

**U.S. Department of Commerce**  
**National Oceanic & Atmospheric Administration**



**Privacy Impact Assessment  
for the  
NOAA8881 CR LAN/WAN**

Reviewed by: Mark Graff Bureau Chief Privacy Officer

- Concurrence of Senior Agency Official for Privacy/DOC Chief Privacy Officer  
 Non-concurrence of Senior Agency Official for Privacy/DOC Chief Privacy Officer

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Digitally signed by GRAFF.MARK.HYRUM.1514447892  
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Signature of Senior Agency Official for Privacy/DOC Chief Privacy Officer

Date

## U.S. Department of Commerce Privacy Impact Assessment

### NOAA8881 CR LAN/WAN

**Unique Project Identifier: NOAA8881**

#### **Introduction: System Description**

*Provide a brief description of the information system.*

The National Weather Service (NWS) is a general support system that provides weather, hydrologic, and climate forecasts and warnings for the United States, its territories, adjacent waters and ocean areas, for the protection of life and property and the enhancement of the national economy. NWS data and products form a national information database and infrastructure which can be used by other governmental agencies, the private sector, the public, and the global community. Issuance of warnings and forecasts is dependent on a complex interaction of many information resources. The system is designed and used to collect, process, and disseminate supplemental weather data which supports warning and forecast products. It also supports the supporting and administrative functions and supports the scientific & technical research and innovations activities of all offices within the Central Region including the regional headquarters.

Although there are a variety of hardware and operating systems, several of the activities are interconnected. The system provides direct and indirect mission support for the NWS as a Government agency. Mission Support infrastructure encompasses Wide Area Networks (WAN), Local Area Networks (LAN), host computer systems and client-server systems. The system supports a variety of users, functions, and applications. Supported applications include word processing, spreadsheets, presentation graphics, database development and management, electronic mail, and image processing.

Address the following elements:

*(a) Whether it is a general support system, major application, or other type of system*

General Support System

*(b) System location*

NOAA8881 CRHQ Kansas City, Missouri

Warning Decision Training Branch Norman, OK

2 River Forecast Centers

5 Center Weather Service Units

38 Weather Forecast Offices

*(c) Whether it is a standalone system or interconnects with other systems (identifying and*

*describing any other systems to which it interconnects)*

NOAA8881 interconnects with the following FISMA systems (ALL internal to NOAA):

NOAA8102 – Automated Surface Observation System  
NOAA8104 – WSR-88D Radar Operations Center  
NOAA8106 – Upper Air Observing System  
NOAA8107 – Advanced Weather Interactive Processing System  
NOAA8850 – Enterprise Mission Enabling System  
NOAA8860 – Weather and Climate Computing Infrastructure Services

Other (non-FISMA):

Big Sioux River Flood Forecasting Center  
Bureau of Reclamation – Eastern Colorado  
Office Bureau of Reclamation – Great Plains  
Regional Office Colorado State University  
(CIRA)  
Iowa Flood Center  
North Dakota State Agricultural Communications  
University of Northern Colorado – School of Earth Sciences and  
Physics University of Wisconsin – Madison  
USACE – Northwestern Division –  
Omaha USACE – St. Louis Office  
USACE – St. Paul District  
USGS – Illinois Water Science Center

*(d) The way the system operates to achieve the purpose(s) identified in Section 4*

The NWS Central Region (CR) Wide Area Network (WAN)/Local Area Network (LAN) databases consist of basic identifying information about employees and volunteers who are part of the regional workforce. The databases are maintained as a supplement to other employee records for purposes of developing statistical reports, and performing other related administrative tasks. In addition, Weather Forecast Office (WFO)/River Forecast Centers (RFC) maintain local databases that contain information on volunteers who provide weather reports to them. NOAA8881 site WFO Louisville, KY recently acquired a Mavic 2 Enterprise drone that will be used to collect hydrologic data along with post storm damage surveys.

*(e) How information in the system is retrieved by the user*

Information is retrieved using Government Funded Equipment while at the official duty station and both GFE and Personally Owned Equipment while teleworking. CAC usage is enforced while at the duty station and when using the VPN from the teleworking location. Data on the Unmanned Aircraft System (UAS) is captured on internal drive or SD card. It uses AES-265 encryption and is password protected. The UAS is operated in a way (altitude/ hydrologic locations) where the capturing of PII is unlikely. As soon as the data has been transferred to a local PC, the UAS footage

is reviewed and any PII that was captured is deleted.

