

DOC FOIA ANNUAL REPORT

FOR
10/01/2020
THROUGH
09/30/2021

The following **Annual Freedom of Information Act** report covers the Period 10/01/2020, through 09/30/2021, as required by 5 U.S.C. 552.

I. BASIC INFORMATION REGARDING REPORT

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1. Electronic address for Report on the agency web site.
 - DOC Electronic address: <https://www.osec.doc.gov/opog/FOIA/default.html>
 - DOC Electronic address for Annual Report: https://www.osec.doc.gov/opog/FOIA/FOIA_reports_annual.html
2. How to obtain a copy of the report in paper form.

Bobbie Parsons, Acting Deputy Program Director Departmental FOIA/Privacy Act Operations, U.S. Department of Commerce Office of Privacy & Open Government Room 61013 1401 Constitution Avenue, N.W. Washington, D.C. 20230 202-482-1190 BParsons@doc.gov.

II. MAKING A FOIA REQUEST

1. Names, addresses, and phone numbers of all individual agency components of the Department that receive FOIA requests are listed at:
http://www.osec.doc.gov/opog/FOIA/FOIA_foiacontacts.html
2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

There is a broad category of records contained at the agency in which exemptions may apply. A request for records may be denied if the requested record contains information which falls into one or more of the nine FOIA exemptions listed in section V.B. (3). If the requested record contains both exempt and nonexempt information, the nonexempt portions which may reasonably be segregated from the exempt portions will be released to the requester.

3. Provide a functional electronic link to agency FOIA regulations, including the agency's fee schedule. (NOTE: Agency FOIA Regulations were formerly listed at Section XI of the human-readable version of the Report).
 - DOC FOIA website: <http://www.osec.doc.gov/opog/FOIA/default.html>
 - DOC FOIA regulations: <https://www.ecfr.gov/current/title-15/subtitle-A/part-4/subpart-A>
 - DOC FOA fee schedule: https://www.osec.doc.gov/opog/FOIA/FOIA_Fee_Categories_Fees.html

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

3. Acronyms used:

1. Acronyms

Acronym	Definition
BEA	Bureau of Economic Analysis
BIS	Bureau of Industry and Security
Census	Bureau of the Census
DOC	Department of Commerce
EDA	Economic Development Administration
IOS	Immediate Office of the Secretary
ITA	International Trade Administration
MBDA	Minority Business Development Agency
NIST	National Institute of Standards and Technology
NOAA	National Oceanic and Atmospheric Administration
NTIA	National Telecommunications and Information Administration
NTIS	National Technical Information Service
OIG	Office of Inspector General
OS	Office of the Secretary
OUSEA	Office of the Under Secretary for Economic Affairs
PTO	United States Patent and Trademark Office

2. Definition of terms used in this report:

- a. **Administrative Appeal** - a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number** - the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog** - the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** - for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** - the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** - a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.

g. **FOIA Request** - a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.).

h. **Full Grant** - an agency decision to disclose all records in full in response to a FOIA request.

i. **Full Denial** - an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.

j. **Median Number** - the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.

k. **Multi-Track Processing** - a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

ll. **Expedited Processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.

m. **Simple Request** – a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.

n. **Complex Request** – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

o. **Partial Grant/Partial Denial** - in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.

p. **Pending Request or Pending Administrative Appeal** - a request or administrative appeal for which an agency has not taken final action in all respect.

q. **Perfect Request** - a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.

- r. **Processed Request or Processed Administrative Appeal** - a request or administrative appeal for which an agency has taken final action in all respects.
 - s. **Range in Number of Days** - the lowest and highest number of days to process requests or administrative appeals.
 - t. **Time Limits** - the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
3. Concise descriptions of the nine FOIA exemptions:
- a. **Exemption 1** - classified national defense and foreign relations information
 - b. **Exemption 2** - internal agency rules and practices
 - c. **Exemption 3** - information that is prohibited from disclosure by another federal law
 - d. **Exemption 4** - trade secrets and other confidential business information
 - e. **Exemption 5** - inter-agency or intra-agency communications that are protected by legal privileges
 - f. **Exemption 6** - information involving matters of personal privacy
 - g. **Exemption 7** - records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
 - h. **Exemption 8** - information relating to the supervision of financial institutions
 - i. **Exemption 9** - geological information on wells

