



**United States Department of Commerce
Annual Freedom of Information Act Report**

Fiscal Year 2017

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I. BASIC INFORMATION REGARDING REPORT

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2. Electronic link for access to the Report on the agency web site:

http://www.osec.doc.gov/opog/FOIA/FOIA_reports_annual.html:

3. To obtain a copy of the Report in paper form.

Write to the address or contact the person listed above in section I.1.

II. MAKING A FOIA REQUEST

1. Names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

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The FOIA Officers for the Department are also listed at:

http://www.osec.doc.gov/opog/FOIA/FOIA_foiacontacts.html.

2. Brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

A request for records may be denied if the requested record contains information which falls into one or more of the nine FOIA exemptions listed in section III, 3. If the requested record contains both exempt and nonexempt information, the nonexempt portions which may reasonably be segregated from the exempt portions will be released to the requester.

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Definitions of terms used in this Report

- a. **Administrative Appeal** – a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number** – the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog** – the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** – for agencies that process requests on a decentralized basis, a “component” is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** – the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** – a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. **FOIA Request** – a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a “third-party” request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., “first-party” requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act “systems of records” or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** – an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** – an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** – the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-Track Processing** – a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - i. **Expedited Processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - ii. **Simple Request** – a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - iii. **Complex Request** – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- l. **Partial Grant/Partial Denial** – in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. **Pending Request or Pending Administrative Appeal** – a request or administrative appeal for which an agency has not taken final action in all respects.
- n. **Perfected Request** – a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o. **Processed Request or Processed Administrative Appeal** – a request or administrative appeal for which an agency has taken final action in all respects.
- p. **Range in Number of Days** – the lowest and highest number of days to process requests or administrative appeals.
- q. **Time Limits** – the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).

2. Concise descriptions of the nine FOIA exemptions

- a. **Exemption 1:** classified national defense and foreign relations information
- b. **Exemption 2:** internal agency rules and practices
- c. **Exemption 3:** information that is prohibited from disclosure by another federal law
- d. **Exemption 4:** trade secrets and other confidential business information
- e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6:** information involving matters of personal privacy
- g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8:** information relating to the supervision of financial institutions
- i. **Exemption 9:** geological information on wells

3. Agency Component Abbreviations

| Component Abbreviation | Component Name |
|------------------------|---|
| OS | Office of the Secretary, Department of Commerce |
| IOS | Immediate Office of the Secretary, Department of Commerce |
| BEA | Bureau of Economic Analysis |
| BIS | Bureau of Industry and Security |
| Census | Bureau of the Census |
| EDA | Economic Development Administration |
| ESA | Economic and Statistics Administration |
| ITA | International Trade Administration |
| MBDA | Minority Business Development Agency |
| NIST | National Institute of Standards and Technology |
| NOAA | National Oceanic and Atmospheric Administration |
| NTIA | National Telecommunications and Information Administration |
| NTIS | National Technical Information Service |
| OIG | Office of Inspector General |
| PTO | United States Patent and Trademark Office |
| InfoLaw | Information Law Division, Assistant General Counsel for Employment, Litigation, and Information |
| | |

IV. Exemption 3 Statutes

| Statute | Type of Information Withheld | Case Citation | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
|---|---|--|--------------------|---|---|
| 5 U.S.C. app. § 107(a) (Ethics in Govt. Act of 1978) | Financial disclosure information pertaining to certain government employees | Meyerhoff v. EPA, 958 F.2d 1498, 1500-02 (9th Cir. 1992); Seife v. NIH, 874 F. Supp. 2d 248, 254 (S.D.N.Y. 2012); Concepcion v. FBI, 606 F. Supp. 2d 14, 33 (D.D.C. 2009), renewed motion for summary judgment granted in part on other grounds, 699 F. Supp. 2d 106 (D.D.C. 2010); Glascoe v. DOJ, No. 04-0486, 2005 WL 1139269, at *1 (D.D.C. May 15, 2005). | IOS | 3 | 3 |
| 13 U.S.C. § 301(g) (Collection and Publication of Foreign Commerce Act) | Shippers' export declarations or successor documents | Afr. Fund v. Mosbacher, No. 92 Civ. 289, 1993 WL 183736, at *5 (S.D.N.Y. May 26, 1993); Young Conservative Found., Inc. v. U.S. Dep't of Commerce, No. 85-3982, 1987 WL 9244, at *2-3 (D.D.C. Mar. 25, 1987). | OS | 1 | 8 |
| | | | Census | 7 | |
| 13 U.S.C. §§ 8(b), 9(a) (Census Act) | Certain Census data | Baldrige v. Shapiro, 455 U.S. 345, 355 (1982). | OS | 1 | 22 |
| | | | Census | 21 | |

| | | | | | |
|---|--|--|-------------|---------|----|
| 19 U.S.C. § 1677f (Tariff Act) | Certain "information submitted to the administering authority or the [United States International Trade] Commission which is designated as proprietary by the person submitting the information" | Mudge Rose Guthrie Alexander & Ferdon v. U.S. Int'l Trade Comm'n, 846 F.2d 1527, 1530 (D.C. Cir. 1988). | ITA | 1 | 1 |
| 31 U.S.C. § 3730(b)(2) | Records pertaining to civil actions for false claims against the United States Government | N/A | OIG | 1 | 1 |
| 35 U.S.C. § 122 (Patent Act) | Applications for patents; certain information pertaining to applications for patents | Irons & Sears v Dann, 606 F.2d 1215, 1219-21 (D.C. Cir. 1979). | OS | 2 | 15 |
| 41 U.S.C. § 253b(m)(1) (currently at 41 U.S.C. § 4702) | Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts | Margolin v. NASA, No. 09-CV-00421-LRH-VPC, 2022 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v U.S. Dept of Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004). | PTO NOAA | 13 2 | 2 |
| 47 U.S.C. § 1426(d) | Action taken or decisions made by the First Responder Network Authority | N/A | IOS | 1 | 1 |
| 50 U.S.C. § 3507 (formerly 50 U.S.C. § 432(b)) | Intelligence sources and methods; certain information pertaining to Agency employees, specifically: "the organization, names, official titles, salaries, or numbers of personnel employed by the Agency" | N/A | NTIA | 2 | 2 |