*(f) How information is transmitted to and from the system*

Only authorized personnel can transmit to and from NOAA8881 using secure methods. NOAA8881 uses OneNet which is a Trusted Internet Connection Access Provider. If PII needs to be emailed, NOAA8881 uses KiteWorks for encrypted transport. UAS data is transferred directly to a dedicated PC for PII review/deletion.

*(g) Any information sharing*

Network (WAN)/Local Area Network (LAN) databases, consist of basic identifying information (name, phone number, address) about employees and volunteers who are part of the regional workforce. The databases are maintained as a supplement to other employee records for purposes of developing statistical reports, and performing other related administrative tasks. NOAA881 site WFO Louisville, KY will use an Unmanned Aircraft System (UAS) for hydrologic surveys and post storm damage surveys. The UAS is used strictly over hydrologic resources and areas of storm damage. Any privacy data that is inadvertently collected will be immediately deleted.

*(h) The specific programmatic authorities (statutes or Executive Orders) for collecting, maintaining, using, and disseminating the information*

5 U.S.C. 301 authorizes the operations of an executive agency, including the creation, custodianship, maintenance and distribution of records. 44 U.S.C. 3101 addresses records management by department agency heads. 15 U.S.C. 1512 is an Organic Law which confers general powers and duties authority to executive agencies, vesting jurisdiction and control of departments, bureaus, offices, and branches.

*(i) The Federal Information Processing Standards (FIPS) 199 security impact category for the system*

Moderate

## **Section 1: Status of the Information System**

1.1 Indicate whether the information system is a new or existing system.

- This is a new information system.
- This is an existing information system with changes that create new privacy risks.  
(Check all that apply.)

<b>Changes That Create New Privacy Risks (CTCNPR)</b>				
a. Conversions		d. Significant Merging		g. New Interagency Uses
b. Anonymous to Non- Anonymous		e. New Public Access		h. Internal Flow or Collection
c. Significant System Management Changes		f. Commercial Sources		i. Alteration in Character of Data
j. Other changes that create new privacy risks (specify):				

This is an existing information system in which changes do not create new privacy risks, and there is not a SAOP approved Privacy Impact Assessment.

This is an existing information system in which changes do not create new privacy risks, and there is a SAOP approved Privacy Impact Assessment.

## **Section 2: Information in the System**

2.1 Indicate what personally identifiable information (PII)/business identifiable information (BII) is collected, maintained, or disseminated. (Check all that apply.)

<b>Identifying Numbers (IN)</b>				
a. Social Security*		f. Driver's License		j. Financial Account
b. Taxpayer ID		g. Passport		k. Financial Transaction
c. Employer ID		h. Alien Registration		l. Vehicle Identifier
d. Employee ID		i. Credit Card		m. Medical Record
e. File/Case ID				
n. Other identifying numbers (specify):				
*Explanation for the business need to collect, maintain, or disseminate the Social Security number, including truncated form:				

<b>General Personal Data (GPD)</b>				
a. Name	X	h. Date of Birth		o. Financial Information
b. Maiden Name		i. Place of Birth		p. Medical Information
c. Alias		j. Home Address	X	q. Military Service
d. Gender		k. Telephone Number	X	r. Criminal Record
e. Age		l. Email Address	X	s. Marital Status
f. Race/Ethnicity		m. Education		t. Mother's Maiden Name

g. Citizenship		n. Religion			
u. Other general personal data (specify):					

<b>Work-Related Data (WRD)</b>					
a. Occupation	X	e. Work Email Address	X	i. Business Associates	
b. Job Title	X	f. Salary	X	j. Proprietary or Business Information	
c. Work Address	X	g. Work History	X	k. Procurement/contracting records	
d. Work Telephone Number	X	h. Employment Performance Ratings or other Performance Information	X		
l. Other work-related data (specify): <ul style="list-style-type: none"> <li>• Name /Position /GS Level/Series/Service Computation Date/Date of Grade/ Date of separation/current/relevant personnel issues</li> </ul>					