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
15 U.S.C. § 3710a(c) (Federal Technology Transfer Act)	"Trade secrets or commercial or financial information that is privileged or confidential, under the meaning of section 552(b)(4) of Title 5, which is obtained in the conduct of research or as a result of activities under this chapter," which pertains to cooperative research and development agreements for the purpose of technological and industrial innovation. For a period of up to 5 years after development of information that results from research and development activities conducted under this chapter and that would be a trade secret or commercial or financial information that is privileged or confidential if the information had been obtained from a non-Federal party participating in a cooperative research and development agreement.	Pub. Citizen Health Rsch. Grp. v. NIH, 209 F. Supp. 2d 37, 43, 51 (D.D.C. 2002); DeLorme Pub. Co., Inc. v. NOAA, 917 F. Supp. 867, 871-72 (D. Me. 1996), appeal dismissed per stipulation, No. 96-1601 (1st Cir. July 8, 1996).	NIST	2	2
54 U.S.C. § 307103	Information about the location, character, or ownership of a historic property.	N/A	NOAA	1	1

<p>41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))</p>	<p>Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts</p>	<p>Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).</p>	<p>PTO</p>	<p>1</p>	<p>1</p>
<p>35 U.S.C. § 122 (Patent Act)</p>	<p>Applications for patents; certain information pertaining to applications for patents.</p>	<p>Irons & Sears v. Dann, 606 F.2d 1215, 1220 (D.C. Cir. 1979), cert. denied, 444 U.S. 1075 (1980); Leeds v. Quigg, 720 F. Supp. 193, 194 (D.D.C. 1989), aff'd mem., No. 89-5062 (D.C. Cir. Oct. 24, 1989).</p>	<p>PTO</p>	<p>7</p>	<p>7</p>
<p>50 U.S.C. § 2155(d)</p>	<p>Information obtained pursuant to a subpoena which the President deems confidential or with reference to which a request for confidential treatment is made by the person furnishing such information, unless the President determines that the withholding thereof is contrary to the interest of the national defense.</p>	<p>N/A</p>	<p>ITA</p>	<p>1</p>	<p>1</p>

15 U.S.C. § 7306(d) (National Construction Safety Team Act)	"Any information . . . received in the course of an investigation under this chapter if the Director finds that the disclosure of that information might jeopardize public safety"	Quick v. Dep't of Com., 775 F. Supp. 2d 174, 180-81 (D.D.C. 2011).	NIST	3	3
13 U.S.C. §§ 8(b), 9(a) (Census Act)	Certain Census data	Baldrige v Shapiro, 455 U.S. 345, 355 (1982)	NOAA	1	1
50 U.S.C. § 4820(h)(1) (formerly at 50 U.S.C. § 4614(c)(1)) (Export Control Reform Act)	Information pertaining to license applications under the Export Administration Act	Wis. Project on Nuclear Arms Control v. U.S. Dep't of Com., 317 F.3d 275, 284 (D.C. Cir. 2003); Times Publ'g Co. v. U.S. Dep't of Com., 236 F.3d 1286, 1289-90 (11th Cir. 2001); Lessner v. U.S. Dep't of Com., 827 F.2d 1333, 1336-37 (9th Cir. 1987).	BIS	16	16
16 U.S.C. § 1881a(b)(1)	Certain information submitted to the Secretary, a State fishery management agency, or a marine fisheries commission by any person in compliance with the requirements of this chapter	N/A	NOAA	12	12

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
BEA	3	13	14	2
BIS	26	57	66	17
CEN	143	547	540	150
EDA	1	48	48	1
IOS	390	93	139	344
ITA	50	64	48	66
MBDA	7	6	7	6
NIST	31	96	80	47
NOAA	166	426	465	127
NTIA	10	56	60	6
NTIS	1	25	26	0
OIG	2	82	81	3
OS	290	498	396	392
OUSEA	1	0	0	1
PTO	48	263	225	86
AGENCY OVERALL	1169	2274	2195	1248

**After reviewing its database, Commerce updated the number of requests pending at the start of the Fiscal Year for the following components: BEA, BIS, CEN, IOS, ITA, MBDA, NIST, NOAA, NTIS, OS, OUSEA, and PTO.*