| | | | | | |
|---|---|---|------------|---------|----|
| 50 U.S.C. § 4555(d) | Information obtained under the Defense Production Act of 1950 that the President deems confidential or the person furnishing such information requests confidential treatment of the information. | N/A | BIS | 2 | 2 |
| 50 U.S.C. § app. 2411(c) (Export Administration Act of 1979) | Information pertaining to license applications under the Export Administration Act | Wis. Project on Nuclear Arms Control v. U.S. Dep't of Commerce, 317 F.3d 275, 284 (D.C. Cir. 2003); Times Publ'g Co. v. U.S. Dep't of Commerce, 236 F.3d 1286, 1292 (11th Cir. 2001); Lessner v. U.S. Dep't of Commerce, 827 F.2d 1333, 1336-37 (9th Cir. 1987). | OS | 4 | 16 |
| Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319 | Certain records pertaining to grand jury proceedings | Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Fund for Constitutional Gov't v. Nat'l Archives & Records Serv., 656 F.2d 856, 867-68 (D.C. Cir. 1981); Durham v. U.S. Atty. Gen., No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008). | BIS OIG | 12 1 | 1 |
| 16 U.S.C. § 1881a(b)(1) (Magnuson-Stevens Act) | Certain information submitted to the Secretary, a State fishery management agency, or a marine fisheries commission by any person in compliance with the requirements of this chapter | N/A | NOAA | 22 | 22 |

| | | | | | |
|---|--|--|------|---|---|
| 16 U.S.C. § 1383a(j) | Vessel owner and exempted vessel on-board observer information | N/A | NOAA | 1 | 1 |
| 15 U.S.C. § 7306(d) (National Construction Safety Teams) | [A]ny information . . . receive[d] in the course of an investigation under this chapter if the Director finds that the disclosure of that information might jeopardize public safety | Quick v. Dep't of Commerce, 775 F. Supp. 2d 174, 180-81 (D.D.C. 2011). | NIST | 4 | 4 |
| 50 U.S.C. § 402 note (currently at 50 U.S.C. 3605) | Information pertaining to the functions or organization of NSA; certain information pertaining to NSA employees | N/A | IOS | 1 | 1 |

V. FOIA REQUESTS

V.A. FOIA Requests -- Received, Processed and Pending FOIA Requests

| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
|--------------------|---|--|---|---|
| OS | 64 | 295 | 247 | 112 |
| IOS | 14 | 138 | 48 | 104 |
| BEA | 0 | 9 | 6 | 3 |
| BIS | 6 | 67 | 65 | 8 |
| Census | 18 | 362 | 342 | 38 |
| EDA | 2 | 58 | 59 | 1 |
| ESA | 0 | 10 | 10 | 0 |
| ITA | 118 | 101 | 141 | 78 |
| MBDA | 2 | 11 | 10 | 3 |
| NIST | 34 | 108 | 110 | 32 |
| NOAA | 137 | 475 | 472 | 140 |
| NTIA | 25 | 58 | 80 | 3 |
| NTIS | 9 | 8 | 5 | 12 |
| OIG | 5 | 81 | 84 | 2 |
| PTO | 36 | 428 | 427 | 37 |
| AGENCY OVERALL | 470 | 2,209 | 2,106 | 573 |

In the FY2016 Annual FOIA Report, request information for IOS was reported under OS. In the FY2017 Annual FOIA Report, the information is being reported separately under OS and IOS respectively.

In the FY2016 Annual FOIA Report, request information for BEA was reported under ESA. In the FY2017 Annual FOIA Report, the information is being reported separately under BEA and ESA respectively.

After reviewing their databases, OS, IOS, BIS, ITA, MBDA, NOAA, NTIA, NTIS, PTO adjusted the number of requests pending as of the start of the Fiscal Year.

V.B. Disposition of FOIA Requests

V.B.(1) All Processed Requests

| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions | | | | | | | | | | TOTAL |
|--------------------|-----------------------|--|--|---|---|-------------------|--------------------|----------------------------------|--|-------------------|-------------------|-------------------------------|-------|-------|
| | | | | No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other *Explain in Chart Below | | |
| OS | 30 | 37 | 4 | 33 | 1 | 21 | 12 | 3 | 12 | 48 | 15 | 31 | 247 | |
| IOS | 12 | 10 | 3 | 11 | 2 | 4 | 2 | 0 | 1 | 1 | 2 | 0 | 48 | |
| BEA | 2 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 6 | |
| BIS | 9 | 16 | 9 | 15 | 0 | 4 | 6 | 2 | 0 | 1 | 2 | 1 | 65 | |
| Census | 137 | 30 | 28 | 42 | 2 | 19 | 19 | 2 | 5 | 17 | 15 | 26 | 342 | |
| EDA | 18 | 11 | 0 | 11 | 0 | 2 | 3 | 0 | 0 | 0 | 14 | 0 | 59 | |
| ESA | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 7 | 0 | 10 | |
| ITA | 20 | 11 | 0 | 34 | 1 | 53 | 2 | 2 | 0 | 0 | 16 | 2 | 141 | |
| MBDA | 0 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 2 | 0 | 2 | 1 | 10 | |
| NIST | 35 | 22 | 1 | 17 | 0 | 5 | 11 | 4 | 0 | 3 | 11 | 1 | 110 | |
| NOAA | 132 | 123 | 12 | 47 | 2 | 62 | 24 | 1 | 6 | 2 | 19 | 42 | 472 | |
| NTIA | 9 | 19 | 0 | 17 | 0 | 2 | 10 | 3 | 0 | 6 | 14 | 0 | 80 | |
| NTIS | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 2 | 5 | |
| OIG | 6 | 35 | 2 | 10 | 1 | 7 | 1 | 5 | 2 | 0 | 15 | 0 | 84 | |
| PTO | 90 | 84 | 21 | 80 | 4 | 29 | 50 | 13 | 39 | 4 | 12 | 1 | 427 | |
| AGENCY OVERALL | 500 | 398 | 80 | 328 | 13 | 208 | 141 | 35 | 68 | 82 | 146 | 107 | 2,106 | |



