



## REFERRAL FOR CONSIDERATION OF SUSPENDING AND DEBARRING OFFICIAL

This referral is provided to the Department of Commerce ("DOC") Suspending and Debarring Official ("SDO") for consideration of any administrative action deemed appropriate.

**SUPPLEMENTAL** (check this box if this referral is a supplement to a previously submitted referral and only include updated information)

**REFERRING BUREAU/OFFICE:**

**BUREAU/OFFICE FILE NUMBER:**

**BUREAU/OFFICE POINT OF CONTACT:**

**CONTACT INFORMATION:**

**RESPONDENT(S)** (individual or company name (& POC), address, DOB, phone, email, DUNS/UEI number, if applicable):

**KNOWN AFFILIATES/SUBSIDIARIES/PARENT FIRMS** (if known, provide names, identifying info, and relationship to Respondent for affiliates involved in conduct directly or through imputation--e.g., companies owned by individual):

N/A

**ASSOCIATION TO DEPARTMENT OF COMMERCE** (describe Respondent's past/present nexus to the DOC, including programs impacted, loss amount, and contract/financial assistance agreement file numbers, if applicable):

**OTHER GOVERNMENT AGENCIES** (identify, if known, if Respondent has funding/contracts with other government agencies):

N/A

**INVESTIGATIVE AGENCIES** (if referral is investigation based, identify, if known, lead investigative agency and any other supporting agencies):

N/A

### BASIS OF REFERRAL:

**REASONS FOR REFERRAL** (check all that apply):

- ☐ Commission of fraud or a criminal offense in connection with a government contract/subcontract or a public or private agreement or transaction
- ☐ Violation of Federal or State antitrust statutes
- ☐ Commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, false statements, tax evasion, violating Federal criminal tax laws, or receiving stolen property
- ☐ Misusing "Made in America" inscription
- ☐ Commission of any other offense indicating lack of business integrity or business honesty and directly affects their present responsibility
- ☐ Willful failure to perform in accordance with the terms of one or more contracts
- ☐ A history of failure to perform or unsatisfactory performance, on one or more contracts
- ☐ Failure to make a good faith effort to provide a Drug-Free Workplace
- ☐ Commission of an unfair trade practice as defined in FAR 9.403
- ☐ Delinquent Federal taxes in an amount that exceed \$10,000
- ☐ Knowing failure by a principal to timely disclose, in connection with a government contract, credible evidence of violation of Federal criminal law involving fraud, conflict of interest, bribery, or gratuity; violations of the civil False Claims Act; or significant overpayment(s) on the contract
- ☐ Other: \_\_\_\_\_

**SUMMARY** *(provide a brief statement of relevant facts that precipitated this referral; include attachments for details; if judicial-based, do not repeat information in court documents):*

**MITIGATING FACTORS** *(list any known mitigating factors the SDO should consider, such as restitution, voluntary disclosures, cooperation w/law enforcement/government, implementation of remedial policies/procedures, personnel accountability measures, etc.)*

N/A

**CURRENT LEGAL PROCEEDINGS AGAINST RESPONDENT:**

N/A

Court:

Docket Number:

Status:

Court:

Docket Number:

Status:

**TIME CRITICAL EVENTS** *(highlight any known with which the SDO should be aware):*

N/A

**LIST OF ATTACHMENTS** *(select all that apply. Include documents related to the underlying action(s) precipitating this referral, a document showing some relation to the DOC, and any documents demonstrating mitigating factors. Materials will be redacted, and only the relevant portions will be provided to the Respondent in the Administrative Record):*

Indictment/Charging Document

Statement of Facts

Report of Investigation

Financial Records

Judgment

DOJ Press Release

Interview Notes/Statements

Contract Documents

Settlement/Plea Agreement

Search/Arrest Warrant

Audit Report/Official Findings

Grant/Underlying Agreements

Other Supporting Material:

**SIGNATURE OF REFERRING OFFICIAL:**

**DATE:**

Referrals should be emailed to the DOC S&D Office at [suspenddebar@doc.gov](mailto:suspenddebar@doc.gov).