V.B. (1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explanation in Chart Below	
BEA	3	1	0	1	1	0	0	0	1	0	5	2	14
BIS	6	15	8	15	0	2	2	0	0	16	2	0	66
CEN	202	46	22	69	11	84	7	9	17	5	37	31	540
EDA	24	10	0	4	0	4	1	0	1	0	4	0	48
IOS	2	2	0	7	0	106	0	3	1	2	10	6	139
ITA	7	15	4	11	0	2	0	0	1	7	1	0	48
MBDA	0	3	0	3	0	0	0	0	0	0	1	0	7
NIST	24	18	3	12	0	1	12	1	2	5	0	2	80
NOAA	110	128	11	38	3	81	28	7	13	1	15	30	465
NTIA	24	16	1	6	1	4	4	2	0	0	2	0	60
NTIS	8	2	0	10	0	1	0	0	1	2	1	1	26
OIG	3	38	4	7	1	4	3	1	4	4	11	1	81
OS	11	5	1	12	6	92	0	10	17	90	29	123	396
OUSEA	0	0	0	0	0	0	0	0	0	0	0	0	0
PTO	77	53	8	22	1	7	10	7	34	2	4	0	225
AGENCY OVERALL	501	352	62	217	24	388	67	40	92	134	122	196	2195

V.B. (2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B (1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
BEA	Directed requester to publicly available information	2	2
CEN	Aggregate cases	9	31
	Directed requester to publicly available information	21	
	Directed requestor to another entity subject to the FOIA	1	
IOS	Aggregate cases	1	6
	Directed requester to publicly available information	4	
	Directed requestor to another entity subject to the FOIA	1	
ITA	N/A	0	0
NIST	Directed requester to publicly available information	2	2
NOAA	Aggregate cases	13	30
	Directed requester to publicly available information	17	
NTIS	Directed requester to publicly available information	1	1
OIG	Directed requester to publicly available information	1	1
OS	Aggregate cases	120	123
	Directed requester to publicly available information	3	
PTO	N/A	0	0
BIS	N/A	0	0
EDA	N/A	0	0
MBDA	N/A	0	0
NTIA	N/A	0	0
OUSEA	N/A	0	0
AGENCY OVERALL			196

V.B. (3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
BEA	0	0	0	0	1	0	0	0	0	0	0	0	0	0
BIS	0	0	16	9	12	15	1	0	9	0	3	0	0	0
CEN	0	12	0	7	15	36	0	0	3	0	0	0	0	0
EDA	0	0	0	2	2	6	0	0	1	0	0	0	0	0
IOS	0	0	0	13	15	17	0	0	1	0	12	1	0	0
ITA	0	0	1	11	8	8	0	0	1	0	1	0	0	0
MBDA	0	0	0	2	0	0	0	0	0	0	0	0	0	0
NIST	0	0	4	9	6	10	0	0	0	0	0	1	0	0
NOAA	0	1	14	39	61	101	8	2	14	2	5	1	0	0
NTIA	0	0	0	2	14	8	3	0	1	0	3	1	0	0
NTIS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	1	8	36	5	0	35	0	1	1	0	0
OS	0	1	0	2	4	8	0	0	1	0	2	0	0	0
OUSEA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PTO	0	1	8	12	7	39	3	0	3	0	2	0	0	0
AGENCY OVERALL	0	15	43	109	153	284	20	2	69	2	29	5	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
InfoLaw	85	84	16	153
OIG	0	4	4	0
PTO	0	6	6	0
AGENCY OVERALL	85	94	26	153

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
InfoLaw	11	2	0	3	16
OIG	1	1	0	2	4
PTO	3	2	1	0	6
AGENCY OVERALL	15	5	1	5	26

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
InfoLaw	0	0	2	1	3	4	1	0	2	1	1	0	0	0
OIG	0	0	0	0	0	2	0	0	2	0	0	0	0	0
PTO	0	0	0	0	0	1	1	0	1	0	0	0	0	0
AGENCY OVERALL	0	0	2	1	3	7	2	0	5	1	1	0	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL – REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
InfoLaw	0	0	2	0	1	0	0	0	1	1	0
OIG	1	1	0	0	0	1	0	1	0	0	0
PTO	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	1	1	2	0	1	1	0	1	1	1	0

VI.C.(3). REASONS FOR DENIAL ON APPEAL – “OTHER” REASONS

Agency / Component	Description of “Other” Reasons for Denial on Appeal from Chart C (2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
InfoLaw	N/A	0	0
OIG	N/A	0	0
PTO	N/A	0	0
AGENCY OVERALL			0