**DEPARTMENT of COMMERCE
FOIA ANNUAL REPORT FY2016**

V.B.(2). "Other" Reasons For "Full Denials Based on Reasons Other Than Exemptions"

| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
|--------------------|--|--|-------|
| OS | Aggregate cases | 2 | 31 |
| | Directed requester to publicly available information | 29 | |
| BEA | N/A | 0 | 0 |
| BIS | Directed requester to publicly available information | 1 | 1 |
| | | | |
| Census | Directed requester to publicly available information | 22 | 26 |
| | Request opened in error | 4 | |
| EDA | N/A | 0 | 0 |
| | | | |
| ESA | N/A | 0 | 0 |
| | | | |
| ITA | Directed requester to publicly available information | 2 | 2 |
| | | | |
| MBDA | Directed requester to publicly available information | 1 | 1 |
| | | | |
| NIST | Aggregate cases | 1 | 1 |
| | | | |
| NOAA | Aggregate cases | 29 | 42 |
| | Directed requester to publicly available information | 13 | |
| NTIA | N/A | 0 | 0 |
| | | | |
| NTIS | Directed requester to publicly available information | 2 | 2 |
| | | | |
| OIG | N/A | 0 | 0 |
| | | | |
| PTO | Request opened in error | 1 | 1 |
| | | | |
| AGENCY OVERALL | | | 107 |

V.B.(3). Number of Times Exemptions Applied

| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|--------------------|-------|-------|-------|-------|-------|-------|----------|----------|----------|----------|----------|----------|-------|-------|
| OS | 1 | 3 | 6 | 0 | 14 | 21 | 2 | 0 | 2 | 0 | 2 | 0 | 0 | 0 |
| IOS | 0 | 0 | 3 | 0 | 6 | 8 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| BEA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| BIS | 1 | 0 | 14 | 2 | 11 | 10 | 2 | 0 | 9 | 0 | 2 | 0 | 0 | 0 |
| Census | 0 | 3 | 28 | 9 | 4 | 14 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| EDA | 0 | 1 | 0 | 6 | 6 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ESA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ITA | 0 | 0 | 1 | 1 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| MBDA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NIST | 0 | 0 | 4 | 9 | 6 | 12 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 |
| NOAA | 0 | 1 | 25 | 36 | 51 | 81 | 9 | 0 | 32 | 1 | 9 | 2 | 0 | 0 |
| NTIA | 0 | 0 | 2 | 5 | 15 | 12 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| NTIS | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 0 | 0 | 2 | 2 | 5 | 36 | 1 | 0 | 31 | 0 | 2 | 0 | 0 | 0 |
| PTO | 1 | 2 | 13 | 19 | 24 | 75 | 4 | 0 | 14 | 0 | 2 | 0 | 0 | 0 |
| AGENCY OVERALL | 3 | 10 | 98 | 89 | 144 | 275 | 18 | 0 | 91 | 1 | 19 | 2 | 0 | 0 |



**DEPARTMENT of COMMERCE
FOIA ANNUAL REPORT FY2016**

VI. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS

VI.A. Received, Processed, and Pending Administrative Appeals

| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
|--------------------|--|---|--|--|
| InfoLaw | 44 | 78 | 81 | 41 |
| OIG | 0 | 3 | 3 | 0 |
| PTO | 5 | 17 | 21 | 1 |
| AGENCY OVERALL | 49 | 98 | 105 | 42 |

In the FY2016 Annual FOIA Report, administrative appeal information was reported under AGC/Admin. In the FY2017 Annual FOIA Report, the information is being reported under InfoLaw.

After reviewing their database, PTO adjusted the number of appeals pending as of the start of the Fiscal Year.

VI.B. Disposition of Administrative Appeals -- All Processed Appeals

| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
|-----------------------|---------------------------|---|---|--|------------|
| InfoLaw | 45 | 8 | 15 | 13 | 81 |
| OIG | 1 | 2 | 0 | 0 | 3 |
| PTO | 9 | 4 | 5 | 3 | 21 |
| AGENCY OVERALL | 55 | 14 | 20 | 16 | 105 |

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VI.C.(1). Reasons for Denial on Appeal -- Number of Times Exemptions Applied

| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
|-----------------------|----------|----------|----------|----------|-----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|
| InfoLaw | 0 | 0 | 3 | 1 | 7 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| PTO | 0 | 0 | 0 | 0 | 5 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 0 | 0 | 3 | 1 | 12 | 4 | 1 | 0 | 3 | 0 | 0 | 0 | 0 | 0 |

VI.C.(2). Reasons for Denial on Appeal -- Reasons Other Than Exemptions

| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other *Explain in chart below |
|--------------------|------------|---|-------------------|--------------------|----------------------------------|------------------------------------|-------------------|-----------------------------|-----------------------|---|-------------------------------|
| InfoLaw | 6 | 3 | 6 | 10 | 0 | 3 | 1 | 4 | 0 | 5 | 0 |
| OIG | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PTO | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| AGENCY OVERALL | 7 | 3 | 7 | 10 | 0 | 3 | 1 | 4 | 0 | 7 | 0 |

VI.C.(3). Reasons for Denial on Appeal -- "Other" Reasons

| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
|--------------------|---|--|-------|
| InfoLaw | N/A | 0 | 0 |
| OIG | N/A | 0 | 0 |
| PTO | N/A | 0 | 0 |
| AGENCY OVERALL | | | 0 |
| | | | |

VI.C.(4). Response Time for Administrative Appeals

| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|--------------------|-----------------------|------------------------|-----------------------|------------------------|
| InfoLaw | 16.00 | 74.30 | <1 | 1,196.00 |
| OIG | 14.00 | 11.00 | <1 | 18.00 |
| PTO | 19.00 | 18.00 | 5.00 | 34.00 |
| AGENCY OVERALL | 18.00 | 60.92 | <1 | 1,196.00 |