<b>Distinguishing Features/Biometrics (DFB)</b>					
a. Fingerprints		f. Scars, Marks, Tattoos		k. Signatures	
b. Palm Prints		g. Hair Color		l. Vascular Scans	
c. Voice/Audio Recording		h. Eye Color		m. DNA Sample or Profile	
d. Video Recording		i. Height		n. Retina/Iris Scans	
e. Photographs		j. Weight		o. Dental Profile	
p. Other distinguishing features/biometrics (specify):					

<b>System Administration/Audit Data (SAAD)</b>					
a. User ID	X	c. Date/Time of Access	X	e. ID Files Accessed	
b. IP Address	X	f. Queries Run		f. Contents of Files	
g. Other system administration/audit data (specify):					

<b>Other Information (specify)</b>					
Latitude/Longitude for spotter reports.					

**2.2 Indicate sources of the PII/BII in the system. (Check all that apply.)**

<b>Directly from Individual about Whom the Information Pertains</b>					
In Person	X	Hard Copy: Mail/Fax		Online	
Telephone	X	Email			
Other (specify):					

<b>Government Sources</b>					
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Within the Bureau		Other DOC Bureaus		Other Federal Agencies	
State, Local, Tribal		Foreign			
Other (specify):					

<b>Non-government Sources</b>					
Public Organizations		Private Sector	X	Commercial Data Brokers	
Third Party Website or Application					
Other (specify):					

2.3 Describe how the accuracy of the information in the system is ensured.

NOAA8881 does not process PII information and only stores the information. The information that is stored is collected directly from the individual via secure email transmission or in person. The individual providing the information validate that the information provided is accurate. The UAS is used for hydrologic observing and storm damage surveys and not used as a source for PII.

2.4 Is the information covered by the Paperwork Reduction Act?

	Yes, the information is covered by the Paperwork Reduction Act. Provide the OMB control number and the agency number for the collection.
X	No, the information is not covered by the Paperwork Reduction Act.

2.5 Indicate the technologies used that contain PII/BII in ways that have not been previously deployed. *(Check all that apply.)*

<b>Technologies Used Containing PII/BII Not Previously Deployed (TUCPBNPD)</b>		
Smart Cards		Biometrics
Caller-ID		Personal Identity Verification (PIV) Cards
Other (specify):		

X	There are not any technologies used that contain PII/BII in ways that have not been previously deployed.
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**Section 3: System Supported Activities**

3.1 Indicate IT system supported activities which raise privacy risks/concerns. *(Check all that apply.)*

<b>Activities</b>			
Audio recordings		Building entry readers	X
Video surveillance	X	Electronic purchase transactions	
Other (specify): Although the UAS has the potential to collect PII via video surveillance, it is not the purpose of the device and any PII captured is immediately deleted. The UAS is operated in mainly remote locations and is always in the line of sight of the operator.			
	There are not any IT system-supported activities which raise privacy risks/concerns.		

## **Section 4: Purpose of the System**

- 4.1 Indicate why the PII/BII in the IT system is being collected, maintained, or disseminated.  
*(Check all that apply.)*

<b>Purpose</b>			
For a Computer Matching Program		For administering human resources programs	X
For administrative matters	X	To promote information sharing initiatives	X
For litigation		For criminal law enforcement activities	
For civil enforcement activities		For intelligence activities	
To improve Federal services online		For employee or customer satisfaction	
For web measurement and customization technologies (single-session)		For web measurement and customization technologies (multi-session)	
Other (specify):			

## **Section 5: Use of the Information**

- 5.1 In the context of functional areas (business processes, missions, operations, etc.) supported by the IT system, describe how the PII/BII that is collected, maintained, or disseminated will be used. Indicate if the PII/BII identified in Section 2.1 of this document is in reference to a federal employee/contractor, member of the public, foreign national, visitor or other (specify).

The NWS CR WAN/LAN system maintains information concerning each member of the CR workforce (employees). This information is managed by the NWS Central Region Headquarters (CRH) Administration Personnel. Only the Workforce Manager and the CRH Information Technology (IT) Database Administrator have access to these workforce databases.