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
InfoLaw	135.0	288.63	14.0	737.0
OIG	11.0	11.25	3.0	20.0
PTO	20.50	20.33	19.00	21.00

AGENCY OVERALL	87.0	222.57	3	737
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VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
InfoLaw	Date of Appeal	2016-02-18	2015-10-15	2015-09-30	2015-03-09	2015-02-24	2014-08-15	2014-02-11	2013-04-26	2013-01-28	2012-11-02
	Number of Days Pending	1406	1490	1500	1644	1653	1781	1911	2109	2172	2228
OIG	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
PTO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-12-09
	Number of Days Pending	0	0	0	0	0	0	0	0	0	201
AGENCY OVERALL	Date of Appeal	2016-02-18	2015-10-15	2015-09-30	2015-03-09	2015-02-24	2014-08-15	2014-02-11	2013-04-26	2013-01-28	2012-11-02
	Number of Days Pending	1406	1490	1500	1644	1653	1781	1911	2109	2172	2228

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BEA	5.5	5.25	<1	10.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
BIS	4.0	5.82	<1	17.0	134.0	160.23	8.0	545.0	6.0	5.67	4.0	7.0
CEN	11.0	23.64	<1	292.0	14.0	49.58	<1	629.0	12.5	25.3	<1	218.0
EDA	14.0	13.25	<1	20.0	31.0	34.33	21.0	51.0	6.5	6.5	6.0	7.0
IOS	48.0	221.12	<1	931.0	705.0	625.41	2.0	984.0	411.0	448.69	22.0	1041.0
ITA	45.5	91.21	<1	293.0	134.0	134.0	94.0	174.0	513.5	513.5	399.0	628.0
MBDA	182.5	181.5	51.0	310.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NIST	5.0	7.57	<1	47.0	86.5	161.21	8.0	707.0	32.0	32.0	3.0	61.0
NOAA	20.0	68.21	<1	807.0	50.0	184.35	1.0	1046.0	19.0	81.0	1.0	428.0
NTIA	5.0	5.74	<1	16.0	25.0	47.3	4.0	157.0	88.5	88.5	76.0	101.0
NTIS	11.5	17.75	<1	74.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	15.0	12.29	<1	20.0	29.0	37.08	18.0	180.0	18.0	18.0	18.0	18.0
OS	5.0	94.67	<1	912.0	593.5	445.43	<1	893.0	6.0	13.5	<1	51.0
OUSEA	<1	<1	<1	<1	N/A	N/A	N/A	N/A	<1	<1	<1	<1
PTO	16	19.43	<1	116	27	94.92	5	804	N/A	N/A	N/A	N/A
AGENCY OVERALL	13.0	60.3	<1	931	47.0	205.37	<1	1046	14.5	86.8	<1	1041

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BEA	<1	<1	<1	1.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
BIS	14.0	13.25	8.0	17.0	178.0	223.12	8.0	545.0	N/A	N/A	N/A	N/A
CEN	11.0	21.66	<1	292.0	19.0	61.57	<1	416.0	13.0	22.9	<1	218.0
EDA	16.0	13.9	1.0	20.0	31.0	34.33	21.0	51.0	7.0	7.0	7.0	7.0
IOS	104.0	104.0	90.0	118.0	N/A	N/A	N/A	N/A	35.0	35.0	35.0	35.0
ITA	102.5	95.5	13.0	236.0	174.0	174.0	174.0	174.0	399.0	399.0	399.0	399.0
MBDA	180.5	180.5	51.0	310.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NIST	6.0	6.83	<1	17.0	94.0	170.45	22.0	707.0	61.0	61.0	61.0	61.0
NOAA	21.0	61.31	<1	738.0	59.0	191.86	1.0	1046.0	36.5	126.75	6.0	428.0
NTIA	4.5	5.71	<1	16.0	55.5	67.0	17.0	157.0	88.5	88.5	76.0	101.0
NTIS	21.0	17.67	6.0	26.0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	15.0	12.32	<1	20.0	29.0	37.08	18.0	180.0	N/A	N/A	N/A	N/A
OS	31.0	238.6	1.0	833.0	47.0	47.0	47.0	47.0	N/A	N/A	N/A	N/A
OUSEA	<1	<1	<1	<1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
PTO	18	20.71	<1	116	27.5	115.15	10	804	N/A	N/A	N/A	N/A
AGENCY OVERALL	16.0	48.12	<1	833	47.0	123.13	<1	1046	14.0	38.79	<1	428

