must be sequential due to pay process. Time may flex based upon errors.
NTIS OSN 4	NTIS CIO	Alexandria VA	1	1	Direct shutdown of services and equipment and ensure readiness for restart.
NTIS OSN 5	NTIS Production Support Manager	Alexandria VA	1	1	Perform shutdown of services and equipment and set equipment for restart as needed
NTIS OSN 6	Chief Financial Officer	Alexandria VA	1	1	To ensure orderly shutdown of financial management operations and systems.
		TOTAL	6		

Excepted Positions

Bureau-Office: **National Technical Information Service - 5.2.12.2**

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
	None							
		TOTAL	0					

Excepted IT Requirements & Contracts

**Bureau-Office: National Technical Information
Service - 5.2.12.3**

List of Excepted IT Requirements & Contracts

Orderly Shutdown Needs

Bureau-Office: United States Patent and Trademark Office - 5.2.13.1

	Position	Duty Station	# of Employees	Days Needed	Justification
USPTO OSN 1	Human Resources Specialist	Alexandria VA	1	1.5	Assisting with shut down of staffing and recruitment operations (placing notices on open vacancy announcements, answering public questions, processing time and attendance records, etc.).
USPTO OSN 2	Human Resources Specialist	Alexandria VA	1	2 full/2 half	Process Furlough NOA actions to NFC -- days must be sequential due to pay process. Time may flex based upon errors
USPTO OSN 3	Human Resources Specialist	Alexandria VA	1	2 full/2 half	Process Furlough NOA actions to NFC -- Process notifications as needed
USPTO OSN 4	Human Resources Specialist	Alexandria VA	1	1	Place notices on ED-Patents vacancy announcements , certify time and attendance, respond to inquiries, place an auto-reply on general email boxes and place an out of office voicemail on ED-Patents 800 lines.
USPTO OSN 5	Human Resources Specialist	Alexandria VA	1	2.5	Time & Attendance transmission for the week ending PP20
USPTO OSN 6	Human Resources Specialist	Alexandria VA	1	1.5	Time & Attendance transmission & Suspense Resolution for the week ending PP19 & the transmission of T&A's for PP20
USPTO OSN 7	Human Resources Specialist	Alexandria VA	1	1	Issue Broadcast Furlough Notice – Senior Management Oversight OHR Functions
USPTO OSN 8	Regional Director - Texas Regional Office	Dallas TX	1	1	Provides oversight coordination for shutdown operations, human capital and emergency operations for USPTO regional office assigned personnel, and communication coordination with regional stakeholder community.
USPTO OSN 9	Regional Director - Rocky Mountain Regional Office	Denver CO	1	1	
USPTO OSN 10	Regional Director - Silicon Valley Regional Office	San Jose CA	1	1	
USPTO OSN 11	Regional Director - Midwest Regional Office	Detroit MI	1	1	
		TOTAL	11		

Excepted Positions

Bureau-Office: **United States Patent and Trademark Office**
- 5.2.13.2

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
USPTO EP 1	Under Secretary of Commerce for Intellectual Property and Director of the USPTO*	Alexandria VA	1	3	USPTO	OUS	PAS Agency head - overall management over agency personnel and operations. *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
USPTO EP 2	Deputy Under Secretary of Commerce for Intellectual Property and Deputy Director of the USPTO	Alexandria VA	1	5	USPTO	OUS	Agency Deputy - overall management over agency personnel and operations.
USPTO EP 3	Chief of Staff	Alexandria VA	1	5	USPTO	OUS	Administration, coordination, and executive support for Agency Senior Leadership
USPTO EP 4	Chief Communications Officer	Alexandria VA	1	5	USPTO	OUS	Agency communication to stakeholders (public, international bodies, etc.) will be excepted for shutdown, maintenance, and resumption of work.
USPTO EP 5	Chief Administrative Officer	Alexandria VA	1	5	USPTO	OCAO	Provide agency oversight and coordination for shutdown operations, Human Capital and Emergency operations
USPTO EP 6	Director of Human Resources	Alexandria VA	1	5	USPTO	OCAO	Advises on human resource related matters to include union concerns, time and attendance matters, furlough procedures, etc.
USPTO EP 7	Director of Administrative Services	Alexandria VA	1	5	USPTO	OCAO	Advises on building operations, physical security, emergency preparedness, etc. matters