VI.C.(5). Ten Oldest Pending Administrative Appeals

| Agency / Component | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
|--------------------|------------------------|------------|------------|------------|------------|------------|------------|------------|------------|---------------|
| InfoLaw | Date of Appeal | 2014-11-26 | 2014-08-15 | 2014-02-12 | 2013-09-16 | 2013-05-02 | 2013-04-26 | 2013-01-28 | 2012-11-02 | 2010-03-22 |
| | Number of Days Pending | 713 | 783 | 912 | 1013 | 1107 | 1111 | 1174 | 1230 | 1890 |
| OIG | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PTO | Date of Appeal | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | Date of Appeal | 2014-11-26 | 2014-08-15 | 2014-02-12 | 2013-09-16 | 2013-05-02 | 2013-04-26 | 2013-01-28 | 2012-11-02 | 2010-03-22 |
| | Number of Days Pending | 713 | 783 | 912 | 1013 | 1107 | 1111 | 1174 | 1230 | 1890 |

VII.A. FOIA REQUESTS

VII.A. Response Time for All Processed Perfected Requests

| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
|--------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|-----------------------|------------------------|
| | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
| OS | 1.5 | 5.74 | <1 | 32 | 41 | 74.5 | <1 | 898 | 1 | 37.5 | <1 | 147 |
| IOS | 1 | 2.38 | <1 | 9 | 71 | 152.15 | <1 | 989 | 67.5 | 66.83 | 11 | 120 |
| BEA | 1 | 1 | <1 | <1 | 16 | 16 | 16 | 16 | N/A | N/A | N/A | N/A |
| BIS | 9 | 10.09 | <1 | 28 | 39 | 123.78 | <1 | 491 | N/A | N/A | N/A | N/A |
| Census | 5 | 5.84 | <1 | 51 | 6 | 11.35 | <1 | 84 | 4 | 5.09 | 1 | 14 |
| EDA | 14 | 14.98 | <1 | 64 | 91 | 91 | 20 | 162 | N/A | N/A | N/A | N/A |
| ESA | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| ITA | 19 | 20.53 | <1 | 47 | 452 | 526.24 | 14 | 1340 | 15 | 15 | 15 | 15 |
| MBDA | 10 | 13.8 | <1 | 37 | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| NIST | 10 | 10.92 | <1 | 32 | 43 | 148 | <1 | 1051 | 467.5 | 467.5 | 53 | 882 |
| NOAA | 19 | 20.3 | <1 | 58 | 76 | 118.7 | <1 | 945 | 46 | 46 | 42 | 50 |
| NTIA | 1 | 5.55 | <1 | 63 | 33 | 153.37 | 2 | 743 | 385 | 385 | 385 | 385 |
| NTIS | N/A | N/A | N/A | N/A | 331 | 386.4 | 189 | 672 | N/A | N/A | N/A | N/A |
| OIG | 16 | 14.57 | <1 | 61 | 30 | 78 | 17 | 390 | N/A | N/A | N/A | N/A |
| PTO | 14 | 15.44 | <1 | 123 | 28.5 | 62.81 | 1 | 274 | N/A | N/A | N/A | N/A |
| AGENCY OVERALL | 10 | 13.2 | <1 | 123 | 49 | 130.6 | <1 | 1340 | 11 | 75.33 | <1 | 882 |

VII.B. Processed Requests -- Response Time for Perfected Requests in Which Information Was Granted

| Agency / Component | SIMPLE | | | | | COMPLEX | | | | | EXPEDITED PROCESSING | | | | |
|--------------------|-----------------------|------------------------|-----------------------|------------------------|-------|-----------------------|------------------------|-----------------------|------------------------|--------|-----------------------|------------------------|-----------------------|------------------------|--|
| | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | |
| OS | 7 | 10.91 | <1 | 32 | 71 | 89.67 | 2 | 511 | 147 | 147 | 147 | 147 | 147 | 147 | |
| IOS | 1 | 3.67 | <1 | 9 | 91 | 152.87 | 5 | 706 | 67.5 | 75.75 | 48 | 120 | | | |
| BEA | 1 | 1 | <1 | <1 | 16 | 16 | 16 | 16 | 16 | N/A | N/A | N/A | N/A | N/A | |
| BIS | 12.5 | 11.56 | <1 | 28 | 43 | 158.86 | 9 | 491 | N/A | N/A | N/A | N/A | N/A | N/A | |
| Census | 6 | 6.71 | 1 | 51 | 12 | 16.84 | 2 | 84 | 4 | 5.43 | 1 | 14 | | | |
| EDA | 12 | 15.33 | <1 | 64 | 91 | 91 | 20 | 162 | N/A | N/A | N/A | N/A | N/A | N/A | |
| ESA | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | |
| ITA | 19 | 18.92 | <1 | 43 | 404.5 | 442.28 | 14 | 940 | N/A | N/A | N/A | N/A | N/A | N/A | |
| MBDA | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | |
| NIST | 14.5 | 13.33 | <1 | 32 | 44 | 165.76 | <1 | 1051 | 467.5 | 467.5 | 53 | 882 | | | |
| NOAA | 21 | 23.7 | <1 | 58 | 71 | 110.49 | 10 | 945 | 50 | 50 | 50 | 50 | | | |
| NTIA | 3 | 3.75 | <1 | 10 | 187 | 264.63 | 6 | 743 | 385 | 385 | 385 | 385 | | | |
| NTIS | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | |
| OIG | 19.5 | 17.43 | <1 | 61 | 30 | 78 | 17 | 390 | N/A | N/A | N/A | N/A | N/A | N/A | |
| PTO | 18 | 20.41 | 2 | 123 | 44 | 83.36 | 10 | 274 | <1 | <1 | <1 | <1 | | | |
| AGENCY OVERALL | 14 | 16.21 | <1 | 123 | 68 | 133.51 | <1 | 1051 | 49 | 116.13 | 1 | 882 | | | |