The administrative information maintained on these databases consists of:

- Name /Position /GS Level/Series/Service Computation Date/Date of Grade/ Date of separation
- Residential information (Address, phone numbers)
- Government email addresses

- Division/Organization Name
- Regional Office Location
- Optional text field with current/relevant personnel issues, for which inclusion of PII is prohibited.

The information is maintained as a supplement to other employee records for purposes of tracking job vacancies, developing statistical reports, and performing other related administrative tasks.

There are also local databases at the local WFO/RFC that maintain information on volunteers (members of the public) who provide them weather reports. The database holds the following information on these volunteers:

- First and last name
- Mailing address
- County
- Phone (home/cell)
- Email address
- Hours to be contacted for severe weather reports
- Possession of a rain gauge, anemometer, thermometer, snow stick, or weather station
- Brief description of location of spotter's personal residence
- Last time attended spotter class
- Community Weather Involvement Program Identification – (optional) not all offices use this. It's a locally assigned number from the field office.
- Latitude / Longitude

All of this information collected on volunteers is provided voluntarily and most people who sign up do so during a community outreach training program, known as "spotter talks." Spotter talks help the public prepare for the severe weather season. A locally-assigned staff is responsible for the maintenance of this database, with occasional help from 1 to 2 other staff members for data entry. This database information is accessible for viewing by all staff members in order to make calls for severe weather information.

NOAA8881 site WFO Louisville, KY recently acquired a UAS to support hydrologic functions as well as storm damage surveys. Use of the UAS is covered by the DOC SORN and NOAA Policy. The UAS is operated mainly in remote areas and is always in sight of the operator.

- 5.2 Describe any potential threats to privacy, such as insider threat, as a result of the bureau's/operating unit's use of the information, and controls that the bureau/operating unit has put into place to ensure that the information is handled, retained, and disposed appropriately. (For example: mandatory training for system users regarding appropriate handling of information, automatic purging of

information in accordance with the retention schedule, etc.)

Potential threats to privacy information is primarily the inadvertent disclosure of the information due to unauthorized access to the system or unintentional disclosure. Mitigations include the use of system security controls (i.e. AC, IA, AU) which limits access to the information as well as monitors the access to the information system. Access to information is granted on a “need to have” basis and the least privilege principle.

Users undergo annual mandatory security awareness and privacy training which includes the proper handling of information. Users acknowledge the rules of behavior to ensure they understand their responsibilities.

NOAA8881 site WFO Louisville, KY recently obtained a Mavic 2 Enterprise drone. The data captured by the Mavic is encrypted and password protected. The UAS has the potential to collect PII inadvertently. The UAS is flown by line of sight but still has the potential to be downed outside control of the operators.

Insider threat could potentially expose privacy data.

## **Section 6: Information Sharing and Access**

6.1 Indicate with whom the bureau intends to share the PII/BII in the IT system and how the PII/BII will be shared. *(Check all that apply.)*

Recipient	How Information will be Shared		
	Case-by-Case	Bulk Transfer	Direct Access
Within the bureau	X		
DOC bureaus			
Federal agencies			
State, local, tribal gov’t agencies			
Public			
Private sector			
Foreign governments			
Foreign entities			
Other (specify):			

The PII/BII in the system will not be shared.

6.2 Does the DOC bureau/operating unit place a limitation on re-dissemination of PII/BII shared with external agencies/entities?

	Yes, the external agency/entity is required to verify with the DOC bureau/operating unit before re-dissemination of PII/BII.
	No, the external agency/entity is not required to verify with the DOC bureau/operating unit before re-dissemination of PII/BII.

<input checked="" type="checkbox"/>	No, the bureau/operating unit does not share PII/BII with external agencies/entities.
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6.3 Indicate whether the IT system connects with or receives information from any other IT systems authorized to process PII and/or BII.