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
USPTO EP 8	Program Analyst	Alexandria VA	1	5	USPTO	OCAO		USPTO will continue to accept mail on a daily basis. In order to keep proper receipt and order to incoming mail, contract staff would perform minimal mail processing activities (e.g. date stamp, sort, etc.). A Federal employee is required to oversee the contract staff. Necessary for the protection of personal property.
USPTO EP 9	Director of Security	Alexandria VA	1	5	USPTO	OCAO		These Office of Security employees would be necessary during the duration of the shutdown to monitor the contract guard force; physical access and security systems; campus, local and national events for potential impacts to the agency; and ensure the safety of the employees and contractors that remain on campus. They are necessary for the protection of life and property.
USPTO EP 10	Emergency Management Specialist	Alexandria VA	1	5				
USPTO EP 11	Senior Security Specialist	Alexandria VA	1	5				
USPTO EP 12	Senior Security Specialist	Alexandria VA	1	5				
USPTO EP 13	Chief Administrative Patent Judge	Alexandria VA	1	5	USPTO	PTAB		Ongoing contested cases will need orders signed by a judge and entered to preserve party rights. Contested proceedings proceed on a pre-set schedule independent of USPTO closing, and evidentiary rulings will need to be made in depositions and other discovery proceedings. Emergency motions will need to be decided as time periods between the parties pass. Stay orders will need to be entered and sent out to maintain the status quo of property rights between parties in contested cases as the preset deadlines pass.
USPTO EP 14	Administrative Patent Judge	Alexandria VA	1	5	USPTO	PTAB		Ongoing contested cases will need orders signed by a judge and entered to preserve party rights. Contested proceedings proceed on a pre-set schedule independent of USPTO closing, and evidentiary rulings will need to be made in depositions and other discovery proceedings. Emergency motions will need to be decided as time periods between the parties pass. Stay orders will need to be entered and sent out to maintain the status quo of property rights between parties in contested cases as the preset deadlines pass.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
USPTO EP 15	Paralegal	Alexandria VA	1	5	USPTO	PTAB		Ongoing contested cases will need orders signed by a judge and entered to preserve party rights. Contested proceedings proceed on a pre-set schedule independent of USPTO closing, and evidentiary rulings will need to be made in depositions and other discovery proceedings. Emergency motions will need to be decided as time periods between the parties pass. Stay orders will need to be entered and sent out to maintain the status quo of property rights between parties in contested cases as the preset deadlines pass.
USPTO EP 16	Chief Administrative Trademark Judge	Alexandria VA	1	5	USPTO	TTAB		Ongoing contested cases will need orders signed by a judge and entered to preserve party rights. Contested proceedings proceed on a pre-set schedule independent of USPTO closing, and evidentiary rulings will need to be made in depositions and other discovery proceedings. Emergency motions will need to be decided as time periods between the parties pass. Stay orders will need to be entered and sent out to maintain the status quo of property rights between parties in contested cases as the preset deadlines pass.
USPTO EP 17	Mgmt & Program Analyst	Alexandria VA	1	5	USPTO	TTAB		Responsible for ensuring the availability of the functioning electronic system to issue the orders and reset dates. (The electronic filing system, ESTTA, sets many dates, the position has the permission to override the system, as needed, given direction from the Chief Judge and/or Technical Program Manager, as situations arise that require resetting of dates and issuance of orders contrary to what the system may have established
USPTO EP 18	Chief Clerk of the Board	Alexandria VA	1	5	USPTO	TTAB		Ongoing contested cases will need orders signed by a judge and entered to preserve party rights. Contested proceedings proceed on a pre-set schedule independent of USPTO closing, and evidentiary rulings will need to be made in depositions and other discovery proceedings. Emergency motions will need to be decided as time periods between the parties pass. Stay orders will need to be entered and sent out to maintain the status quo of property rights between parties in contested cases as the preset deadlines pass.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
USPTO EP 19	General Counsel	Alexandria VA	1	5	USPTO	OGC		Provide ongoing interpretations of law related to the continued protection of USPTO property, IP property, and employees.
USPTO EP 20	Deputy General Counsel for General Law	Alexandria VA	1	5	USPTO	OGC		Provide ongoing interpretations of law related to the continued protection of USPTO property, IP property, and employees.
USPTO EP 21	Senior Level Advisor (Senior Counsel for Rulemaking and Legislative Affairs)	Alexandria VA	1	5	USPTO	OGC		Provide ongoing interpretations of law related to the continued protection of USPTO property, IP property, and employees.
USPTO EP 22	Deputy General Counsel/Solicitor	Alexandria VA	1	5	USPTO	OGC		Provide ongoing interpretations of law related to the continue protection of USPTO property, IP property, and employees. Specifically, the Solicitor's Office will (1) continue to provide legal advice as needed to protect intellectual property and to comply with federal mandates during the shutdown and (2) prepare and submit court filings and extension requests as needed prior to and during the shutdown.
USPTO EP 23	Deputy Solicitor	Alexandria VA	1	5	USPTO	OGC		Deputy Solicitor - Office of the Solicitor - Advise on Government Shutdown in the event of a lapse in appropriations, the General Counsel's Office and Solicitor's Office will provide ongoing interpretations of law related to a showdown of the USPTO and the Provide ongoing interpretations of law related to the continued protection of USPTO property, IP property, and employees. Specifically, the Solicitor's Office will (1) continue to provide legal advice as needed to protect intellectual property and to comply with federal mandates during the shutdown and (2) prepare and submit court filings and extension requests as needed prior to and during the shutdown.