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BEA	4	0	0	0	0	0	0	0	0	0	0	0	0	4
BIS	33	0	0	0	0	0	0	0	0	0	0	0	0	33
CEN	121	25	13	13	4	5	0	0	0	0	1	0	0	182
EDA	40	0	0	0	0	0	0	0	0	0	0	0	0	40
IOS	14	4	4	0	1	1	1	0	1	0	2	1	12	41
ITA	7	7	4	0	0	3	4	3	0	2	4	0	0	34
MBDA	0	0	1	1	0	0	0	0	0	0	1	1	0	4
NIST	33	1	1	0	0	0	0	0	0	0	0	0	0	35
NOAA	172	61	26	11	6	5	7	4	7	2	11	8	15	335
NTIA	31	0	0	0	0	0	0	0	0	0	0	0	0	31
NTIS	8	3	0	1	0	0	0	0	0	0	0	0	0	12
OIG	41	0	0	0	0	0	0	0	0	0	0	0	0	41
OS	105	29	15	2	1	0	0	0	0	0	0	0	17	169
OUSEA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PTO	110	26	3	5	1	1	0	0	0	0	0	0	0	146
AGENCY OVERALL	719	156	67	33	13	15	12	7	8	4	19	10	44	1107

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BEA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BIS	8	5	0	0	1	0	2	2	2	0	4	3	3	30
CEN	95	21	12	8	5	7	2	2	3	4	8	0	2	169
EDA	0	2	1	0	0	0	0	0	0	0	0	0	0	3
IOS	7	0	0	0	0	1	0	1	0	0	0	7	57	73
ITA	0	0	0	0	1	0	0	0	1	0	0	0	0	2
MBDA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NIST	1	6	6	3	4	2	1	1	2	0	0	2	6	34
NOAA	18	17	10	2	4	4	2	1	1	1	2	3	17	82
NTIA	9	6	1	3	0	1	0	3	0	0	0	0	0	23
NTIS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	4	8	0	0	0	0	0	0	1	0	0	0	0	13
OS	3	6	3	0	0	0	0	0	0	0	0	0	16	28
OUSEA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PTO	13	18	0	0	0	0	1	1	0	0	2	0	3	38
AGENCY OVERALL	158	89	33	16	15	15	8	11	10	5	16	15	104	495

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BEA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BIS	3	0	0	0	0	0	0	0	0	0	0	0	0	3
CEN	48	7	4	2	1	0	2	1	0	0	1	0	0	66
EDA	2	0	0	0	0	0	0	0	0	0	0	0	0	2
IOS	0	3	2	0	0	0	0	1	0	0	0	0	7	13
ITA	0	0	0	0	0	0	0	0	0	0	0	1	1	2
MBDA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NIST	1	0	0	1	0	0	0	0	0	0	0	0	0	2
NOAA	4	0	2	0	0	0	0	0	0	0	0	0	1	7
NTIA	0	0	0	1	0	1	0	0	0	0	0	0	0	2
NTIS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	1	0	0	0	0	0	0	0	0	0	0	0	0	1
OS	9	2	1	0	0	0	0	0	0	0	0	0	0	12
OUSEA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PTO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	68	12	9	4	1	1	2	2	0	0	1	1	9	110

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
BEA	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
BIS	0	N/A	N/A	16	111.0	200.81	0	N/A	N/A
CEN	14	19.0	44.5	35	87.0	129.14	8	99.0	158.75
EDA	0	N/A	N/A	1	265.0	265.0	0	N/A	N/A
IOS	99	244.0	303.85	203	763.0	604.67	32	216.0	379.38
ITA	37	190.0	300.08	12	521.0	624.0	2	956.0	956.0
MBDA	3	124.0	104.0	0	N/A	N/A	0	N/A	N/A
NIST	7	17.0	69.0	26	252.0	443.73	5	64.0	71.2
NOAA	78	79.0	112.35	34	60.0	229.53	8	184.0	243.88
NTIA	0	N/A	N/A	5	38.0	82.4	1	80.0	80.0
NTIS	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
OIG	3	1.0	3.33	0	N/A	N/A	0	N/A	N/A
OS	238	45.0	131.85	45	703.0	551.56	7	207.0	291.57
OUSEA	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
PTO	23	7.0	18.57	10	113.5	194.3	0	N/A	N/A
AGENCY OVERALL	502	89.0	165.59	387	445.0	477.39	63	205.0	313.49