<input checked="" type="checkbox"/>	<p>Yes, this IT system connects with or receives information from another IT system(s) authorized to process PII and/or BII.</p> <p>Provide the name of the IT system and describe the technical controls which prevent PII/BII leakage:</p> <p style="margin-left: 20px;">NOAA8102 – Automated Surface Observation System</p> <p style="margin-left: 20px;">NOAA8104 – WSR-88D Radar Operations Center</p> <p style="margin-left: 20px;">NOAA8106 – Upper Air Observing System</p> <p style="margin-left: 20px;">NOAA8107 – Advanced Weather Interactive Processing System</p> <p style="margin-left: 20px;">NOAA8850 – Enterprise Mission Enabling System</p> <p style="margin-left: 20px;">NOAA8860 – Weather and Climate Computing Infrastructure Services</p> <p>Mitigations include the use of system security controls which limits access to the information as well as monitors the access to the information system. Access to information is granted on a “need to have” basis and the least privilege principle. Authentication is verified by the use of CAC IDs and PIV Cards. Only employees with authority to maintain these databases are allowed access to the information.</p> <p>Other (non-FISMA):</p> <p style="margin-left: 20px;">Big Sioux River Flood Forecasting Center</p> <p style="margin-left: 20px;">Bureau of Reclamation – Eastern Colorado Office</p> <p style="margin-left: 20px;">Bureau of Reclamation – Great Plains Regional Office</p> <p style="margin-left: 20px;">Colorado State University (CIRA)</p> <p style="margin-left: 20px;">Iowa Flood Center</p> <p style="margin-left: 20px;">North Dakota State Agricultural Communications</p> <p style="margin-left: 20px;">University of Northern Colorado – School of Earth Sciences and Physics</p> <p style="margin-left: 20px;">University of Wisconsin – Madison</p> <p style="margin-left: 20px;">USACE – Northwestern Division – Omaha</p> <p style="margin-left: 20px;">USACE – St. Louis Office</p> <p style="margin-left: 20px;">USACE – St. Paul District</p> <p style="margin-left: 20px;">USGS – Illinois Water Science Center</p> <p>Mitigations include the use of system security controls which limits access to the information as well as monitors the access to the information system. Access to information is granted on a “need to have” basis and the least privilege principle. Authentication is verified by the use of CAC IDs and PIV Cards. Only employees with authority to maintain these databases are allowed access to the information.</p>
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	No, this IT system does not connect with or receive information from another IT system(s) authorized to process PII and/or BII.
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6.4 Identify the class of users who will have access to the IT system and the PII/BII. *(Check all that apply.)*

Class of Users			
General Public		Government Employees	X
Contractors	X		
Other (specify):			

## **Section 7: Notice and Consent**

7.1 Indicate whether individuals will be notified if their PII/BII is collected, maintained, or disseminated by the system. *(Check all that apply.)*

X	Yes, notice is provided pursuant to a system of records notice published in the Federal Register and discussed in Section 9.	
	Yes, notice is provided by a Privacy Act statement and/or privacy policy. The Privacy Act statement and/or privacy policy can be found at: _____.	
X	Yes, notice is provided by other means.	Specify how: For the workforce database, employees are notified at the time of recruitment that the collection of their information is mandatory as a condition of employment. For the Spotter Volunteers, notice is provided in the cooperative agreement form when information is collected.  NOAA8881 does not use the UAS at site WFO Louisville, KY to capture images of individuals. In the rare event PII is captured, the PII would be immediately deleted.
	No, notice is not provided.	Specify why not:

7.2 Indicate whether and how individuals have an opportunity to decline to provide PII/BII.

X	Yes, individuals have an opportunity to decline to provide PII/BII.	Specify how: For the workforce database, individuals may inform HR staff, verbally or in writing, that they do not want their information added to the database; however, provision of the information is a condition
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		<p>of employment. Providing information is voluntary for Spotter Volunteers. However, failure to provide this information will result in volunteers being precluded from working with NWS as part of the cooperative agreement to provide observations.</p> <p>NOAA8881 does not use the UAS at site WFO Louisville, KY to capture images of individuals. In the rare even PII is captured, the PII would be immediately deleted.</p>
	No, individuals do not have an opportunity to decline to provide PII/BII.	Specify why not:

7.3 Indicate whether and how individuals have an opportunity to consent to particular uses of their PII/BII.

X	Yes, individuals have an opportunity to consent to particular uses of their PII/BII.	<p>Specify how: For the workforce database, employees may choose not to consent to all uses (administrative, job vacancy tracking, statistical reports) by informing HR staff verbally or in writing; however, they are required to provide the information as a condition of employment.</p> <p>The only use of the information for volunteers is for contact purposes, which is explained in the cooperative agreement. No other uses are suggested or specified. Provision of the information and signing of the cooperative agreement implies consent to that use. Providing information is voluntary for Spotter Volunteers. However, failure to provide this information will result in volunteers being precluded from working with NWS as part of the cooperative agreement to provide observations.</p> <p>NOAA8881 does not use the UAS at site WFO Louisville, KY to capture images of individuals. In the rare even PII is captured, the PII would be immediately deleted.</p>
	No, individuals do not have an opportunity to consent to particular uses of their PII/BII.	Specify why not:

7.4 Indicate whether and how individuals have an opportunity to review/update PII/BII pertaining to them.

X	Yes, individuals have an opportunity to review/update PII/BII pertaining to them.	<p>Specify how: For the workforce data, information is routinely updated as an employee's role or position changes. Employees cannot directly review the information, but may request to review their information and ask that it be updated, through their supervisors. Updates are made by the following authorized individuals: the Workforce Program Manager, the Travel Program and Workforce Support Assistant, and the Administrative Support Division (ASD) Chief (all outside of system boundaries).</p> <p>Providing information is voluntary for Spotter Volunteers. However, failure to provide this information will result in volunteers being precluded from working with NWS as part of the cooperative agreement to provide observations.</p> <p>NOAA8881 does not use the UAS at site WFO Louisville, KY to capture images of individuals. In the rare even PII is captured, the PII would be immediately deleted.</p>
	No, individuals do not have an opportunity to review/update PII/BII pertaining to them.	Specify why not:

## Section 8: Administrative and Technological Controls

8.1 Indicate the administrative and technological controls for the system. (Check all that apply.)

	All users signed a confidentiality agreement or non-disclosure agreement.
X	All users are subject to a Code of Conduct that includes the requirement for confidentiality.
X	Staff (employees and contractors) received training on privacy and confidentiality policies and practices.
X	Access to the PII/BII is restricted to authorized personnel only.
X	Access to the PII/BII is being monitored, tracked, or recorded. <b>Explanation:</b> The information is only accessible to authorized users. Log files of all system access are maintained in accordance with DOC/NOAA policy.
X	The information is secured in accordance with the Federal Information Security Modernization Act (FISMA) requirements. Provide date of most recent Assessment and Authorization (A&A): <u>07/12/2021</u> <input type="checkbox"/> This is a new system. The A&A date will be provided when the A&A package is approved.
X	The Federal Information Processing Standard (FIPS) 199 security impact category for this system is a moderate or higher.
X	NIST Special Publication (SP) 800-122 and NIST SP 800-53 Revision 4 Appendix J recommended security controls for protecting PII/BII are in place and functioning as intended; or have an approved Plan of Action and Milestones (POA&M).
X	A security assessment report has been reviewed for the information system and it has been determined that there are no additional privacy risks.
X	Contractors that have access to the system are subject to information security provisions in their contracts required by DOC policy.

	Contracts with customers establish DOC ownership rights over data including PII/BII.
	Acceptance of liability for exposure of PII/BII is clearly defined in agreements with customers.
	Other (specify):

- 8.2 Provide a general description of the technologies used to protect PII/BII on the IT system. *(Include data encryption in transit and/or at rest, if applicable).*

Access to the system maintaining the PII is controlled via National Active Directory. Authentication is verified by the use of CAC IDs and PIV Cards. Only employees with authority to maintain these databases are allowed access to the information.

NOAA8881 site WFO Louisville, KY UAS stores data on a password protected internal hard drive. All data is overwritten once removed from the UAS. Any PII inadvertently collected is deleted before use.

## Section 9: Privacy Act

- 9.1 Is the PII/BII searchable by a personal identifier (e.g., name or Social Security number)?

Yes, the PII/BII is searchable by a personal identifier.

No, the PII/BII is not searchable by a personal identifier.