VII.C. Processed Simple Requests -- Response Time in Day Increments

| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
|--------------------|------------|------------|------------|------------|-------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------|-------|
| OS | 61 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 62 |
| IOS | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 |
| BEA | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| BIS | 42 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 43 |
| Census | 254 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 257 |
| EDA | 33 | 10 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 44 |
| ESA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ITA | 16 | 12 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 30 |
| MBDA | 4 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| NIST | 42 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 48 |
| NOAA | 147 | 87 | 29 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 263 |
| NTIA | 37 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 38 |
| NTIS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 40 | 6 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 47 |
| PTO | 286 | 43 | 5 | 4 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 339 |
| AGENCY OVERALL | 971 | 169 | 37 | 7 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1,185 |

VII.C. Processed Complex Requests -- Response Time in Day Increments

| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
|--------------------|------------|------------|------------|------------|-------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------|-------|
| OS | 42 | 16 | 12 | 11 | 5 | 6 | 9 | 7 | 0 | 3 | 6 | 0 | 2 | 119 |
| IOS | 5 | 6 | 4 | 3 | 4 | 3 | 2 | 0 | 1 | 0 | 0 | 1 | 4 | 33 |
| BEA | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| BIS | 3 | 2 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 9 |
| Census | 56 | 4 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 63 |
| EDA | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| ESA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ITA | 2 | 1 | 1 | 1 | 2 | 0 | 2 | 0 | 1 | 1 | 4 | 3 | 23 | 41 |
| MBDA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NIST | 10 | 7 | 4 | 4 | 0 | 1 | 0 | 2 | 1 | 1 | 2 | 1 | 4 | 37 |
| NOAA | 24 | 24 | 21 | 24 | 14 | 10 | 9 | 7 | 7 | 5 | 18 | 4 | 8 | 175 |
| NTIA | 10 | 9 | 3 | 0 | 1 | 1 | 0 | 0 | 1 | 1 | 3 | 1 | 5 | 35 |
| NTIS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 2 | 5 |
| OIG | 2 | 6 | 1 | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 13 |
| PTO | 11 | 11 | 3 | 2 | 2 | 1 | 0 | 2 | 0 | 1 | 3 | 0 | 0 | 36 |
| AGENCY OVERALL | 167 | 86 | 51 | 48 | 29 | 22 | 23 | 18 | 13 | 13 | 37 | 12 | 50 | 569 |

VII.C. Processed Requests Granted Expedited Processing -- Response Time in Day Increments

| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
|--------------------|------------|------------|------------|------------|-------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|-----------|-------|
| OS | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 4 |
| IOS | 1 | 0 | 2 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 |
| BEA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| BIS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Census | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11 |
| EDA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ESA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ITA | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| MBDA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NIST | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 |
| NOAA | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| NTIA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| NTIS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OIG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PTO | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AGENCY OVERALL | 16 | 0 | 5 | 0 | 2 | 1 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 27 |

VII.D. Pending Requests -- All Pending Perfected Requests

| Agency / Component | SIMPLE | | | COMPLEX | | | EXPEDITED PROCESSING | | |
|--------------------|----------------|-----------------------|------------------------|----------------|-----------------------|------------------------|----------------------|-----------------------|------------------------|
| | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
| OS | 9 | 9 | 9.56 | 68 | 54.5 | 77.72 | 3 | 142 | 117.67 |
| IOS | 0 | N/A | N/A | 84 | 59 | 66.36 | 17 | 33 | 56.82 |
| BEA | 1 | 42 | 42 | 1 | 1 | 1 | 0 | N/A | N/A |
| BIS | 2 | 309.5 | 309.5 | 3 | 3 | 3.67 | 0 | N/A | N/A |
| Census | 17 | 8 | 13.35 | 16 | 19 | 53.13 | 1 | 1 | 1 |
| EDA | 0 | N/A | N/A | 0 | N/A | N/A | 0 | N/A | N/A |
| ESA | 0 | N/A | N/A | 0 | N/A | N/A | 0 | N/A | N/A |
| ITA | 32 | 181.5 | 314.22 | 10 | 332.5 | 354.7 | 1 | 20 | 20 |
| MBDA | 3 | 63 | 272 | 0 | N/A | N/A | 0 | N/A | N/A |
| NIST | 8 | 178 | 200.25 | 17 | 183 | 547.82 | 0 | N/A | N/A |
| NOAA | 50 | 22.5 | 63.04 | 72 | 62.5 | 94.11 | 0 | N/A | N/A |
| NTIA | 1 | 3 | 3 | 3 | 3 | 4.67 | 0 | N/A | N/A |
| NTIS | 0 | N/A | N/A | 1 | 381 | 381 | 0 | N/A | N/A |
| OIG | 1 | 1 | 1 | 1 | 1 | 7 | 0 | N/A | N/A |
| PTO | 25 | 13 | 17.52 | 8 | 134.5 | 123.75 | 0 | N/A | N/A |
| AGENCY OVERALL | 149 | 23 | 114.37 | 284 | 60 | 115.31 | 22 | 45.5 | 60.91 |

VII.E. Pending Requests -- Ten Oldest Pending Perfected Requests

| Agency / Component | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Request | |
|--------------------|------------------------|------------|------------|------------|------------|------------|------------|------------|------------|----------------|------------|
| OS | Date of Receipt | 2017-01-24 | 2017-01-06 | 2016-12-21 | 2016-12-16 | 2016-12-08 | 2016-11-03 | 2016-08-16 | 2015-10-13 | 2015-07-27 | 2015-02-10 |
| | Number of Days Pending | 174 | 185 | 195 | 198 | 204 | 227 | 282 | 495 | 549 | 665 |
| IOS | Date of Receipt | 2017-03-09 | 2017-03-09 | 2017-02-27 | 2017-02-23 | 2017-02-13 | 2017-01-31 | 2017-01-18 | 2016-08-03 | 2015-02-09 | |
| | Number of Days Pending | 143 | 143 | 151 | 153 | 160 | 169 | 178 | 182 | 291 | 666 |
| BEA | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2017-06-26 | 2016-11-01 |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 67 | 229 |
| BIS | Date of Receipt | N/A | N/A | N/A | N/A | N/A | 2017-09-08 | 2017-08-25 | 2017-08-08 | 2017-06-02 | 2015-02-23 |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 15 | 24 | 37 | 83 | 657 |
| Census | Date of Receipt | 2017-06-05 | 2017-05-22 | 2017-05-19 | 2017-05-15 | 2017-05-15 | 2017-04-13 | 2017-03-29 | 2016-11-30 | 2016-07-19 | |
| | Number of Days Pending | 82 | 91 | 92 | 96 | 96 | 118 | 129 | 129 | 210 | 302 |
| EDA | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ESA | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ITA | Date of Receipt | 2015-04-17 | 2015-04-09 | 2014-11-03 | 2014-10-03 | 2014-09-18 | 2014-07-18 | 2014-07-11 | 2014-04-24 | 2014-02-26 | 2013-02-08 |
| | Number of Days Pending | 618 | 624 | 731 | 751 | 762 | 804 | 809 | 863 | 904 | 1167 |
| MBDA | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2017-08-07 | 2017-06-20 | 2014-10-17 |