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
BEA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
BIS	Date of Receipt	2021-04-26	2021-04-12	2021-03-31	2021-03-22	2020-08-13	2020-04-13	2020-04-01	2020-03-18	2019-11-07	2018-11-14
	Number of Days Pending	107	110	112	133	260	355	370	381	471	717
CEN	Date of Receipt	2020-02-21	2019-09-16	2019-10-25	2020-04-06	2020-03-23	2019-08-22	2020-01-21	2019-11-26	2019-09-23	2019-06-17
	Number of Days Pending	2	2	12	28	362	420	424	429	429	537
EDA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-09-02
	Number of Days Pending	0	0	0	0	0	0	0	0	0	265
IOS	Date of Receipt	2017-09-11	2017-08-07	2017-07-31	2017-07-24	2017-07-24	2017-07-18	2017-07-10	2017-07-05	2017-07-03	2017-03-23
	Number of Days Pending	1008	1021	1022	1026	1027	1031	1055	1058	1058	1106

OS	Date of Receipt	2018-03-13	2017-11-09	2018-02-20	2018-02-05	2018-01-23	2018-01-18	2017-12-21	2017-12-06	2016-08-22	2016-06-30
	Number of Days Pending	858	865	881	910	921	922	934	944	1276	1312
OUSEA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
PTO	Date of Receipt	2020-09-03	2020-09-03	2020-09-03	2020-09-03	2020-02-26	2020-02-26	2020-02-26	2019-03-28	2020-10-23	2021-04-22
	Number of Days Pending	20	20	20	20	30	30	30	30	64	111
AGENCY OVERALL	Date of Receipt	2016-10-28	2017-07-05	2017-07-03	2017-03-23	2016-08-22	2016-07-13	2016-06-30	2016-01-27	2015-02-09	2012-08-22
	Number of Days Pending	4	1058	1058	1106	1276	1304	1312	1421	1662	2278

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
BEA	0	3	21.0	14.0	1
BIS	3	14	3.0	3.59	17
CEN	97	38	7.0	26.51	78
EDA	2	4	<1	<1	6
IOS	16	15	37.0	59.06	11

ITA	0	7	1.0	2.86	7
MBDA	0	3	150.0	221.0	0
NIST	6	5	4.0	5.27	9
NOAA	11	32	3.0	9.28	38
NTIA	2	0	9.5	9.5	1
NTIS	0	4	22.5	26.0	2
OIG	1	15	3.0	4.38	16
OS	23	194	19.0	36.68	87
OUSEA	0	0	N/A	N/A	0
PTO	0	2	264.5	264.5	0
AGENCY OVERALL	161	336	8.0	30.86	273

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
BEA	2	3	<1	4.2
BIS	17	5	<1	<1
CEN	118	62	<1	3.56
EDA	6	9	<1	<1
IOS	72	12	<1	7.44
ITA	3	6	<1	<1
MBDA	1	2	0.0	0.0
NIST	6	16	4.0	4.77
NOAA	105	48	<1	<1
NTIA	5	2	5.0	6.29

NTIS	2	1	<1	<1
OIG	2	9	2.0	1.82
OS	35	176	<1	2.85
OUSEA	0	0	N/A	N/A
PTO	2	0	10.5	10.5
AGENCY OVERALL	376	351	<1	2.85

IX. FOIA Personnel and Costs

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
BEA	1	0.00	1.00	88800.00	0.00	88800.00
BIS	2	0.00	2.00	232960.00	0.00	232960.00
CEN	13	0.00	13.00	1106560.00	0.00	1106560.00
EDA	2	0.00	2.00	936.00	0.00	936.00
IOS	1	2.00	3.00	249950.60	0.00	249950.60
ITA	3	4.11	7.11	1372271.09	0.00	1372271.09
MBDA	1	0.00	1.00	156520.00	0.00	156520.00
NIST	0	2.55	2.55	260537.74	0.00	260537.74
NOAA	33	1.22	34.22	3384124.61	24000.00	3408124.61
NTIA	3	0.00	3.00	419952.00	0.00	419952.00
NTIS	2	0.00	2.00	239200.00	0.00	239200.00
OIG	0	0.01	0.01	1450.00	0.00	1450.00