- 9.2 Indicate whether a system of records is being created under the Privacy Act, 5 U.S.C. § 552a. *(A new system of records notice (SORN) is required if the system is not covered by an existing SORN).*

As per the Privacy Act of 1974, “the term ‘system of records’ means a group of any records under the control of any agency from which information is retrieved by the name of the individual or by some identifying number, symbol, or other identifying particular assigned to the individual.”

X	Yes, this system is covered by an existing system of records notice (SORN). Provide the SORN name, number, and link. <i>(list all that apply):</i>  <a href="#">COMMERCE/DEPT-29, Unmanned Aircraft Systems</a> <a href="#">COMMERCE/DEPT-18, Employees Personnel Files Not Covered by Notices of Other Agencies</a>  <a href="#">NOAA-11 Contact Information for Members of the Public Requesting or Providing Information Related to NOAA's Mission</a>  <a href="#">COMMERCE/DEPT-25 Access Control and Identity Management System</a> <a href="#">OPM-GOVT-1 General Personnel Records</a>
	Yes, a SORN has been submitted to the Department for approval on <u>(date)</u> .
	No, this system is not a system of records and a SORN is not applicable.

## **Section 10: Retention of Information**

10.1 Indicate whether these records are covered by an approved records control schedule and monitored for compliance. *(Check all that apply.)*

<input checked="" type="checkbox"/>	There is an approved record control schedule. Provide the name of the record control schedule:  NOAA Records Schedule Chapter 300 and 1300.  NOAA8881 site WFO Louisville, KY UAS all data is overwritten on the internal HD. Any PII collection is incidental, unintentional and not retained. See DEPT-29, Section 9.1.
<input type="checkbox"/>	No, there is not an approved record control schedule. Provide the stage in which the project is in developing and submitting a records control schedule:
<input checked="" type="checkbox"/>	Yes, retention is monitored for compliance to the schedule.
<input type="checkbox"/>	No, retention is not monitored for compliance to the schedule. Provide explanation:

10.2 Indicate the disposal method of the PII/BII. *(Check all that apply.)*

<b>Disposal</b>			
Shredding	<input checked="" type="checkbox"/>	Overwriting	<input checked="" type="checkbox"/>
Degaussing	<input checked="" type="checkbox"/>	Deleting	<input checked="" type="checkbox"/>
Other (specify):			

## **Section 11: NIST Special Publication 800-122 PII Confidentiality Impact Level**

11.1 Indicate the potential impact that could result to the subject individuals and/or the organization if PII were inappropriately accessed, used, or disclosed. *(The PII Confidentiality Impact Level is not the same, and does not have to be the same, as the Federal Information Processing Standards (FIPS) 199 security impact category.)*

<input checked="" type="checkbox"/>	Low – the loss of confidentiality, integrity, or availability could be expected to have a limited adverse effect on organizational operations, organizational assets, or individuals.
<input type="checkbox"/>	Moderate – the loss of confidentiality, integrity, or availability could be expected to have a serious adverse effect on organizational operations, organizational assets, or individuals.
<input type="checkbox"/>	High – the loss of confidentiality, integrity, or availability could be expected to have a severe or catastrophic adverse effect on organizational operations, organizational assets, or individuals.

11.2 Indicate which factors were used to determine the above PII confidentiality impact level.

*(Check all that apply.)*

X	Identifiability	Provide explanation: Name and contact information for volunteers, and names of employees, are in the system
X	Quantity of PII	Provide explanation: Limited amount of PII stored.
X	Data Field Sensitivity	Provide explanation: There is an optional text field for current/relevant personnel issues for which inclusion of PII is prohibited.
X	Context of Use	Provide explanation: NOAA881 site WFO Louisville, KY UAS data is used to observe hydrologic conditions as well as storm damage.
	Obligation to Protect Confidentiality	Provide explanation:
X	Access to and Location of PII	Provide explanation: Secured database managed by federal employees with limited user privileges.  NOAA881 site WFO Louisville, KY UAS data is only transferred by the UAS operator to a local PC for use and deletion of any PII inadvertently obtained.
	Other:	Provide explanation:

## **Section 12: Analysis**

- 12.1 Identify and evaluate any potential threats to privacy that exist in light of the information collected or the sources from which the information is collected. Also, describe the choices that the bureau/operating unit made with regard to the type or quantity of information collected and the sources providing the information in order to prevent or mitigate threats to privacy. (For example: If a decision was made to collect less data, include a discussion of this decision; if it is necessary to obtain information from sources other than the individual, explain why.)