| | | | | | | | | | | | | | | | |
|----------------|------------------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|----|----|-----|
| | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 38 | 71 | 742 |
| | Date of Receipt | 2016-06-22 | 2015-12-23 | 2015-10-02 | 2015-02-09 | 2013-09-18 | 2012-12-17 | 2012-09-24 | 2012-08-22 | 2012-02-27 | 2011-10-31 | | | | |
| NIST | Number of Days Pending | 320 | 445 | 501 | 666 | 1012 | 1196 | 1260 | 1282 | 1407 | 1486 | | | | |
| | Date of Receipt | 2016-06-15 | 2016-04-13 | 2015-12-21 | 2015-10-30 | 2015-06-26 | 2014-11-21 | 2014-11-03 | 2014-09-22 | 2014-08-12 | 2014-02-26 | | | | |
| NOAA | Number of Days Pending | 325 | 369 | 447 | 482 | 568 | 718 | 731 | 760 | 787 | 884 | | | | |
| | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | 2017-09-26 | 2017-09-26 | 2017-09-15 | 2017-09-12 | | | | |
| NTIA | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 3 | 10 | 13 | | | | |
| | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2015-08-14 | | | | |
| NTIS | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 535 | | | | |
| | Date of Receipt | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2017-09-28 | 2017-09-20 | | | |
| OIG | Number of Days Pending | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 7 | | | | |
| | Date of Receipt | 2017-07-27 | 2017-07-27 | 2017-07-24 | 2017-07-18 | 2017-07-12 | 2017-05-19 | 2017-01-19 | 2016-09-16 | 2016-07-14 | 2015-09-28 | | | | |
| PTO | Number of Days Pending | 45 | 45 | 48 | 52 | 56 | 92 | 177 | 260 | 305 | 505 | | | | |
| | Date of Receipt | 2014-04-24 | 2014-03-26 | 2014-02-26 | 2013-09-18 | 2013-02-08 | 2012-12-27 | 2012-09-24 | 2012-08-22 | 2012-02-27 | 2011-10-31 | | | | |
| AGENCY OVERALL | Number of Days Pending | 863 | 884 | 904 | 1012 | 1167 | 1196 | 1260 | 1282 | 1407 | 1486 | | | | |

VIII. REQUESTS FOR EXPEDITED PROCESSING AND FOR FEE WAIVERS

VIII.A. Requests for Expedited Processing

| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
|--------------------|----------------|---------------|-------------------------------------|--------------------------------------|---|
| OS | 7 | 28 | 1 | 4.91 | 33 |
| IOS | 21 | 15 | 1 | 4.36 | 30 |
| BEA | 0 | 2 | 1 | 1 | 2 |
| BIS | 0 | 4 | 1 | 1 | 4 |
| Census | 10 | 15 | 4 | 6.04 | 20 |
| EDA | 0 | 3 | 1 | 1 | 1 |
| ESA | 0 | 0 | N/A | N/A | 0 |
| ITA | 2 | 17 | 1 | 34.05 | 17 |
| MBDA | 0 | 0 | N/A | N/A | 0 |
| NIST | 1 | 1 | 1 | 1 | 1 |
| NOAA | 1 | 53 | 8 | 12.09 | 31 |
| NTIA | 0 | 1 | 10 | 10 | 1 |
| NTIS | 0 | 0 | N/A | N/A | 0 |
| OIG | 0 | 3 | 1 | 12.33 | 2 |
| PTO | 0 | 1 | 1 | 1 | 1 |
| AGENCY OVERALL | 42 | 143 | 1 | 9.94 | 143 |

VIII.B. Requests for Fee Waiver

| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
|--------------------|----------------|---------------|-------------------------------------|--------------------------------------|
| OS | 46 | 4 | 8 | 21.92 |
| IOS | 65 | 10 | 9 | 10.47 |
| BEA | 0 | 0 | N/A | N/A |
| BIS | 5 | 5 | 9 | 9.8 |
| Census | 2 | 33 | 6 | 7.91 |
| EDA | 2 | 9 | 1 | 1 |
| ESA | 0 | 0 | N/A | N/A |
| ITA | 3 | 10 | 14 | 208.08 |
| MBDA | 1 | 1 | 12 | 12 |
| NIST | 1 | 7 | 7 | 7.5 |
| NOAA | 72 | 49 | 14 | 18.1 |
| NTIA | 0 | 2 | 14 | 18.1 |
| NTIS | 4 | 0 | 209 | 235.5 |
| OIG | 1 | 5 | 1 | 5.17 |
| PTO | 4 | 2 | 1 | 33.83 |
| AGENCY OVERALL | 206 | 137 | 9 | 24.64 |