OS	1	1.00	2.00	220868.64	0.00	220868.64
OUSEA	0	0.63	0.63	88800.00	0.00	88800.00
PTO	8	1.32	9.32	37729.84	0.00	37729.84
InfoLaw	4	0.00	4.00	585778.48	24000.00	609778.48
AGENCY OVERALL	74	12.84	86.84	8446439.00	48000.00	8494439.00

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
BEA	0.00	0.0000
BIS	0.00	0.0000
CEN	0.00	0.0000
EDA	77.23	8.2500
IOS	0.00	0.0000
ITA	0.00	0.0000
MBDA	0.00	0.0000
NIST	0.00	0.0000
NOAA	0.00	0.0000
NTIA	0.00	0.0000
NTIS	0.00	0.0000

OIG	1456.00	100.4100
OS	0.00	0.0000
OUSEA	0.00	0.0000
PTO	10855.80	28.7700
AGENCY OVERALL	12389.03	0.1500

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
BEA	0
BIS	0
CEN	0
EDA	0
IOS	0
ITA	0
MBDA	0
NIST	0
NOAA	0
NTIA	0

NTIS	0
OIG	0
OS	0
OUSEA	0
PTO	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
BEA	0	0
BIS	0	51
CEN	38	3
EDA	0	0
IOS	0	423
ITA	0	0
MBDA	0	0
NIST	0	0
NOAA	0	0

NTIA	95	509
NTIS	0	0
OIG	4	47
OS	0	84
OUSEA	0	0
PTO	0	4052889
AGENCY OVERALL	137	4054006

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
BEA	0	N/A
BIS	14	N/A
CEN	47	N/A
EDA	1	N/A
IOS	318	N/A
ITA	49	N/A
MBDA	3	N/A

NIST	32	N/A
NOAA	80	N/A
NTIA	4	N/A
NTIS	0	N/A
OIG	0	0
OS	192	N/A
OUSEA	0	N/A
PTO	33	0
InfoLaw	0	143
AGENCY OVERALL	773	143

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
BEA	0	1	1	0
BIS	1	13	14	0
CEN	1	5	6	0
EDA	0	1	1	0
IOS	18	20	17	21
ITA	5	14	12	7

OIG	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OS	Date	N/A	N/A	N/A	N/A	2021-02-19	2020-10-15	2020-09-22	2020-05-11	2019-01-30	2016-11-18
	Number of Days	0	0	0	0	155	238	254	347	668	1215
OUSEA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
PTO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2021-09-14	2020-04-15
	Number of Days	0	0	0	0	0	0	0	0	10	20
AGENCY OVERALL	Date	2019-03-22	2019-03-06	2019-03-01	2019-02-28	2019-01-30	2018-09-20	2018-06-26	2018-06-22	2017-12-18	2016-11-18
	Number of Days	632	644	647	648	668	754	814	816	945	1215

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BEA	15	13	14	14
BIS	99	57	112	66

CEN	469	547	392	540
EDA	67	48	66	48
IOS	141	93	211	139
ITA	99	64	109	48
MBDA	17	6	12	7
NIST	82	96	84	80
NOAA	449	426	511	465
NTIA	67	56	62	60
NTIS	17	25	17	26
OIG	57	82	60	81
OS	207	498	247	396
OUSEA	3	0	5	0
PTO	228	263	206	225
AGENCY OVERALL	2017	2274	2108	2195

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
BEA	1	0
BIS	22	14
CEN	32	47

EDA	0	1
IOS	262	318
ITA	43	49
MBDA	1	3
NIST	22	32
NOAA	105	80
NTIA	4	4
NTIS	0	0
OIG	0	0
OS	113	192
OUSEA	0	0
PTO	24	33
AGENCY OVERALL	629	773

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
InfoLaw	82	84	87	16
OIG	2	4	3	4
PTO	3	6	5	6
AGENCY OVERALL	87	94	95	26

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
OIG	0	0
InfoLaw	79	143
PTO	0	0
AGENCY OVERALL	79	143