USPTO EP 24	Deputy General Counsel for Employment and Discipline	Alexandria VA	1	5	USPTO	OGC		Provide ongoing interpretations of law relating to the continued protection of IP property. Specifically, the applicable statute of limitations will be met for practitioner discipline cases.
USPTO EP 25	Senior Level Advisor (Special Counsel for IP Litigation)	Alexandria VA	1	5	USPTO	OGC		Provide ongoing interpretations of law related to a shutdown of the USPTO and the continue protection of USPTO property, IP property, and employees. Specifically, the Solicitor's Office will (1) continue to provide legal advice as needed to protect intellectual property and to comply with the federal mandates during the shutdown and (20 prepare and submit court filings and extension requests as needed during the shutdown.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
USPTO EP 26	Chief Financial Officer	Alexandria VA	1	5	USPTO	OCFO		Provide oversight of excepted functions. Task supports excepted activities of the OCFO
USPTO EP 27	Budget Analyst	Alexandria VA	1	5	USPTO	OCFO		Analyze budgetary accounts, amounts, fees, and spending. Task supports excepted activities of the OCFO that support mission related excepted functions.
USPTO EP 28	Budget Analyst	Alexandria VA	1	5	USPTO	OCFO		Process budgetary transactions in financial system. Task supports excepted activities of the OCFO that support mission related excepted functions.
USPTO EP 29	Contract Specialist	Alexandria VA	1	5	USPTO	OCFO		Issue delivery/task orders; Modify actions. Perform Contracting Officer's Technical Representatives (COTR) duties, including entering and approving receivers. Task supports excepted activities of the OCFO that support mission related excepted functions.
USPTO EP 30	Contract Specialist	Alexandria VA	1	5	USPTO	OCFO		Issue delivery/task orders; Modify actions. Perform Contracting Officer's Technical Representatives (COTR) duties, including entering and approving receivers. Task supports excepted activities of the OCFO that support mission related excepted functions.
USPTO EP 31	Financial Systems Analyst	Alexandria VA	2	5	USPTO	OCFO		Maintain Systems Availability. Task supports excepted activities of the OCFO that support mission related excepted functions.
USPTO EP 32	Accountant	Alexandria VA	1	5	USPTO	OCFO		Financial Reporting, reconciliation, and maintain minimum requirements for records. Task supports excepted activities of the OCFO that support mission related excepted functions.
USPTO EP 33	Accounting Technician	Alexandria VA	1	5	USPTO	OCFO		Process Fees: Process incoming maintenance fees. Task supports excepted activities of the OCFO that support mission related excepted functions.
USPTO EP 34	Accountant	Alexandria VA	1	5	USPTO	OCFO		
USPTO EP 35	Chief Policy Officer & Director Of International Affairs	Alexandria VA	1	5	USPTO	OPIA		Monitor international activities related to IP protection and enforcement. Accept correspondence from other international IP agencies and support USPTO leadership on international issues.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
USPTO EP 36	Commissioner for Patents	Alexandria VA	1	5	USPTO	Patents		Protection of mission critical Intellectual Property and oversight - remain on-site for all foreign activity. Patent Office collects fees for services and has them appropriated back to USPTO through Congress.
USPTO EP 37	Deputy Commissioner for Patent Operations	Alexandria VA	1	5	USPTO	Patents		
USPTO EP 38	Deputy Commissioner for Patent Examination Policy	Alexandria VA	1	5	USPTO	Patents		
USPTO EP 39	Deputy Commissioner for Patent Administration	Alexandria VA	1	5	USPTO	Patents		
USPTO EP 40	Associate Commissioner for Patent Information Management	Alexandria VA	1	5	USPTO	Patents		
USPTO EP 41	Assistant Deputy Commissioner for Patent Operations - Mechanical and Electrical I	Alexandria VA	1	5	USPTO	Patents		
USPTO EP 42	Assistant Deputy Commissioner for Patent Operations - Mechanical and Electrical II	Alexandria VA	1	5	USPTO	Patents		
USPTO EP 43	Assistant Deputy Commissioner for Patent Operations - Electrical and CRU	Alexandria VA	1	5	USPTO	Patents		
USPTO EP 44	Assistant Deputy Commissioner for Patent Operations - Chemical and Design	Alexandria VA	1	5	USPTO	Patents		
USPTO EP 45	Assistant Deputy Commissioner for Patent Operations - Electrical and OPESS	Alexandria VA	1	5	USPTO	Patents		
USPTO EP 46	IT Project Manager	Alexandria VA	1	5	USPTO	Patents		
USPTO EP 47	Supervisory Information Technology Specialist	Alexandria VA	1	5	USPTO	Patents		
USPTO EP 48	Supervisory Patent IT Program Manager	Alexandria VA	1	5	USPTO	Patents		
USPTO EP 49	Commissioner for Trademarks	Alexandria VA	1	5	USPTO	Trademarks		Protection of mission critical Intellectual Property and oversight - remain on-site for all foreign activity.
USPTO EP 50	Deputy Commissioner for Trademark Examination Policy	Alexandria VA	1	5	USPTO	Trademarks		
USPTO EP 51	Deputy Commissioner for Trademark Operations	Alexandria VA	1	5	USPTO	Trademarks		
USPTO EP 52	Deputy Commissioner for Trademark Administration	Alexandria VA	1	5	USPTO	Trademarks		
USPTO EP 53	Supervisory IT Specialist	Alexandria VA	1	5	USPTO	Trademarks		Madrid System Support - Provides technical and IT support for system necessary to meet legal obligations to the public and International treaties.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
USPTO EP 54	IT Specialist	Alexandria VA	1	5	USPTO	Trademarks		Trademark In-House Photocomposition Support TMOG - Provides technical and IT support for system necessary to meet legal obligations to the public and International treaties.
USPTO EP 55	IT Specialist	Alexandria VA	1	5	USPTO	Trademarks		TRAM System Support and TMOG - Provides technical and IT support for system necessary to meet legal obligations to the public and International treaties.
USPTO EP 56	Supv. Attorney Advisor	Alexandria VA	1	5	USPTO	Trademarks		Trademark Electronic Filing System - Provides technical and program management for system necessary to meet legal obligations to the public and International treaties.
USPTO EP 57	Attorney Advisor	Alexandria VA	1	5	USPTO	Trademarks		Madrid Protocol - Provides technical and program management for system necessary to meet legal obligations to the public and International treaties.