IX. FOIA PERSONNEL AND COSTS

| Agency / Component | PERSONNEL | | | COSTS | | |
|-----------------------|--------------------------------------|---|--|-----------------------|--------------------------|-----------------------|
| | Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| OS | 1 | 1.9 | 2.9 | \$278,260.56 | \$0.00 | \$278,260.56 |
| IOS | 1 | 0.9 | 1.9 | \$164,134.45 | \$0.00 | \$164,134.45 |
| BEA | 0 | 0.63 | 0.63 | \$96,048.00 | \$0.00 | \$96,048.00 |
| BIS | 1 | 0 | 1 | \$117,294.56 | \$0.00 | \$117,294.56 |
| Census | 6 | 0 | 6 | \$387,981.72 | \$0.00 | \$387,981.72 |
| EDA | 0 | 1 | 1 | \$153,311.92 | \$0.00 | \$153,311.92 |
| ESA | 0 | 0.15 | 0.15 | \$21,135.00 | \$0.00 | \$21,135.00 |
| ITA | 2 | 0.85 | 2.85 | \$664,927.60 | \$0.00 | \$664,927.60 |
| MBDA | 0 | 0.7 | 0.7 | \$64,653.41 | \$0.00 | \$64,653.41 |
| NIST | 0 | 2.25 | 2.25 | \$239,998.20 | \$0.00 | \$239,998.20 |
| NOAA | 1 | 23.3 | 24.3 | \$1,862,805.54 | \$0.00 | \$1,862,805.54 |
| NTIA | 1 | 2.45 | 3.45 | \$397,961.70 | \$0.00 | \$397,961.70 |
| NTIS | 0 | 0.7 | 0.7 | \$71,904.98 | \$0.00 | \$71,904.98 |
| OIG | 0 | 1.49 | 1.49 | \$92,981.27 | \$0.00 | \$92,981.27 |
| PTO | 7 | 0.5 | 7.5 | \$978,002.96 | \$0.00 | \$978,002.96 |
| InfoLaw | 0 | 4.47 | 4.47 | \$550,388.13 | \$207,618.43 | \$758,006.56 |
| AGENCY OVERALL | 20 | 41.29 | 61.29 | \$6,141,790.00 | \$207,618.43 | \$6,349,408.43 |

In the FY2016 Annual FOIA Report, FOIA personnel and costs for IOS were reported under OS. In the FY2017 Annual FOIA Report, the information is being reported separately under OS and IOS respectively.

In the FY2016 Annual FOIA Report, FOIA personnel and costs for BEA were reported under ESA. In the FY2017 Annual FOIA Report, the information is being reported separately under ESA and BEA respectively.

X. FEES COLLECTED FOR PROCESSING REQUESTS

| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
|--------------------|--------------------------------|---------------------------|
| OS | \$0.00 | 0.00% |
| IOS | \$0.00 | 0.00% |
| BEA | \$0.00 | 0.00% |
| BIS | \$1,339.18 | 1.14% |
| Census | \$381.44 | 0.10% |
| EDA | \$1,296.98 | 0.85% |
| ESA | \$0.00 | 0.00% |
| ITA | \$0.00 | 0.00% |
| MBDA | \$0.00 | 0.00% |
| NIST | \$9,748.16 | 4.06% |
| NOAA | \$41,421.87 | 2.22% |
| NTIA | \$783.86 | 0.20% |
| NTIS | \$0.00 | 0.00% |
| OIG | \$0.00 | 0.00% |
| PTO | \$11,917.95 | 1.22% |
| InfoLaw | \$0.00 | 0.00% |
| AGENCY OVERALL | \$66,889.44 | 1.09% |



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XI.A. Number of Times Subsection Used

| Agency / Component | Number of Times Subsection Used |
|--------------------|---------------------------------|
| OS | 0 |
| IOS | 0 |
| BEA | 0 |
| BIS | 0 |
| Census | 0 |
| EDA | 0 |
| ITA | 0 |
| MBDA | 0 |
| NIST | 0 |
| NOAA | 0 |
| NTIA | 0 |
| NTIS | 0 |
| OIG | 0 |
| PTO | 0 |
| | |
| AGENCY OVERALL | 0 |

XI.B. Number of Subsection Postings

| Agency / Component | Number of Records Posted by the FOIA Office | Number of Records Posted by Program Offices |
|--------------------|---|---|
| OS | 6 | 0 |
| IOS | 0 | 0 |
| BEA | 0 | 0 |
| BIS | 57 | 2 |
| Census | 4 | 21 |
| EDA | 0 | 0 |
| ESA | 0 | 4 |
| ITA | 1 | 0 |
| MBDA | 0 | 0 |
| NIST | 0 | 0 |
| NOAA | 0 | 0 |
| NTIA | 533 | 0 |
| NTIS | 0 | 0 |
| OIG | 7 | 0 |
| PTO | 1,295,745 | 0 |
| AGENCY OVERALL | 1,296,353 | 27 |

XII. BACKLOGS, CONSULTATIONS, and COMPARISONS

XII.A. Backlogs of FOIA Requests and Administrative Appeals

| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
|--------------------|--|---|
| OS | 58 | 0 |
| IOS | 70 | 0 |
| BEA | 1 | 0 |
| BIS | 1 | 0 |
| Census | 10 | 0 |
| EDA | 0 | 0 |
| ESA | 0 | 0 |
| ITA | 43 | 0 |
| MBDA | 3 | 0 |
| NIST | 25 | 0 |
| NOAA | 78 | 0 |
| NTIA | 0 | 0 |
| NTIS | 1 | 0 |
| OIG | 0 | 0 |
| PTO | 14 | 0 |
| InfoLaw | 0 | 34 |
| AGENCY OVERALL | 304 | 34 |

XII.B. Consultations on FOIA Requests -- Received, Processed, and Pending Consultations

| Agency / Component | Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year | Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year |
|--------------------|---|--|--|---|
| OS | 1 | 0 | 1 | 0 |
| IOS | 0 | 0 | 0 | 0 |
| BEA | 0 | 0 | 0 | 0 |
| BIS | 0 | 3 | 2 | 1 |
| Census | 0 | 4 | 4 | 0 |
| EDA | 0 | 0 | 0 | 0 |
| ESA | 0 | 0 | 0 | 0 |
| ITA | 18 | 8 | 12 | 14 |
| MBDA | 0 | 0 | 0 | 0 |
| NIST | 0 | 4 | 4 | 0 |
| NOAA | 1 | 21 | 21 | 1 |
| NTIA | 2 | 0 | 1 | 1 |
| NTIS | 0 | 1 | 0 | 1 |
| OIG | 0 | 1 | 1 | 0 |
| PTO | 0 | 6 | 0 | 6 |
| AGENCY OVERALL | 22 | 48 | 46 | 24 |

In the FY2016 Annual FOIA Report, consultation information for IOS was reported under OS. In the FY2017 Annual FOIA Report, the information is being reported separately under OS and IOS respectively.