No changes were made to the amount of PII data stored/collected. NOAA881 collects only the minimum required information necessary for the purpose in which it is intended. Volunteer data is provided on a voluntary basis by users who wish to participate in the program. The workforce data is available to only authorized individuals. NOAA881 undergoes annual Assessment and Authorization (A&A) activities that evaluate, test, and examine security controls to help ensure they are implemented in a way to adequately mitigate risk to the unauthorized information disclosure.

NOAA881 site WFO Louisville, KY UAS has a low risk of threat to privacy since it is operated mainly where no PII exists (hydrologic locations/damage areas). The UAS is flown by line of sight.

Insider threat could potentially expose privacy data.

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12.2 Indicate whether the conduct of this PIA results in any required business process changes.

	Yes, the conduct of this PIA results in required business process changes. Explanation:
X	No, the conduct of this PIA does not result in any required business process changes.

12.3 Indicate whether the conduct of this PIA results in any required technology changes.

	Yes, the conduct of this PIA results in required technology changes. Explanation:
X	No, the conduct of this PIA does not result in any required technology changes.

## Points of Contact and Signatures

<p><b>Information System Security Officer or System Owner</b></p> <p>Name: Darrel Smith Office: NWS Central Region Headquarters Phone: 816-268-3150 Email: Darrel.Smith@noaa.gov</p> <p>I certify that this PIA is an accurate representation of the security controls in place to protect PII/BII processed on this IT system.</p> <p>SMITH.DARREL.RAY.110   Digitally signed by SMITH.DARREL.RAY.1103479017 Signature: 3479017   Date: 2022.01.18 09:37:14 -06'00'</p> <p>Date signed: _____</p>	<p><b>Information Technology Security Officer</b></p> <p>Name: Andrew Browne Office: NWS OCIO Phone: 301-427-9034 Email: Andrew.Browne@noaa.gov</p> <p>I certify that this PIA is an accurate representation of the security controls in place to protect PII/BII processed on this IT system.</p> <p>BROWNE.ANDREW.PATRICK   Digitally signed by BROWNE.ANDREW.PATRICK.1472149349 Signature: CK.1472149349   Date: 2022.01.18 13:49:37 -05'00'</p> <p>Date signed: _____</p>
<p><b>Privacy Act Officer</b></p> <p>Name: Adrienne Thomas Office: NOAA OCIO Phone: 240-577-2372 Email: Adrienne.Thomas@noaa.gov</p> <p>I certify that the appropriate authorities and SORNs (if applicable) are cited in this PIA.</p> <p>THOMAS.ADRIENNE.M.136   Digitally signed by THOMAS.ADRIENNE.M.1365859600 Signature: 5859600   Date: 2022.01.18 13:05:02 -06'00'</p> <p>Date signed: _____</p>	<p><b>Authorizing Official</b></p> <p>Name: Kenneth Harding Office: NWS Central Region Headquarters Phone: 816-268-3130 Email: Kenneth.Harding@noaa.gov</p> <p>I certify that this PIA is an accurate representation of the security controls in place to protect PII/BII processed on this IT system.</p> <p>HARDING.KENNETH.WALLACE   Digitally signed by HARDING.KENNETH.WALLACE.1139815765 Signature: .1139815765   Date: 2022.01.18 12:36:23 -06'00'</p> <p>Date signed: _____</p>
<p><b>Bureau Chief Privacy Officer</b></p> <p>Name: Mark Graff Office: NOAA OCIO Phone: 301-628-5658 Email: Mark.Graff@noaa.gov</p> <p>I certify that the PII/BII processed in this IT system is necessary and this PIA ensures compliance with DOC policy to protect privacy.</p> <p>GRAFF.MARK.HYRUM.1   Digitally signed by GRAFF.MARK.HYRUM.1514447892 Signature: 514447892   Date: 2022.01.24 11:45:24 -05'00'</p> <p>Date signed: _____</p>	

**This page is for internal routing purposes and documentation of approvals. Upon final approval, this page must be removed prior to publication of the PIA.**