USPTO EP 58	Supervisory TM Specialist	Alexandria VA	1	5	USPTO	Trademarks		Madrid Protocol - Provides technical and program management for system necessary to meet legal obligations to the public and International treaties.
USPTO EP 59	Chief Information Officer	Alexandria VA	1	5	USPTO	OCIO		Perform POC role for Shutdown activities and provide Oversight of excepted functions. Task supports excepted activities of the agency and is necessary to meet legal obligations to the public and International treaties.
USPTO EP 60	SUPVY ITSPEC (NETWORK)	Alexandria VA	1	5	USPTO	OCIO		CIO Command Center (C3) - 24x7 Network and security operations center providing event/incident and response management.
USPTO EP 61	ITSPEC (INFOSEC)	Alexandria VA	1	5	USPTO	OCIO		CIO Command Center (C3) - 24x7 Network and security operations center providing event/incident and response management.
USPTO EP 62	ITSPEC (INFOSEC)	Alexandria VA	1	5	USPTO	OCIO		CIO Command Center (C3) - 24x7 Network and security operations center providing event/incident and response management.
USPTO EP 63	ITSPEC (INFOSEC)	Alexandria VA	1	5	USPTO	OCIO		CIO Command Center (C3) - 24x7 Network and security operations center providing event/incident and response management.
USPTO EP 64	IT SPECIALIST	Alexandria VA	1	5	USPTO	OCIO		PATENTS SDL - PDX/TDA - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 65	IT SPECIALIST	Alexandria VA	1	5	USPTO	OCIO		PATENTS SDL - EFS Web - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
USPTO EP 66	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	PATENTS SDL - Private PAIR - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 67	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	PATENTS SDL - PKI/True PASS/PALM - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 68	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	PATENTS SDL - IFW - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 69	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	PATENTS SDL - EAI Hub/CDS/Patent Supervisor - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 70	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	PATENTS SDL - SCORE - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 71	SUPVY ITSPEC (SYSANALYSIS/APPS)	Alexandria VA	1	5	USPTO	OCIO	TRADEMARKS SDL - Trademark Supervisor - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 72	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	TRADEMARKS SDL - TEAS/TEASi - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 73	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	TRADEMARKS SDL- TRAM - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 74	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	TRADEMARKS SDL - TDR/Databridge/TARR - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 75	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	TRADEMARKS SDL - TESS - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 76	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	TRADEMARKS SDL - Cropped Images (TCIM)/STIS - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
USPTO EP 77	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	TRADEMARKS SDL - Madrid - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 78	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	CORPORATE SDL - RAM - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 79	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	CORPORATE SDL - Assignments - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 80	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	CORPORATE SDL - OEMS - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 81	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	CORPORATE SDL - TTABVue - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 82	ITSPEC (SYSANALYSIS/APPSW)	Alexandria VA	1	5	USPTO	OCIO	CORPORATE SDL - WebTA - Time and Attendance tracking for excepted employees.
USPTO EP 83	SUPERVISORY IT SPECIALIST	Alexandria VA	1	5	USPTO	OCIO	Facilities - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 84	SUPVY ITSPEC	Alexandria VA	1	5	USPTO	OCIO	Boyer/Data Loads - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 85	SUPVY ITSPEC	Alexandria VA	1	5	USPTO	OCIO	Facilities - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 86	SUPVY IT SPEC(OS/SYSADMIN)	Alexandria VA	1	5	USPTO	OCIO	Systems Support - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 87	SUPVY ITSPEC	Alexandria VA	1	5	USPTO	OCIO	Server Admin - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 88	SUPVY ITSPEC	Alexandria VA	1	5	USPTO	OCIO	Server Administration - Unix - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 89	COMPR SCNTST	Alexandria VA	1	5	USPTO	OCIO	Middleware (EFS) - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
USPTO EP 90	ITSPEC (OS/SYSADMIN)	Alexandria VA	1	5	USPTO	OCIO		Middleware (EFS) - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 91	ITSPEC (APPSW)	Alexandria VA	1	5	USPTO	OCIO		Storage - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 92	ITSPEC (OS/SYSADMIN)	Alexandria VA	1	5	USPTO	OCIO		Storage - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 93	ITSPEC (OS/SYSADMIN)	Alexandria VA	1	5	USPTO	OCIO		Storage - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 94	ITSPEC (DATAMGT)	Alexandria VA	1	5	USPTO	OCIO		Database - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 95	ITSPEC (DATAMGT)	Alexandria VA	1	5	USPTO	OCIO		Database - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 96	ITSPEC (DATAMGT)	Alexandria VA	1	5	USPTO	OCIO		Database - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 97	SUPVY ITSPEC	Alexandria VA	1	5	USPTO	OCIO		Server Administration- Microsoft - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 98	ITSPEC (OS/SYSADMIN)	Alexandria VA	1	5	USPTO	OCIO		Server Administration- Trademarks - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 99	ITSPEC (OS/SYSADMIN)	Alexandria VA	1	5	USPTO	OCIO		Server Administration- Microsoft - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 100	ITSPEC (OS/SYSADMIN)	Alexandria VA	1	5	USPTO	OCIO		Server Administration- Microsoft - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 101	COMPR ENGR	Alexandria VA	1	5	USPTO	OCIO		RAM Network - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 102	ITSPEC (NETWORK)	Alexandria VA	1	5	USPTO	OCIO		Network - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.

	Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
USPTO EP 103	COMPR ENGR	Alexandria VA	1	5	USPTO	OCIO		Network - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 104	ITSPEC (NETWORK)	Alexandria VA	1	5	USPTO	OCIO		Network/Telecomm - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 105	COMPR ENGR	Alexandria VA	1	5	USPTO	OCIO		PKI - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 106	ITSPEC (OS/SYSADMIN)	Alexandria VA	1	5	USPTO	OCIO		Category B: (long-term closures) - Back-up/recovery - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 107	ITSPEC (DATAMGT)	Alexandria VA	1	5	USPTO	OCIO		Category B: (long-term closures) - BRS – TESS - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 108	SUPVY ITSPEC (CUSTSPT)	Alexandria VA	1	5	USPTO	OCIO		Service Desk - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 109	SUPVY ITSPEC	Alexandria VA	1	5	USPTO	OCIO		Service Desk - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 110	ITSPEC (CUSTSPT)	Alexandria VA	1	5	USPTO	OCIO		Exec Support/Blackberries - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 111	ITSPEC (CUSTSPT)	Alexandria VA	1	5	USPTO	OCIO		Service Desk - Hardware - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 112	ITSPEC (CUSTSPT)	Alexandria VA	1	5	USPTO	OCIO		Service Desk - Hardware - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 113	SUPVY PROG MGR	Alexandria VA	1	5	USPTO	OCIO		Web Services - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
USPTO EP 114	SUPVY ITSPEC(INET)	Alexandria VA	1	5	USPTO	OCIO		Web Services - Provides emergency response to systems necessary to meet legal obligations to the public and International treaties.
		TOTAL	115					