In the FY2016 Annual FOIA Report, consultation information for BEA was reported under ESA. In the FY2017 Annual FOIA Report, the information is being reported separately under BEA and ESA respectively.

After reviewing their databases, NOAA and NTIA adjusted the number of consultations pending as of the start of the Fiscal Year.

XII.C. Consultations on FOIA Requests -- Ten Oldest Consultations Received from Other Agencies and Pending at the Agency

| Agency / Component | | 10th Oldest Consultation | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Consultation |
|--------------------|----------------|--------------------------|------------|------------|------------|------------|------------|------------|------------|------------|---------------------|
| OS | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| IOS | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| BEA | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| BIS | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13 |
| Census | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| EDA | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ESA | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ITA | Date | 2015-07-20 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2014-12-10 | 2014-10-09 | 2013-08-22 |
| | Number of Days | 553 | 555 | 555 | 555 | 555 | 555 | 555 | 704 | 745 | 1029 |
| MBDA | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NIST | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NOAA | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | | | | | | | | | | |
|----------------|----------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|
| | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| NTIA | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | 2017-02-02 |
| NTIS | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 167 |
| | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| OIG | Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| PTO | Number of Days | 0 | 0 | 0 | 0 | 7 | 35 | 39 | 47 | 52 | 52 | 52 | 52 | 52 | 52 | 52 | 153 |
| | Date | 2015-07-20 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2015-07-16 | 2017-02-23 |
| AGENCY OVERALL | Number of Days | 553 | 555 | 555 | 555 | 555 | 555 | 555 | 555 | 555 | 555 | 555 | 555 | 704 | 745 | 1029 | |

XII.D.(1). Comparison of Numbers of Requests from Previous and Current Annual Report -- Requests Received and Processed

| Agency / Component | NUMBER OF REQUESTS RECEIVED | | NUMBER OF REQUESTS PROCESSED | |
|--------------------|---|---|--|--|
| | Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| OS | 222 | 295 | 269 | 247 |
| IOS | 0 | 138 | 0 | 48 |
| BEA | 0 | 9 | 0 | 6 |
| BIS | 60 | 67 | 70 | 65 |
| Census | 431 | 362 | 441 | 342 |
| EDA | 32 | 58 | 37 | 59 |
| ESA | 17 | 10 | 18 | 10 |
| ITA | 73 | 101 | 102 | 141 |
| MBDA | 19 | 11 | 20 | 10 |
| NIST | 132 | 108 | 138 | 110 |
| NOAA | 485 | 475 | 495 | 472 |
| NTIA | 98 | 58 | 89 | 80 |
| NTIS | 8 | 8 | 3 | 5 |
| OIG | 100 | 81 | 110 | 84 |
| PTO | 349 | 428 | 356 | 427 |
| AGENCY OVERALL | 2,026 | 2,209 | 2,148 | 2,106 |

In the FY2016 Annual FOIA Report, requests for IOS were reported under OS. In the FY2017 Annual FOIA Report, requests are being reported separately under OS and IOS respectively.

In the FY2016 Annual FOIA Report, requests for BEA were reported under ESA. In the FY2017 Annual FOIA Report, requests are being reported separately under BEA and ESA respectively.

**XII.D.(2). Comparison of Numbers of Requests from Previous and Current Annual Report
-- Backlogged Requests**

| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
|--------------------|--|---|
| OS | 21 | 58 |
| IOS | 9 | 70 |
| BEA | 0 | 1 |
| BIS | 4 | 1 |
| Census | 2 | 10 |
| EDA | 1 | 0 |
| ESA | 0 | 0 |
| ITA | 103 | 43 |
| MBDA | 0 | 3 |
| NIST | 33 | 25 |
| NOAA | 71 | 78 |
| NTIA | 13 | 0 |
| NTIS | 11 | 1 |
| OIG | 2 | 0 |
| PTO | 4 | 14 |
| InfoLaw | 0 | 0 |
| AGENCY OVERALL | 274 | 304 |

In the FY2016 Annual FOIA Report, backlogged requests for IOS were reported under OS. In the FY2017 Annual FOIA Report, backlogged requests are being reported separately under OS and IOS respectively.

In the FY2016 Annual FOIA Report, backlogged requests for BEA were reported under ESA. In the FY2017 Annual FOIA Report, backlogged requests are being reported separately under BEA and ESA respectively.

XII.E.(1). Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report -- Appeals Received and Processed

| Agency / Component | NUMBER OF APPEALS RECEIVED | | NUMBER OF APPEALS PROCESSED | |
|--------------------|---|---|--|--|
| | Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| InfoLaw | 77 | 78 | 89 | 81 |
| OIG | 3 | 3 | 3 | 3 |
| PTO | 31 | 17 | 30 | 21 |
| AGENCY OVERALL | 111 | 98 | 122 | 105 |

OIG began processing its own appeals on November 19, 2014. All OIG appeals received before November 19, 2014 were processed by AGC/Admin. and are reflected in the AGC/Admin. figures in this Report.

XII.E.(2). Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report -- Backlogged Appeals

| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
|--------------------|---|--|
| OS | 0 | 0 |
| IOS | 0 | 0 |
| BEA | 0 | 0 |
| BIS | 0 | 0 |
| Census | 0 | 0 |
| EDA | 0 | 0 |
| ESA | 0 | 0 |
| ITA | 0 | 0 |
| MBDA | 0 | 0 |
| NIST | 0 | 0 |
| NOAA | 0 | 0 |
| NTIA | 0 | 0 |
| NTIS | 0 | 0 |
| OIG | 0 | 0 |
| PTO | 0 | 0 |
| InfoLaw | 32 | 34 |
| AGENCY OVERALL | 32 | 34 |

In the FY2016 Annual FOIA Report, appeals were reported under AGC/Admin. In the FY2017 Annual FOIA Report, appeals are being reported under InfoLaw.