Excepted IT Requirements & Contracts

Bureau-Office:

United States Patent and Trademark Office - 5.2.13.3

Contract Number	Contractor Name	Title
DOC50PAPT1200007	2020 COMPANY, LLC	SDING SMALL AWARD
DOC50PAPT1400012	AMERICAN ENVIRONMENTAL & ENGINEERING CONSULTANTS	IDEAI-2 AEEC
DOC45PAPT1420007	ATS	FEE PROCESSING NEXT GENERATION (FPNG) SERVICES
DOC45PAPT1520016	ATS	FEE PROCESSING NEXT GENERATION (FPNG) SUPPORT SERV
DOC45PAPT1720005	CGI FEDERAL INC.	MOMENTUM, FPNG, AND AEON OPERATIONS & MAINTENANCE
DOC50PAPT1200014	CGI FEDERAL INC.	SDI NG AWARD- CGI FEDERAL INC
DOC50PAPT1600026	CGI FEDERAL INC.	CGI FEDERAL - SDI BRIDGE
DOC45PAPT1520025	CRGT, INC.	EDW SUPPORT AND ENHANCEMENT SERVICES
DOC50PAPT1200015	CSRA LLC	SDI NG AWARD
DOC50PAPT1600023	CSRA LLC	CSRA - BRIDGE CONTRACTS
DOC50PAPT1400011	EGLOBALTECH	IDEAI-2 EGT
DOC45PAPT1320017	EMAGINE IT, INC.	IT CYBER SECURITY SUPPORT
DOC50PAPT1400015	GENERAL DYNAMICS INFORMATION TECHNOLOGY, INC.	IDEAI-2 GDIT
DOC50PAPT1100030	IRON MOUNTAIN	BOYERS, PA LEASE AGREEMENT
DOC50PAPT1300028	IRON MOUNTAIN	BOYERS, PA OC-48 LINE
DOC50PAPT1200009	LIST INNOVATIVE SOLUTIONS, INC.	SDING SMALL AWARD
DOC50PAPT1600028	MAXIMUS FEDERAL SERVICES, INC.	MAXIMUS FEDERAL - SDI BRIDGE
DOC50PAPT1400013	OCTO	IDEAI-2 OCTO OPTION PERIOD 3
DOC50PAPT1700008	PDFTRON SYSTEMS INC	PDFTRON LICENSES AND MAINTENANCE
DOC50PAPT1200011	PHACIL, INC.	SDING SMALL AWARD
DOC50PAPT1600025	PHACIL, INC.	PHACIL - SDI BRIDGE
DOC50PAPT1200016	PRAGMATICS, INC.	SDI NG AWARD
DOC50PAPT1600022	PRAGMATICS, INC.	PRAGMATICS - SDI BRIDGE
DOC50PAPT1400025	QIVLIQ FEDERAL GROUP	NEW DATABASE SUPPORT SERVICE CONTRACT

Contract Number	Contractor Name	Title
DOC50PAPT1200017	SAIC	SDI NG AWARD
DOC50PAPT1600021	SAIC	SAIC - SDI BRIDGE
DOC50PAPT1600027	SALIENT CRGT, INC.	SALIENT CRGT - SDI BRIDGE
DOC45PAPT1320016	SECURICON, LLC	IT CYBER SECURITY SUPPORT
DOC50PAPT1200019	UNISYS CORPORATION	SDI NG AWARD
DOC50PAPT1600019	UNISYS CORPORATION	SDI-NG BRIDGE - UNISYS
DOC44PAPT1711047	Evolver	Network Operations
DOC44PAPT1400007	SECTEC	Guard Services
DOC50PAPT1700012	Falon	Mail Room
DOC44PAPT1409023	VION	SIMS VION

Orderly Shutdown Needs

Bureau-Office:

Office of the Inspector General - 5.2.14.1

	Position	Duty Station	# of Employees	Days Needed	Justification
	Not Applicable				
		TOTAL	0		

Excepted Positions

Bureau-Office: Office of the Inspector General - 5.2.14.2

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative
OIG EP 1	Inspector General*	1	3	OIG	IG		PAS. *Presidentially-appointed, Senate-confirmed (PAS) officer exempt from furlough. In the event this position is not encumbered by a PAS official--either appointed, acting, or performing the non-exclusive duties--a non-PAS official who may be acting or performing the non-exclusive duties of this position will be excepted from furlough to provide management and oversight responsibility of agency functions related to the protection of human life and property.
OIG EP 2	IT Specialist	1	5	OIG	CIO		Maintenance and protection of databases
	TOTAL	2					

Excepted IT Requirements & Contracts

**Bureau-Office: Office of the Inspector General -
5.2.14.3**

List of Excepted IT Requirements & Contracts
Email
File Shares
Mobile Devices (such as Blackberries, Smart Phones, Tablets)
Desktops/Laptops/Microsoft Office
Phones and Fax
Printers and Copiers
Internet

Excepted Positions

Bureau-Office: Department of Commerce - Addendum - 5.2.15.1

Key for justification of excepted positions:

1. Their compensation is financed by a resource other than annual appropriations
2. They are necessary to perform activities expressly authorized by law
3. They are necessary to perform activities necessarily implied by law
4. They are necessary to the discharge of the President's constitutional duties and powers
5. They are necessary to protect life and property

Position	Duty Station	# of Employees	Exception Category	Bureau	OU	Office	Narrative	
OS EP 39 (Updated title)	Director, Office of Financial Reporting, Internal Controls, and Travel (formerly Director, Office of Administrative Programs)	Washington DC	1	5	OS	OCFO	OFM	To enable emergency travel of excepted employees.
OS EP 57 (Added)	Special Assistant to the Deputy Assistant Secretary for Administration (DASA)	Washington DC	1	5	OS	OCFO	OFM	Assist DASA in carrying out excepted functions.
OS EP 58 (Added)	Assistant General Counsel for Administration and Transactions	Washington DC	1	5	OS	OGC	AGC-ADTRAX	Provision of legal advice to the Secretary/Bureaus on excepted fiscal, fiscal assistance, procurement and ethics issues arising/continuing in the Department.
BIS EP 79 (Added)	Chief of Staff	Washington DC	1	5	BIS	US	OUS	Assist Under Secretary in carrying out excepted functions.
BIS 80 (Added)	Special Advisor	Washington DC	1	5	BIS	US	OUS	Assist Under Secretary in carrying out excepted functions.
NTIA EP 18 (Added)	Deputy Associate Administrator (.us COR)	Washington DC	1	5	NTIA		OIA	To process any re-delegation requests associated with the U.S. Government administered .mil, .gov, .edu, and .us Top Level Domains from ICANN, as NTIA express written approval is required, and to approve technical changes associated with the .us Top Level Domains under contract managed by NTIA.